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Exploring the interrelation between process management and organizational culture: A critical review

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No. 200

**Exploring the interrelation between
process management and organiza-
tional culture: A critical review**

by Corinna Grau and Jürgen Moormann

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Abstract

Managing the business processes of a company is a task which has emerged as a top priority across all industries. However, business process management (BPM) is not just a set of structured methods and technologies which can simply be assigned to employees. In contrary, the success of any process initiative is interwoven with the culture of the respective company. In addition, in most cases there is not only one organizational culture but often a range of subcultures within an organization due to previous mergers, existing subsidiaries etc. Despite its importance, the interrelation between BPM and organizational culture has been only sparsely explored. This paper analyzes and determines the status quo of academic literature concerning the interrelation between BPM and organizational culture. The results reveal considerable differences in the perception of the interface between both fields. Furthermore, our analysis shows that the organizational psychological perspective has been widely neglected in process management literature. To the best of our knowledge, this is the first literature review written from both a process management and an organizational psychological perspective. As such, it strives to contribute to a comprehensive and thorough understanding of this interrelation. Based on the review we develop a framework, serving as a basis for a deeper understanding of the interdependency and providing avenues for future research.

Key words: Business Process Management (BPM), Organization, Organizational culture, Organizational psychology, Process

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1 Introduction

Increasing the performance of a company is the foremost task of every top level manager. In order to achieve the corporate goals business process management (BPM) has emerged as a powerful concept within the last decades. Today, almost all operational excellence programs run in companies all over the world are based on the BPM concept. Resting on the corporate strategy the business processes are the core of every company and, thus, they build the foundation for all further steps such as the development of information systems, the allocation of human resources etc. Hence, BPM has become highly relevant for practitioners and management research.

Business process management is a multidisciplinary field which, depending on perception in science and practice, is comprised in a varying broad manner. BPM has developed with a strong focus on information technology. However, a limitation solely to an IT-driven approach would not meet the scope of the concept. BPM is also not to be limited to a managerial approach, but rather includes both aspects and is to be understood as a holistic and distinct research topic (Armistead et al., 1999; Lederer Antonucci and Goeke, 2011).

Besides the IT-related issues, this holistic perception (Palmberg, 2010) also requires the consideration of many other aspects, such as legal, economic, and organizational facets (Rosemann and vom Brocke, 2010). In line with this wide understanding of BPM, organizational culture plays an increasingly important role. A major reason why many process-related projects failed and still fail is the insensitivity concerning the attitude and behavior of the employees involved including the lower and middle management (Cao et al., 2001; Lee and Dale, 1989). Thus, the consideration of the interrelation is highly relevant for a company's performance.

However, despite an awareness of its importance, the aspect of culture has been only sparsely surveyed in the literature, and is generally solely named among a multitude of other factors (vom Brocke and Schmiedel, 2011). The exact mechanisms of the interrelation between culture and BPM, as well as possible starting points from which to take this interrelation – in terms of efficient and effective business processes – into consideration, have to date been largely unexplored. One reason might be that scientists in the field of BPM have a predominantly IT-driven perception, whereas organizational culture is rather addressed to the research area of organization, management, and organizational psychology.

This paper intends to analyze and determine the status quo of academic literature related to the interrelation between BPM and organizational psychology. Therefore, the paper elaborates the overlap between both fields and, by this means, contributes to fill the academic gaps existing today. For this purpose, a comprehensive and transparently documented literature review is carried out.

First, the theoretical basis for this work is constituted in the following section. The methodical approach of the literature review is described in section 3, and in section 4 the results are presented and discussed. In section 5, a framework on the basis of the literature review is devel-

oped for a better understanding of the interface of BPM and organizational culture. The paper ends with conclusions in section 6. Here, further academic gaps are highlighted and suggestions for future research avenues are given.

2 Theoretical background

In the following, the foundations of the two fields – business process management and organizational culture – are briefly described. The theoretical backgrounds of both fields serve as an essential basis for a thorough understanding of the interrelationship.

2.1 Business process management from a holistic point of view

A multitude of definitions, perceptions, and expectations underlie the concept of BPM. As early as the 1930s, process orientation was defined as a central orientation for businesses (Nordsieck, 1934). Despite this early awareness, the approach was not taken up in practice until the beginning of the 1990s. By means of business process (re-)engineering (BPR), the field won a high degree of attention – supported mainly by the publications of Davenport and Short (1990), Hammer (1990), Davenport (1993), as well as Hammer and Champy (1993).

A business process represents the transformation of an input into the desired output of a company, or any other type of organization. Hence, it describes the flow of material and information within a company (Osterloh and Frost, 2006). The customer is the initial point of a process-oriented perception. Business processes enable the achievement of corporate objectives, feature interfaces to market partners and customers, and are composed of a structured succession of cross-functional and cross-organizational value-adding activities (Becker and Kahn, 2011; Schmelzer and Sesselmann, 2008).

Even after the BPR hype began to fade, processes and the management of processes have remained the focus of science and practice to this day. On the one hand, today there are hardly any organizations that explicitly try to invent all of their processes from scratch, according to BPR. On the other hand, only few companies fail to pay attention to the design and management of their processes (Lederer et al., 2011; Voss and Huxam, 2004). In fact, the main focus now lies on a rather incremental, evolutionary perception of the continuous improvement of processes in companies, than on the radical approach of reengineering (Weske, 2007).

Although the term “BPM” serves as an umbrella, the perception of what BPM really is differs widely in practice, as well as in science. The spectrum ranges from a narrow, more technical view to a broad, holistic perspective. At the more technical level, BPM deals with the perspective of single processes or even parts of processes or subprocesses (Dumas et al., 2013). Often, this perspective is associated with the continuous form of improvement. For this purpose, a BPM life cycle is applied. The cycle and its single phases are determined and denominated differently, depending on the author and the respective focus. Hence, despite these differences, the concepts of the BPM life cycle proposed in literature do not differ considerably

from each other (Houy et al., 2010). Following authors such as Davenport and Short (1990), van der Aalst *et al.* (2003), Netjes et al. (2006), and Kannengiesser (2008), a BPM life cycle typically consists of four to six phases, e.g.: (1) development of a process vision based on the company's strategy, (2) process design encompassing modeling and prototyping the process on various levels, (3) implementation, including execution of the process, (4) monitoring, measurement, and evaluation of the process performance, and (5) process improvement which again has to be aligned to the process vision.

The more technical level is appropriate in terms of e.g., workflow planning, process simulation, process mining, capacity management, and application development. However, from a managerial point of view, the consideration of many other aspects, such as legal, regulatory, competitive, ecological, and psychological facets is required (Hammer and Champy, 1993; Harmon, 2007; Jeston and Nelis, 2008; Rosemann and vom Brocke, 2010). Such a holistic approach strives for effectiveness as well as efficiency of the company, and attempts to align the aforementioned facets with the needs of the customers. Within this comprehensive understanding of BPM, aspects of organizational psychology play a major role in both practice and theory. Therefore, the holistic perspective is indicated when dealing with the interface between BPM and cultural issues.

2.2 Organizational culture

The analysis of the interrelation of BPM and organizational culture requires a thorough understanding of the concept of organizational culture itself.

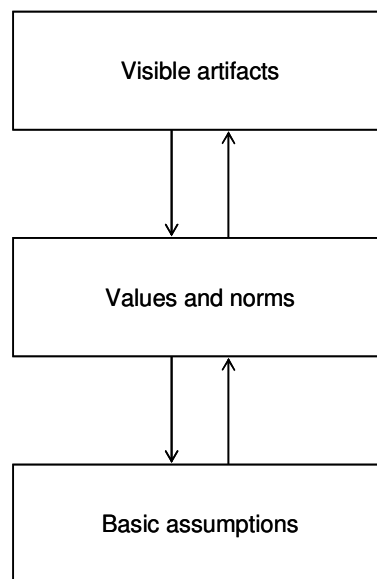
Since the 1930s, the connection between the culture and functionality of social organizations has been a highly discussed topic in social sciences. Therefore, culture is seen as an integral criterion for the course of an organization's actions (Mead, 1934; Weber, 1930), and consists of socially conveyed behavior patterns, serving to relate human communities to their environment (Keesing, 1974). Scientists in the field of organizational culture, such as Hofstede (1980, 1991), still relate to these early understanding.

By the turn of the century, the concept of organizational culture became especially popular in terms of the assumption that certain organizational cultures lead to a higher degree of financially measurable business success (Ogbonna and Harris, 2000). The underlying argument is that the performance of an organization depends on how excessive, i.e., how widely spread in the organization and how commonly carried out or supported by employees, the cultural values of the respective organization are (Knapp, 1998; Schein, 1978).

Dension (1996) defines organizational culture as a fundamental structure in organizations which is based on the common values, beliefs, and assumptions of its members. Schein (1990) defines organizational culture as a pattern of basic assumptions discovered or developed within a certain group, while that group learns how to deal with internal and external challenges. If these assumptions prove of value, they are perceived as valid and communicated further to new members of the group.

Schein (1995) explains how and why cultures develop within organizations by describing the need for integration and sense in the actions of the members of the organization. This essential need leads to the evolution of shared elements among the members of an organization, such as shared values, beliefs, and procedures which prove successful and are asserted over time. Such values, beliefs, and procedures are learned by new members of the organization as part of the socialization process. Schein's model of interdependent cultural levels is based on this explanation. Thus, organizational culture can be analyzed on three levels – (1) artifacts, (2) values and norms, and (3) basic assumptions and premises – according to their particular degree of visibility and consciousness (Figure 1).

Figure 1: Organizational culture model (Schein, 1995, p. 30)



Artifacts, such as cultural phenomena on the surface level, are visible structures and processes in an organization. They are directly observable but are difficult to decipher, and include technologies, symbols, language, and architectures within an organization. The second cultural level consists of shared values, strategies, goals, and philosophies. This is what Schein calls beliefs and attitudes which are based on moral and ethical ideals and transmit a feeling of what is right and wrong. These values serve as an orientation framework that influences the actions of the members within an organization. The third level consists of unconscious and taken-for-granted conceptions and basic assumptions. These premises can be seen as the starting point on which all values and actions are based. With this model and his definition of organizational culture, Schein is the most frequently cited researcher in the field of organizational culture, and his work is fundamental for many scientists in this area (Prätorius and Tiedler, 1993).

Overall, it can be assumed that organizational culture is a construct that is shared by the members of the organization and, in this vein, presents a form of affiliation and confraternity

(Obenchain, 2002). Thus, the culture of an organization consists of the common prevailing conventions, i.e., the ways in which things work within the organization. It represents a sense of identity for the organization's members and carries unexpressed regulations as to how the members of the organization treat each other and how they maintain the social system to which they belong (Cameron and Quinn, 1999). Organizational cultures are organization-specific and are seen as a rather constant, though not unchangeable or latent (i.e., not directly observable), factor which has an influence on the relations and operations within an organization (Beugelsdijk et al., 2006).

3 Methodology of the literature review

It is the objective of the literature review to critically elaborate the overlap between the fields of BPM and organizational culture, as well as to identify possible academic gaps in regards to this interface from both a process management and an organizational psychological perspective. In addition, a framework is to be developed to conceptualize the interrelation of both fields and their respective influence, and to deliver a basis for further research in this area.

Corresponding to the requirements of such a literature review, this work has to be carried out systematically, and the single steps have to be transparent and replicable (Kitchenham, 2004; Okoli and Schabram, 2010). For this purpose, the search process and the search results are documented as transparent as possible. To ensure the quality of the literature review, we exclusively focus on papers in scientific, peer-reviewed journals. Papers from other sources, e.g., conference proceedings or gray literature, are therefore excluded.

In line with the approach used by Leyer (2011), the literature review has been carried out in four steps. In the first step, corresponding keywords have been identified in both fields of BPM and organizational culture to represent best the interface between these fields: business process, organization, culture, service management, operation(s), and operational. The search terms business process, organization, and culture have been selected because they directly reflect the two research areas of interest. The search terms operation(s), operational have been selected because they focus on that part of business where the work is actually carried out and the processes are being operated. We also included the search term service management because we found some papers dealing with our topic in the services context while we ran pre-research studies at the beginning of our work. The rather broad spectrum of keywords was deliberately chosen in order not to miss any potentially relevant journal.

In the second step, by means of the keywords identified in the first step, the Genamics JournalSeek database was systematically browsed for relevant academic journals. This database is the most comprehensive freely accessible database for information on scientific journals. It lists all electronically available journals including information on their focus and central topics, and is, thus, well suitable for an extensive literature review. Currently the database contains more than 99,500 journal titles (<http://journalseek.net/>; March 2013); more than 4,800 of them are dedicated to business administration. The results of the database research are presented in Table 1.

Table 1: Database research and journal selection process

Search term	Total number of journals	Number of peer-reviewed journals	Number of selected journals
Business process	4	3	2
Organization	85	43	18
Culture	256	116	2
Service management	14	4	4
Operation(s), operational	91	51	9
Total number	450	217	35

As a result, 217 scholarly journals, whose articles meet the qualitative requirements as being peer-reviewed, were selected. Out of these 217 journals, 35 journals with thematic relevance to the research topic under discussion were identified by carefully proving the declaration of aims and scope of each journal and consulting the editorial board in ambiguous cases. The other journals were not included in the research as they, despite containing some of the relevant keywords, descend from other scientific areas and, thus, were defined as irrelevant with respect to the underlying question. Table 2 contains a list of the journals identified as relevant academic, peer-reviewed journals. Each journal has been examined over its entire publication period (up to December 2012).

Table 2: Analyzed peer-reviewed journals

Journal title	Publication period
Business Process Management Journal (BPMJ)	1995 to 12/2012
Culture and Organization	1995 to 12/2012
Economics and Organization of Enterprise	2008 to 2010
Emergence: Complexity and Organization (E:CO)	1999 to 12/2012
European Journal of Operational Research (EJOR)	1977 to 12/2012
Group & Organization Management (GOM)	1976 to 12/2012
Human Organization (HO)	1941 to 12/2012
Information and Organization	1991 to 12/2012
International Journal of Advanced Operations Management (IJAOM)	2009 to 12/2012
International Journal of Business Process Integration and Management	2005 to 12/2012
International Journal of Operations & Production Management (IJOPM)	1980 to 12/2012
International Journal of Service Science, Management, Engineering, and Technology	2010 to 12/2012
International Journal of Services and Operations Management (IJSOM)	2005 to 12/2012
International Journal of Industrial Organization (IJO)	1983 to 12/2012
International Journal of Operational Research (IJOR)	2005 to 12/2012
International Journal of Organization Theory and Behavior (IJOTB)	1998 to 12/2012
International Organization (IO)	1947 to 12/2012
International Studies of Management & Organization	1971 to 12/2012
Journal of Applied Management Studies	1996 to 12/2000
Journal of Economic Behavior & Organization	1980 to 12/2012
Journal of Information and Operations Management	2011 to 12/2012
Journal of Management and Organization (JMO)	1995 to 12/2012
Journal of Operations Management (JOM)	1980 to 12/2012
Journal of Organizational Culture, Communications and Conflict	2006 to 12/2012
Journal of Service Management (JSM)	1990 to 12/2012
Journal of Service Science and Management (JSSM)	2008 to 12/2012
Leadership & Organization Development Journal, The	1980 to 12/2012
Learning Organization, The	1994 to 12/2012

Manufacturing & Service Operations Management (M&SOM)	1999 to 12/2012
Operations Research (OR)	1984 to 12/2012
Organization	1994 to 12/2012
Organization Science	1990 to 12/2012
Organization Studies	1980 to 12/2012
Production and Operations Management (P&OM)	1999 to 12/2012
Strategic Organization	2003 to 12/2012

In the third step, the search word combination *cultur** and *business process* was used to conduct further research into the selected journals. This combination was chosen to ensure that the articles identified do in fact deal with both topics.

Subsequently, in the fourth step, the overall period of analysis for each selected journal was browsed by means of the search word combination. Additionally, due to cross references in several of the identified articles, a further article from the *Journal of Applied Management Studies*, which was thematically cognized as relevant and is also peer-reviewed, was included in the analysis.

The articles identified went through a further selection (Table 3) to prove their relevance with respect to the underlying research topic. In the first instance, articles that did not fit the topic of interest, due to not containing thematically relevant content in the abstracts, were removed. The whole text of all remaining articles was worked through thoroughly in regard to the content. The articles whose content was identified as relevant to the underlying question regarding the interrelation between the two fields of BPM and organizational culture were chosen for the study. In this vein, 26 scholarly papers were included in the actual literature review.

Table 3: Selected papers for the review

Contributing author(s)	Year	Journal
Ahmad, H., Francis, A., Zairi, M.	2007	BPMJ
Al-Mashari, M., Zairi, M.	1999	BPMJ
Armistead, C.	1998	IJSM
Armistead, C., Machin, S.	1997	IJOPM
Baird, K., Hu, K.J., Reeve, R.	2011	IJOPM
Cao, G., Clarke, S., Lehaney, B.	2001	BPMJ
Chen, W.-H.	1999	JAMS
Choi, C.F., Chan, S.L.	1997	BPMJ
Da Silva, L.A., Damian, I.P.M., de Pádua, S.I.D.	2012	BPMJ
Fagan, M.H.	2006	BPMJ
Gulledge, T.R., Sommer, R.A.	2002	BPMJ
Kohlbacher, M.	2010	BPMJ
Kohlbacher, M., Gruenwald, S.	2011	BPMJ
Lee, R.G., Dale, B.G.	1998	BPMJ
Lewis, D.	2002	ODJ
Llewellyn, N., Armistead, C.	2000	IJSM
Pritchard, J.-P., Armistead, C.	1999	BPMJ
Ravesteyn, P., Batenburg, R.	2010	BPMJ
Sentanin, O.F., Santos, F.C.A., Jabbour, C.J.C.	2008	BPMJ
Sidorova, A., Isik, O.	2010	BPMJ
Skrinjar, R., Bosilj-Vuksic, V., Indihar-Stemberger, M.	2008	BPMJ
Sundberg, H.P., Sandberg, K.W.	2006	BPMJ
Telleria, K.M., Little, D., MacBryde, J.	2002	BPMJ
vom Brocke, J., Sinnl, T.	2011	BPMJ
Zairi, M.	1997	BPMJ
Zucchi, F., Edwards, J.S.	1999	BPMJ

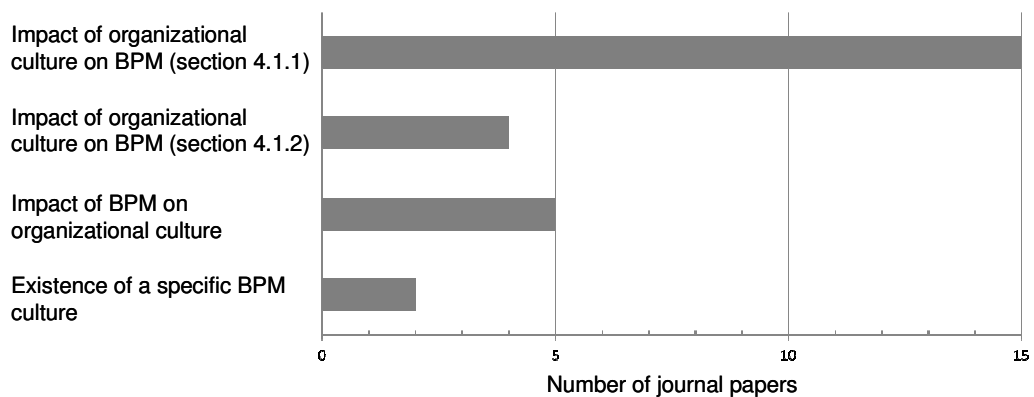
4 Academic works addressing the interrelation between BPM and organizational culture

In this section, the research approaches of the papers selected as being relevant for the literature review are briefly presented. For this purpose, we modified a structure suggested by vom Brocke and Sinnl (2011) and distinguish three main clusters:

- (1) approaches dealing with the *influence of organizational culture on BPM*,
- (2) approaches concerned with the *influence of BPM on organizational culture*, and
- (3) approaches claiming the existence of a specific *BPM culture*.

For an overview of the number of works contributing to the different approaches, see Figure 2.

Figure 2: Number of papers contributing to the different research approaches



4.1 Influence of organizational culture on BPM

The following papers deal with the perception that the culture of an organization has a relevant influence on process management and can be seen as a relevant context factor in BPM initiatives. With 19 papers in total, this cluster contains the majority of works found in our literature review. Furthermore, we found that the authors deal differently with the influence of organizational psychology: 15 papers specify the influence of organizational culture as a key factor for success or failure in BPM initiatives, whereas four papers do not specify this influence in detail. This difference is important as it might help to understand the mechanisms of influence between the two concepts and might add further implications to handle the assumed influence in practice. For this reason, we cover the approach in two subsections.

4.1.1 Organizational culture as a key factor for success or failure

Most authors focusing on the interrelation between organizational culture and process management conceive culture as a main factor for the success or failure of BPR or BPM initiatives. These papers focus on the implementation phase of the BPM cycle and are briefly presented in the following. The inclusion of papers dealing with BPR initiatives seems to be essential for the literature review, as BPR – being the predecessor of BPM – shows a close conceptual relation to this approach. Also, consideration of works on this topic could provide important leads for the interrelation between BPM and organizational culture, as well as for further research in this field.

Kohlbacher (2010) as well as Kohlbacher and Gruenwald (2011) aim to develop and validate a model for measuring the key dimensions of the construct “process orientation”. This term, understood as a comprehensive orientation on BPM as the central management style in an organization or rather the determination of an organization by means of their business processes, is the basis of Kohlbacher and Gruenwald’s (2011) approach. In the first instance, the authors develop a priori model on the theoretical dimensions of process orientation. The model is tested empirically. It is based on the results of a literature review by Kohlbacher (2010) of 26 papers on the influence of process orientation on a company’s success. A factor analysis of the empirical data results in seven dimensions of the construct of process orientation. One of these is “a corporate culture in line with the process approach” (Kohlbacher and Gruenwald, 2011, p. 278). This dimension is composed of employees’ customer-oriented attitude; employees’ responsibility for the success of the company; the existence of teamwork; interaction and communication in regard to processes; the employees’ knowledge of process execution; and the effects of process results on subsequent process steps and on the customer. Kohlbacher and Gruenwald (2011) explain that, in terms of obtaining knowledge of how processes are executed, the process approach in an organization is being lived by the employees and, thus, plays an important role for an organizational culture striving for process thinking.

Ravesteyn and Batenburg (2010) also describe cultural aspects as an important element within the scope of BPM. They offer a detailed examination of whether there is a common understanding of process management, and the critical factors of success with respect to the implementation of BPM systems. For this purpose, the authors conduct interviews in several Dutch companies. Based on the results, a framework for the implementation of BPM systems is presented. One result is that the interviewees all attach great importance to different organization-cultural factors, such as communication and involvement of stakeholders, as well as a high resistance of employees when BPM systems are introduced. However, the details and basics of the argument of the success-critical effects of the cultural aspects remain undisclosed.

Sidorova and Isik (2010) follow a multidisciplinary approach. It is their goal, by means of a text mining technique (Latent Semantic Analysis), to deliver a comprehensive overview of the field of business processes and to identify the central topics of research. This broad analysis results in a classification of four areas of business process research: design, technologies, implementation, and management. The authors identify the concept of organizational culture as

a central issue in the area of implementation. Thus, organizational culture emerges as an important success factor for process initiatives in this paper. Furthermore, Sidorova and Isik argue that, from the viewpoint of research, success factors and organizational aspects are of increasing importance.

This statement is also supported by Sentanin *et al.* (2008) in their analysis of the implementation of process management in a governmental research center in Brazil. The authors present three approaches for BPM maturity degree models, and classify their own case studies within these maturity degree models. According to the model of Lockamy and McCormack (2004), the highest level of maturity in terms of an organization geared towards BPM is characterized – amongst other issues – by a horizontal, customer-oriented, and collaborative organizational culture. The analysis of the case studies in the reviewed organizations shows that the predominant organizational culture, also after implementing BPM, still follows traditional functional thinking, and that cultural change, which is reflected in the behavior patterns and paradigms of employees, is not yet implemented. Sentanin *et al.* conclude that a change in the organizational culture is an indispensable demand for the achievement of BPM maturity of an organization. However, the authors do not present empirical evidence for this conclusion.

Cao *et al.* (2001) argue that putting a focus solely on business processes in a change management initiative does not suffice, and that this limited perception is a common cause of failure for initiatives in the field of BPR. In most cases, the human and cultural aspects are neglected, leading to an inability to truly integrate the approach in the organization. Hence, related initiatives fail or do not lead to the desired success. Cao *et al.* approve a holistic perception based on a four-dimensional classification of organizational changes which include changes to processes, the company's organizational structures, the organizational culture, and the political positions of power within the company. Furthermore, these dimensions are not independent. Instead, they are to be understood, from a systematic point of view, as being related to and dependent of each other. Thus, change initiatives can only be effective if all four dimensions are taken into account. Therefore, the authors suggest multiple methods for enabling an integration of change in the whole organization. Cao *et al.*'s approach is theoretical, however, and is not empirically verified.

Choi and Chan (1997) support Cao *et al.*'s (2001) perception and outline success and failure factors in BPR projects. They categorize these factors into three groups: conceptual understanding of the approach, human factors, and skills. Particularly with regard to human factors, the authors emphasize the importance of cultural change for the success of BPR projects. The most frequent causes of failure are identified as a lack of communication and, hence, a lack of motivation towards the project and its results. These points, in turn, lead to a resistance towards the change on the part of the employees, often in connection with a fear of possible jobs loss. Regarding management, there is also a reservation towards change, as this mostly means flat hierarchies, a wider span of control, and the delegation of responsibility and decision power to a lower hierarchy level. The expected loss of power also often leads to resistance and a lack of support for the BPR project. Choi and Chan describe the change in organizational culture as one of the main and most difficult challenges in such projects. According to them, culture is deeply rooted in daily working life and, thus, is especially hard to

change. Due to this fact, the authors look particularly at these success and failure factors, and introduce a framework to offer practical assistance to the execution of BPR projects.

Similarly, Al-Mashari and Zairi (1999) analyze the success and failure factors of implementing BPR initiatives. They describe BPR as an approach with high potential to increase productivity, improve quality, and increase customer satisfaction. Its success, however, depends on certain factors. One of these deals with change management and includes all human and social changes and adaptations of the organizational culture. Following Al-Mashari and Zairi, the creation of a culture for change is essential for successful implementation of BPR. As organizational culture is predicated on basic beliefs and values, it is decisive to explaining new values and processes to the organization's employees, and by that means achieving a common understanding about which new goals are to be pursued and why. The authors enumerate dimensions supporting BPR and point out factors for failure within the respective dimensions. In the dimension of culture, these are: underestimation of the human contribution to BPR initiatives, a failure to consider existing management systems and organizational culture, ignorance of previous values, lack of trust between management and employees, tendencies to imitate other cases, underestimation of the political aspects in such projects, and hostility between differently skilled experts. However, these factors should be seen more as a checklist than a specific model.

The work of Zucchi and Edwards (1999) offers a detailed survey of human aspects as a success or failure factor in BPR projects. The authors examine the interrelation of BPR and human resource management (HRM), and analyze theories of HRM and group them into four categories: (1) structure and culture, (2) the role of the managers, (3) teamwork, and (4) reward systems. Zucchi and Edwards state that structure and culture in an organization are closely related to each other, and that a change in structure, as required by an orientation towards processes instead of functions, without regard to culture, can be ineffective or even affiliated with negative effects. On the one hand, a change in the basic beliefs and values of employees is of enormous importance for a successful change management in BPR projects; on the other hand, it requires a considerable amount of time. By means of interviews with senior managers of British enterprises that either carry out BPR projects or have already finished them, Zucchi and Edwards analyze the actual execution and implementation of HR theories in practice. The results of their interviews prove that the role of organizational culture is a key element for successful BPR projects.

Ahmad *et al.* (2007) support this finding. They analyze case studies by means of interviews in three universities in Malaysia that have successfully implemented BPR. All three cases have in common the importance of organizational culture for the success of radical, as well as incremental, change projects. Ahmad *et al.* particularly underline the fact that a well-developed culture of teamwork supports the achievement of BPR targets.

In Lewis's (2002) paper about the concept of organizational culture, its development in science, its connection to several management approaches, and the theoretical interrelation of organizational culture and process-oriented approaches, such as TQM and BPR, are examined. Despite some differences in the details regarding the interrelation of these concepts, they

all share a common idea: to achieve an improvement in quality and performance it is necessary to either consider the existing organizational culture, or to change the culture in order to realize the desired targets by means of a new organizational culture. In any case, however, organizational culture is a fundamental requirement for increasing quality and achieving management targets and must, following Lewis (2002), be seen as a success or failure factor for process-oriented management approaches.

Lee and Dale (1998) carry out an analysis of BPM in a company named as a world market leader in its field. In their literature analysis, the authors highlight organizational culture as one of the critical success factors for BPM. In their case study, an exemplary business unit of the company is analyzed in detail by means of interviews with employees of several functional areas and hierarchical levels. Within this business unit, the intention was to implement BPM. However, the analysis reveals that the cultural change necessary for successful implementation had yet to take place. Within the unit there still exists a strong orientation towards functional responsibilities. Additionally, the delineation and defense of employees' own competencies and spheres of power are a main focus, and there is a lack of communication between the different parts of the business unit. As one of the main reasons for these difficulties, the authors mention the reward mechanisms of the company that, though advancing the competition of the functional areas among each other, retard the cross-functional collaboration in terms of the company's overall objectives. Lee and Dale point out that BPM is not only an instrument for enhancing a company's performance, but also a method by which to direct the entire organization towards the essential corporate objectives in a process-oriented way. This implies a basic change of the organizational culture, Lee and Dale conclude.

Skrinjar et al. (2008) study the influence of process orientation on financial and non-financial performance in organizations. Based on the maturity model of process orientation set forth by McCormack and Johnson (2001), they analyze the maturity degree level of an organization and relate it to financial and non-financial performance by means of structural equation modeling. According to the maturity degree rating, Skrinjar et al. emphasize the meaning of organizational culture and, accordingly, a change in the organizational culture as an essential aspect with respect to a high maturity degree of process orientation. The paper confirms the strong influence of process orientation, especially on non-financial performance, and indirectly on financial performance. This result supports the idea that organizational culture as a success factor for process management impacts the performance of a company.

The work of Llewellyn and Armistead (2000) deals with the interrelation between social capital and BPM. The authors analyze what informal social networks can contribute at the process level within organizations, using the case of a large telecommunications company. The theory of social capital postulates that individuals can, according to their personal engagement within a network of relations, access resources which others do not have access to. This theory is confirmed in the case study. It also becomes apparent that relationship networks beyond functional boundaries at a process level can contribute considerably to the success of the processes by permitting access to alternative problem solutions (Llewellyn and Armistead, 2000). The three dimensions underlying social capital – structural, relational, and cognitive – as well as their characteristics, are conceptually closely related to the factor of organizational culture.

One of the authors' conclusions is that effective process management needs an alignment with the culture of an organization in order to support the flow of information and material beyond functional borders. The concept of social capital and social credits, which individuals can gain in relationship networks and which makes it possible for them to access corresponding resources, seems to be interesting – particularly against the background of the still blurry relation between process management and organizational culture.

Da Silva et al. (2012) conduct in-depth interviews with managers in two Brazilian service companies to analyze the importance attached to BPM tasks and the barriers encountered in the transition from functional management to process-oriented management. The main barrier found in both companies is related to organizational culture and people because of their departmental thinking. The authors state that as a consequence of the stable previous patterns of functional thinking cultural change is very challenging. They suggest a careful management of change supported by BPM training for the employees to promote a focus on processes.

4.1.2 Organizational culture as a non-specified influence

In addition to the papers covered in the previous section there are four papers mentioning but not specifying the influence of organizational culture on BPM.

Fagan (2006) focuses on governmental initiatives for the improvement of efficiency and service optimization. By means of interviews and documentary analysis, three initiatives are analyzed. Fagan shows starting points for how BPM can support managers in the public sector to control the social and technical changes necessary to achieve the desired results. She concludes that BPM, which is also included in governmental initiatives, can add substantially to process improvement and to an increased orientation with respect to the needs of citizens, if the particularities of the public sector and the government units are taken into consideration. Fagan mentions challenges in the social sector, such as a culture of cooperative collaboration, the overcoming of organizational and department-specific reservations, and the creation of new forms of work relations, to be essential for BPM and the corresponding initiatives. She argues that, particularly in the public sector, these aspects matter, as here the function-focused way of thinking is firmly anchored in the conventions and culture of the government. Fagan does not explain the details she draws her conclusions from. A detailed description of the cultural influence factor and its effectiveness is also missing.

In a pilot study, Armistead and Machin (1997) carry out interviews to attain starting points and subsequently select four organizations considered as leaders in the field of process management. Based on the pilot study, semi-structured interviews are conducted in the four organizations, and a number of documents such as process flow charts, planning frameworks, and organizational structures are analyzed. From this data, cognitive maps are created to identify the essential subjects. Finally, these subjects are assorted according to individual subject clusters, and are compared to the data in the pilot study to back up the consistency of the results. The six clusters are: (1) coordination of the organization beyond internal divisional limits, (2) process description, (3) organizational structure, (4) cultural fitting, (5) amelioration, and (6)

rating. The meaning of cultural fitting is described as a central topic, as the performance and results of an organization arise solely from the co-action of people and processes. As a first result of the manifold data, Armistead and Machin identify that the basic BPM approach has to fit into the organizational culture. Changing the organizational culture is, in the long run, not precluded for this reason, but, in contrast to many radical approaches, the existing organizational culture serves as an essential precondition of the BPM initiative. However, there is no detailed description and analysis of the cultural fitting delivered.

Chen (1999) applies the physical laws of thermodynamics to management concepts in order to provide notices and explanations advancing comprehension of the functionality of social systems. In this context, not only is the consideration of purely economic aspects picked out as a central theme, but the dynamics of social relations and organization-cultural aspects are identified as well. Chen emphasizes the importance of these factors and suggests that successful management of business processes is, to a high degree, dependant on organization-cultural factors. Thus, he agrees with Martinsons' (1996) point of view, saying that an organizational culture which is characterized by a high degree of harmony and stability leads to evolutionary, rather than revolutionary, changes in respect of control and execution of business processes. However, Chen does not dwell on details of this correlation either.

The interrelation between organizational culture, total quality management (TQM) techniques, and operational performance is examined by Baird *et al.* (2011). They come to the conclusion that organizational culture is antecedent and influential with respect to TQM techniques. The authors argue that culture is deeply rooted in the organization and presents a sample of the common and consistent beliefs and values built in a company or business unit over the course of time. As a concept that shows the interplay between several organizational characteristics, organizational culture is not easy to change and the possibility of a specific management technique having substantial influence on organizational culture is rather low. Instead, the authors assume that organizational culture has an influence on TQM by virtue of creating a surrounding that supports the successful implementation of TQM. This paper traces two research questions: (1) Which interrelation exists between organization-cultural factors and the extent of the use of TQM techniques? (2) Which interrelation exists between the use of TQM practices and the operational performance and, thus, indirectly between organization-cultural factors and the operative performance? To answer these questions, Baird *et al.* use data from 364 Australian companies within the production and service industries. Organizational culture is surveyed by means of the Organizational Culture Profile (OCP) (O'Reilly *et al.*, 1991). The utilization of TQM techniques is assessed with the help of four main techniques of TQM based on Kaynak (2003): (1) qualitative data and -research, (2) supplier quality management, (3) product and service design, and (4) process management. The contribution appears to be relevant for this literature review, as it considers process management as an important factor even though it is not directly aimed at BPM. Data collection is carried out by means of a questionnaire to managers of companies holding an ISO 9000 certificate. The interrelation between organizational culture and the extent to which TQM techniques are used is analyzed with the help of multiple regression analysis. To analyze the interrelation between TQM techniques and operational performance, the structural equation method is used. The results show that three out of the six organization-cultural dimensions determined by the OCP

have a significant positive effect on the extent of the use of TQM techniques. These dimensions are focused on results, teamwork, respect, and innovation. In particular, the dimensions of teamwork and respect show a significantly positive effect on three of the four main techniques of TQM. Furthermore, three of the four TQM techniques show a significantly positive effect on the operative profit which indicates an indirect effect of organizational culture on the operative performance. Due to its detailed analysis and the quantitative approach to the proof of the interrelation, this survey is particularly interesting. BPM and organizational culture are taken into consideration by means of their conceptual adjacency to the concepts presented in this analysis.

Summarized, the approaches compiled in this section offer interesting insights concerning the influence of organizational culture on BPM. However, they fail to provide a detailed analysis, or substantial proof, of this influence.

4.2 Influence of BPM on organizational culture

In this section, approaches supporting the perception that process management has an influence on culture in organizations are presented. Contributions supporting this approach regard organizational culture as a component of BPM initiatives.

In a case study about Royal Mail, Armistead (1998) analyzes the effects of BPM on the productivity of service companies. The implementation of process orientation is analyzed extensively, using the example of the process of a product pipeline. Armistead detects that the process approach in this example leads to the implementation of process methods which, in turn, cause a cultural change in the organization, as well as an associated change in the attitude and behavior of employees. He further describes that this change indirectly leads to an increased productivity rate. However, the author does not respond in depth to the fact that these effects exist, or to the question of how BPM influences organizational culture in detail.

Pritchard and Armistead (1999) explore how process management can be introduced successfully in practice, or rather from which experiences future BPM approaches may possibly benefit. For this purpose, the authors conduct questionnaires and case studies within several European companies that are considered experienced and successful in the area of BPM. A result of the survey is the identification of three main results of successful BPM initiatives: (1) improved customer relationships, (2) better cross-functional collaboration within the company, and (3) changes in the organizational culture. In this context, some interesting characteristics have been identified. Pritchard and Armistead come to the conclusion that employees in companies with explicit experience of BPM implementation also develop a more positive attitude towards BPM and have a more defined idea of the targets and possible use of process management. Furthermore, the companies quite deliberately took company-cultural aspects into consideration in the course of BPM implementation. Thus, Pritchard and Armistead identify aspects such as the classification of BPM in a fundamental change process, the clarity and transparency of the background and vision of the initiative, and the communicative attendance of BPM implementation as the main factors that have to be considered in the context of BPM.

In addition, the authors emphasize that it might take some time to enact the paradigm shift that is related to such an initiative, as a process-oriented mindset is frequently in contrast to the commonly accepted and deeply entrenched mindset of functional orientation.

Telleria et al. (2002) discover a change of working methods and culture among employees as a constitutive after-effect of BPM in companies. Considerably more teamwork, as well as appropriate forms of performance measurement at a team level, is necessary to manage and motivate employees to change their behavior and performance in a way that corresponds to the new direction of the company. Thus, the authors develop a framework for the performance management of process teams. The result of the research is that the framework can assist in the control of employees and processes in such a way that their share in the attainment of company objectives is increased. Thus, one of the main aspects is consideration of the consequences of a BPM initiative on the processes and the organizational culture, as well as the intentional and comprehensive inclusion of those employees who are involved in the change process. At the beginning of Telleria et al.'s work, changes in working methods and organizational culture are described as a clear result of BPM. As the paper progresses, these aspects appear to be seen as central factors of success for the consequential orientation of BPM. Due to the rather roughly described case study, the direction and effectiveness of the interrelation are not clearly explained. However, the interrelation's immense importance is clearly stressed in Telleria et al.'s work.

Likewise, Gullede and Sommer (2002) advance the view that organizational culture is influenced by BPM initiatives. Their investigation focuses on the use of process management in the public sector, and the question of why it is worth implementing BPM therein. The authors describe that, predominantly in the public sector, there is a strong focus on function-oriented and hierarchical structures, as well as a number of control mechanisms. Such orientation contravenes a process-oriented organizational design. The authors state that when organizations hold on to their vertically oriented communication systems and reward a hierarchically organized way of working – or rather support it via corresponding control mechanisms while they try to implement BPM – there is a high probability that the persons in charge will prevent effective process management. This argument also applies the other way round: communication systems oriented towards processes support the development of an organizational culture which makes BPM possible. All in all, Gullede and Sommer (2002) do not offer an optimistic view of BPM in the public sector. The approach is rather descriptive and the arguments used are intuitive; empirical proof of the argumentation fails to appear in this article.

The empirical paper by Sundberg and Sandberg (2006) follows up the approach of Gullede and Sommer (2002) and analyzes process initiatives in the Swedish social insurance administration that are responsible for the majority of the financial safety net in Sweden. By means of quantitative data and qualitative interviews, the survey deals with questions of how functional limits can be overcome, how processes can be coordinated beyond functional limits, and whether radical or incremental change should be aimed at. This survey confirms the assumptions of the aforementioned approaches, namely that traditional hierarchical structures and control systems are particularly anchored in the public sector and cannot be replaced easily. Thus, it is important to turn special attention to changes in the organizational culture and to

break open functional ways of thinking. Hence, the authors conclude that a gradual *modus operandi* with a goal of an incremental change is an adequate approach in the public sector, and that, in this way, it might also continuously work towards a change in organizational culture.

All papers in this section are based on qualitative interviews and are predominantly intuitive, without providing coherent details of the argumentation.

4.3 BPM culture

The papers covered in this section rely on the perception that there exists a specific BPM culture in the interrelation between BPM and organizational culture. This approach is primarily propagated by authors anchored in the BPM discipline.

Vom Brocke and Sinnl (2011) present a literature review about culture in business process management which proves that culture is a sparsely studied but increasingly important topic in the field of BPM. The authors describe different approaches of the relationship between BPM and culture and separate two definitive elements of the cultural concept: the manifestation of culture on different levels concerning attitude and behavior of employees, and the dimensions of the reference group (national, organizational, and working group). Vom Brocke and Sinnl develop a framework of the role of culture in BPM based on the assumption that the management approach of BPM requires a BPM culture. The framework classifies the interaction of the different comparison groups and the different levels of culture into basic values, as well as visible actions and structures, and, thus, provides a conceptual basis. However, the analysis of the direction of influence and effectiveness of the interrelation between culture and BPM is outside of the scope of the framework, as it has been replaced by the newly invented concept of BPM culture. Although the approach of vom Brocke and Sinnl is important for research into the interrelation between culture and process management, it seems to be critical that only journals and conference papers in the field of BPM are included in their work – approaches dealing with management and organizational psychology are not taken into consideration although these are the main research fields of organizational culture. With a similar intention, Sidorova and Isik (2010) warn that, due to its discipline-comprehensive nature, many literature reviews on the topic of BPM fail to cover all relevant aspects.

Likewise, Zairi (1997) pursues the concept of a BPM culture and emphasizes that BPM is an approach for cultural change in companies. Following Zairi, BPM requires, amongst others, accredited quality management systems, clear organizational structures, and a strict focus on a company's objectives and strategy as well as the communication of these objectives to all employees. Zairi presents different approaches of global market leaders with a strict BPM orientation, and compares these approaches in order to deduce rules for the development of a BPM culture. At this juncture, he centers on the importance of the combination of soft and hard aspects of an organizational system. However, he remains abstract with regard to the derivation of the rules which, in the end, constitute a conceptual approach rather than being concrete and implementable.

In sum, the approaches claiming a specific BPM culture address the interrelation between organizational culture and BPM. However, because of their rather abstract nature, they do not appear to be conducive for a deep understanding of the effectiveness of and, consequently, as a management support for the implementation of BPM initiatives.

5 Cornerstones of a research framework

The papers included in this literature review disclose huge differences in perception regarding the interface between business process management and organizational psychology. The majority of these contributions follow the assumption that organizational culture influences BPM. The antithetic approach regarding the influence of BPM on organizational culture also has several advocates. Few contributions support the existence of a specific BPM culture.

All papers have in common that they identify a definite relation between organizational culture and BPM, and an explicit need to take this relation into account. Nevertheless, the nature of this relation, the questions of why it exists and what its effects actually are, are either ignored or only rudimentarily described. Moreover, the small number of hints delivered in these papers with respect to these questions does not allow a substantive statement to be made. In addition, the works reviewed here are mostly based on qualitative interviews with small numbers of managers from the surveyed companies, or even based on pure estimations. None of the papers included proves a clear, quantitatively constituted interrelation based on manifest key performance measurements of the proposed business processes.

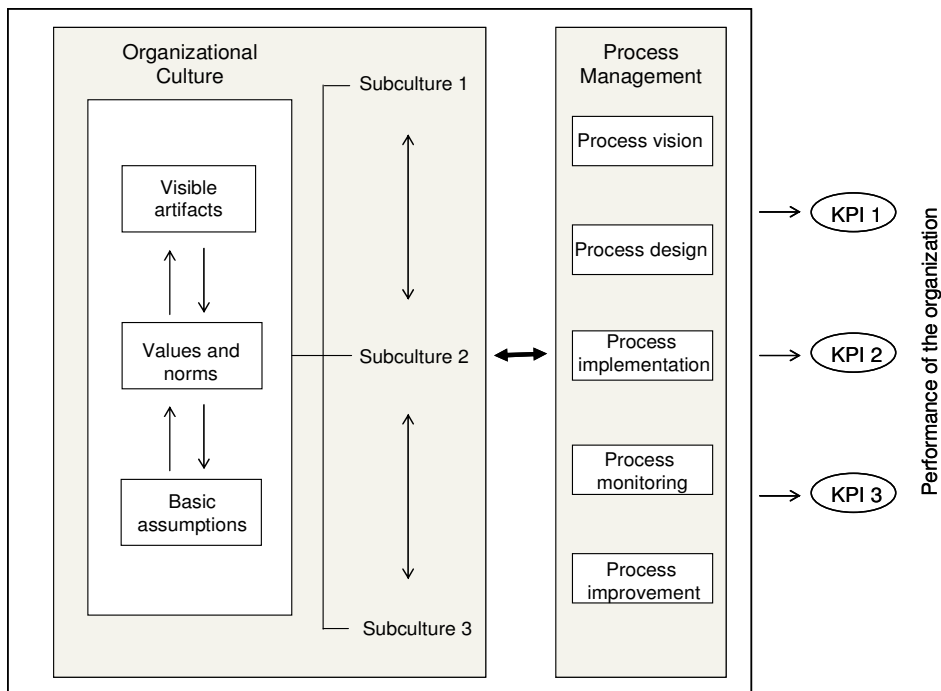
Most papers focus on the BPM point of view and do not clearly elaborate on the concept of organizational culture or relate their assumptions to the conceptual basis of organizational culture. As one consequence of this deficiency, there is no clearly defined common understanding of the concept of organizational culture among the different works as well as no consistent or transparent way of assessing culture in an organization. In sum, the organizational psychological perspective is strongly neglected in the analyzed papers.

Since in many companies there is more than *one* organizational culture, this issue should also be investigated. Due to mergers and acquisitions or simply due to the geographical distribution of branches (e.g., in one region/country or in multiple regions/countries) or, following the work of vom Brocke and Sinnl (2011), different levels of the respective reference group (e.g., working group or organizational level) subcultures exist. As they may have different impacts on or even cause major challenges for the whole organization (different work conditions, fights for resources, etc.), this issue is highly relevant and should also be further researched.

The literature review reveals that there is a considerable academic gap in the field of the interdependency between organizational culture and BPM. To comply with the demand of the authors that the interrelation should be taken into account when implementing BPM in organizations, it appears indispensable to enhance research with respect to this gap.

To handle the scientific challenge, we developed a framework based on our literature research. The framework is meant to serve as a basis to better understand the interrelation between the two concepts and provide a basis for upcoming research activities in this area (Figure 3).

Figure 3: A framework of the interrelation between process management and organizational culture



The analysis of the papers included in this literature review confirms that an interrelation between BPM and organizational culture exists and that the influence is highly relevant for the organization. Thus, both fields, as well as their interrelation, can have both direct and indirect effects on the performance of an organization. Following the organizational culture theory of Schein (1990), we base the concept of organizational culture in our framework on three inter-related levels (basic assumptions, values and norms, and visible artifacts).

In order to positively influence or change the culture of an organization, it is crucial to be aware of these levels and the conceptual mechanisms of their interrelation. In a next step possible starting points to systematically and fundamentally shape the values and basic assumptions of an organization should be identified.

The different approaches of our literature review show that organizational culture is interrelated to process management in a complex and controversially discussed manner regarding the specific mechanisms of influence underlying this interrelation. Besides these influence mechanisms, the interrelation may depend on the respective phase of the BPM life cycle (de-

velopment of a process vision, process design, process implementation and execution, monitoring, measurement, and evaluation of process performance, and process improvement) of the specific BPM initiative. The analyzed papers concentrate on the implementation phase as in this phase a potential discrepancy between the intention of a BPM initiative and the respective organizational culture might become obvious in a rejective attitude or hindering behavior of the employees. With regard to a holistic understanding of the interrelation between BPM and organizational culture, it might be important to take the whole BPM life cycle into account, not only the implementation phase. The holistic interrelation is the focal point of interest as it addresses the existing gap in the research.

The relevance of the interrelation becomes obvious, since both BPM and organizational culture influence the overall performance of a company. The influence on the performance occurs and can be measured via a set of key performance indicators (KPIs) based on the company's business processes. By this means, the concrete effects and the dimension of the relevance of the interrelation between process management and organizational culture might be assessed.

The suggested framework reflects all relevant aspects in regard to the interdependency between BPM and organizational culture and their influence on the performance of an organization. It thus provides a substantive basis to deepen the understanding in the respective research areas and serves as an orientation for upcoming research activities.

6 Conclusions

Organizational culture plays an important role in successfully deploying process management initiatives. Despite an awareness of its importance, the interrelation of process management and organizational culture has only barely been explored in literature up to now. This paper analyzed and determined the status quo of academic literature from both a process management and an organizational psychological perspective.

For this purpose, we surveyed all papers stemming from both research fields and meeting the requirements as peer-reviewed and having been published in scholarly journals. The literature review classifies the relevant academic works into three categories, including papers dealing with the influence of organizational culture on BPM, the influence of BPM on organizational culture, and the issue of a specific BPM culture.

One result of our literature review is that the coherence of the two fields, process management and organizational culture, has been proven through the analyzed pieces of work. However, no clear statement concerning the details of the interrelation, the causal relation, or even the exact functions of the mechanisms has been delivered so far. Different opinions are delivered but, for the most part, these are only centered on very basic qualitative investigations. Empirical evidence on this topic is still missing. Hence, the literature review reveals a research gap concerning the huge differences in understanding with respect to the interface between BPM and organizational culture. Because of the high relevance of the interrelation for the overall

performance of an organization, this gap should be filled by further research. We suggest that the presented framework may serve as a basis for deeper understanding the interdependency between process management and organizational culture.

In addition, the framework may provide avenues for future research. Such research should address the following issues:

- (1) Up to now, the existence of an interrelation between organizational culture and business process management has only been reckoned in qualitative surveys. A quantitative approach would be helpful to confirm this interrelation and to gain reliable data that allows a comparison to be drawn between different organizational cultures and their respective impacts on the phases of BPM (process vision, process design, process implementation, process measurement, and process improvement).
- (2) The direction of the influence and the effectiveness of the interrelation have often been discussed. However, both aspects are still of an inconsistent and primarily speculative nature. A detailed analysis of the influence of the two fields on each other is crucial for both further research in this topic and for practice.
- (3) The existence of several subcultures within an organization and a comparison of their effects on specific KPIs and, thus, on the performance of the company may offer interesting insights into the interdependency between BPM and organizational culture.
- (4) The exact contact point(s) of the interrelation on the basis of the BPM life cycle has not been analyzed thus far. Most of the existing approaches emanate implicitly from an interrelation – which is mainly reflected in the phases of implementation. This assumption, however, is not elaborated on or confirmed in any of the academic papers. Where in the BPM life cycle the interrelation with organizational culture exactly starts, continues, and ends remains another open space for research.

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