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#### **Research Report**

SOEP-Core - 2020: Self-employed persons (with reference to variables)

SOEP Survey Papers, No. 1419

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# 1419



Series A - Survey Instruments (Erhebungsinstrumente)



SOEP-Core – 2020: Self-employed Persons (with Reference to Variables)

**SOEP Group** 



Running since 1984, the German Socio-Economic Panel (SOEP) is a wide-ranging representative longitudinal study of private households, located at the German Institute for Economic Research, DIW Berlin.

The aim of the SOEP Survey Papers Series is to thoroughly document the survey's data collection and data processing. The SOEP Survey Papers is comprised of the following series:

Series A – Survey Instruments (Erhebungsinstrumente)

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#### Editors:

Dr. Carina Cornesse, DIW Berlin and University of Bremen

Dr. Jan Goebel, DIW Berlin

Prof. Dr. Cornelia Kristen, University of Bamberg and DIW Berlin

Prof. Dr. Philipp Lersch, DIW Berlin and Humboldt-Universität zu Berlin

Prof. Dr. Carsten Schröder, DIW Berlin and Freie Universität Berlin

Prof. Dr. Jürgen Schupp, DIW Berlin and Freie Universität Berlin

Prof. Dr. Sabine Zinn, DIW Berlin and Humboldt-Universität zu Berlin

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DIW Berlin German Socio-Economic Panel (SOEP) Mohrenstr. 58 10117 Berlin Germany

soeppapers@diw.de



# **SOEP-Core – 2020: Self-employed Persons** (with Reference to Variables)

2025

The survey was funded by the research grant "INNOMSME" (project number: 01UI1802) provided by the German Federal Ministry of Education and Research.

The variable names mentioned in this document belong to a collection of datasets, which is released with doi:10.5684/soep.core.v39o.

#### **Reading Aid**

This representation of the questionnaire contains the same informations as the portal paneldata.org.



Here, in addition to the *question number*, *question text*, *interviewer instructions*, and *answer options*, you find printed in green the *file name* and the *variable name* with the *variable label*, which contains information from the question. If you see rows of green lines below the answer option, the questionnaire defines serveral variables or informations are stored in more than one data set.

The routing by filters is depicted in blue.

Each variable is preceded by an identifier, which usually contains the question number and which is used when the variable is used in filters in subsequent questions. Such filters are usually placed as input filters (gatekeepers) at the beginning of a question. An identifier that is referred to in the input filter of a subsequent question is marked yellow as an example.

This reading aid does not cover a few exceptional cases: If the filter (as gatekeeper) only affects one of serveral variables for a question, the filter is printed in blue behind the variable. There you will also find a "go to" command in the form 2 @ Q73. Here, the questionnaire skips to question 73 if answer 2 was given.

Phrases that are not alreday translated in English are displayed in German and preceded by [de].

If value = 1 B003 - BBD: Query sales, expenses, profit, financing & investments at the point as in the template If value = 2 B003 - BBD: Query turnover, expenses, profit, financing & investments last

Split 1 1 Split 2 2

Q001:Blockreihenfolge selfempl split Split block sequence

Q002 Are you currently still self-employed or have you had to give up your self-employment?

I am still self-employed
I have already given up my self-employment

Q002:VBSF selfempl elb0300 Currently self-employed

Q003 Are you an entrepreneur or self-employed and have founded a company or taken over an existing one?

Founded company alone 1
Founded company as part of a team 2
Have taken over the company completely 3
Joined a team as a partner 4
none of this 5

Q003:VBD selfempl elb0301\_v1 Foundation or takeover 5@END

#### Q003;VBD=2

Q004 With how many other people did you found the company?

1:99

Q004:VBG selfempl elb0302 Number of additional persons at foundation

#### Q003;VBD=4

Q005 How many other people were in the team that you joined as a partner?

1:99

Q005:VBH selfempl elb0303 Number of other people in the team when joined as a partner

#### Q003;VBD=1,2

#### Q006 When did you found the company?

Please note the month and year in which you started?

Month \_ \_ 1:12 Year \_ \_ 1900:2020

Q006:VBJa\_Monat selfempl elb0307 Month: Founding date Q003;VBD=1,2 Q006:VBJa\_Jahr selfempl elb0308 Year: Founding date Q003;VBD=1,2 Q006:VBJa\_Jahr selfempl elb0308 cat Year: Founding date [categories] Q003;VBD=1,2

not specified 1

#### Q003;VBD=3

#### Q007 When did you take over the company?

Please note the month and year in which you started?

Month \_ \_ 1:12 Year \_ \_ 1900:2020

Q007:VBJb\_Monatselfemplelb0312Month: Takeover dateQ003;VBD=3Q007:VBJb\_Jahrselfemplelb0313Year: Takeover dateQ003;VBD=3Q007:VBJb\_Jahrselfemplelb0313\_catYear: Takeover date [categories]Q003;VBD=3

not specified 1

#### Q003;VBD=4

#### Q008 When did you join the company?

Please note the month and year in which you started?

Month \_ 1:12 Year \_ 1900:2020

Q008:VBJc\_Monatselfemplelb0317Month: Entry dateQ003;VBD=4Q008:VBJc\_Jahrselfemplelb0318Year: Entry dateQ003;VBD=4Q008:VBJc\_Jahrselfemplelb0318\_catYear: Entry date [categories]Q003;VBD=4

not specified 1

[Dummy to control further questions. If foundation/takeover/entry after 30.09.2019, then 1, otherwise 2]

Yes 1

No 2

Q009 Have there been the following short-term changes in your company and/or in your work situation as a result of the coronavirus crisis?

Please indicate all that apply.

| My business is directly affected by new regulations, such as opening hours or other restrictions |  |             |  |   |  |  |  |  |
|--|--|-------------|--|---|--|--|--|--|
| My business is   | My business is directly affected by the fact that my suppliers cannot supply me with |             |  |   |  |  |  |  |
| the primary  | products   | I need for  | r my business  |   |  |  |  |  |
| My company i   | s directly a   | affected by | the fact that customers have canceled their orders                       | 1 |  |  |  |  |
| for my prod  | lucts or ar  | e currentl  | y not requesting my services   |   |  |  |  |  |
| I make use of  | Kurzarbei  | t regulatio | ns for my employees  | 1 |  |  |  |  |
| I make use of  | special lea  | ve arrang   | ements with pay compensation for my employees                            | 1 |  |  |  |  |
| I make use of  | special lea  | ve arrang   | ements without pay compensation for my employees                         | 1 |  |  |  |  |
| I work entirely  | from hor   | ne          |  | 1 |  |  |  |  |
| I work partly f  | rom home   | <u>,</u>    |  | 1 |  |  |  |  |
| No, none of th   | is applies   |             |  | 1 |  |  |  |  |
| Q009:VBRH_1  | selfempl   | elb0327     | Work situation: New regulations on opening hours or other restrictions   |   |  |  |  |  |
| Q009:VBRH_2  | selfempl   | elb0328     | Work situation: Suppliers do not deliver                                 |   |  |  |  |  |
| Q009:VBRH_3  | selfempl   | elb0329     | Work situation: Customers have canceled orders or not requested services |   |  |  |  |  |

Work situation: Kurzarbeit for employees

Work situation: None of the above applies

Work situation: Special leave with pay compensation for

Work situation: Special leave without pay compensation for

Work situation: Interviewee completely works from home

Work situation: Interviewee partly works from home

Q010 Has the scope of your working hours changed as a result of the coronavirus crisis?

employees

employees

Yes, has increased 1
Yes, has decreased 2
No 3
No answer -1

selfempl

selfempl

selfempl

selfempl

selfempl

selfempl

Q010:VBRK selfempl elb0336 Scope of working hours changed

elb0330

elb0331

elb0332

elb0333

elb0334

elb0335

#### Q010;VBRK=1

Q009:VBRH\_4 Q009:VBRH\_5

Q009:VBRH\_6

Q009:VBRH\_8

Q009:VBRH\_9

Q009:VBRH\_10

#### Q011 By how many hours per week have your working hours increased?

\_ hours per week 0:999

Q011:VBRL selfempl elb0337 Increase in working hours: Hours per week Q010;VBRK=1

not specified 1

#### 0010:VBRK=2 Q012 By how many hours per week have your working hours been reduced? \_ \_ hours per week 0:999 Q012:VBRM selfempl elb0338 Reduction in working hours: Hours per week Q010;VBRK=2 1 not specified Q013 What other restrictive decisions did you have to make in your company? Please indicate all that apply. I have postponed operational investments 1 I have postponed the introduction of innovations 1 I have postponed operational expansion plans 1 I have postponed recruitment 1 I had to lay off employees 1 No, none of this applies Q013:VBRY\_1 selfempl Restricting decisions: Company investments postponed elb0339 Restricting decisions: Introduction of innovations postponed selfempl Q013:VBRY\_2 elb0340 Q013:VBRY\_3 selfempl elb0341 Restricting decisions: Company expansion plans postponed Restricting decisions: Recruitment postponed Q013:VBRY\_4 selfempl elb0342 Q013:VBRY\_5 Restricting decisions: Dismissed employees selfempl elb0343 Restricting decisions: None of these apply Q013:VBRY\_10 selfempl elb0344 Q013;VBRY\_5=1 Q014 How many employees did you have to let go? 0:99999 \_ \_ Employees Q014:VBRZ selfempl elb0345 Number of employees dismissed Q013;VBRY\_5=1 not specified 1 Q015 Were you also able to make decisions that expanded your operating activities? Please indicate all that apply. 1 I have made additional investments 1 I have introduced a product, process or organizational innovation I have expanded "e-commerce" and increasingly sell my products or services via the Internet I was able to expand my business into new areas 1 I have hired staff No, none of this applies Q015:VBSB\_1 selfempl elb0346 Expansive decisions: Additional investments Q015:VBSB\_2 selfempl elb0347 Expansive decisions: Product, process or organizational innovation introduced Q015:VBSB\_3 selfempl elb0348 Expansive decisions: "E-commerce" expanded and Internet sales increased 0015:VBSB 4 selfempl Expansive decisions: Company expanded into new areas elb0349 Q015:VBSB\_5 selfempl Expansive decisions: Staff hired elb0350

Expansive decisions: None of these apply

selfempl

elb0351

Q015:VBSB\_10

#### Q015;VBSB\_5=1

#### Q016 How many employees were you able to hire?

\_ \_ Employees 0:99999

Q016:VBSC selfempl elb0352 Number of employees hired Q015;VBSB\_5=1

not specified 1

### Q017 Has your income from self-employment or entrepreneurial activity changed as a result of the coronavirus crisis? Is your income ...

Increased 1
Sunk 2

Remained roughly the same
Don't know
4

No answer -1

Q017:VBRN selfempl elb0353 Change in income during the corona crisis

#### Q018 What was your turnover in euros in February 2020?

Euro \_ \_ 0:999999

Q018:VBRP selfempl elb0354 Turnover in the month of February 2020 in euros

Q018:VBRP selfempl elb0354\_cat Turnover in the month of February 2020 in euros [categories]

not specified 1

### Q019 And has your company's turnover changed as a result of the coronavirus crisis? Has turnover in the last month ...

Increased 1 Sunk 2

Remained roughly the same Don't know 4

No answer -1

Q019:VBRQ selfempl elb0355 Change in turnover in the last month

#### Q019;VBRQ=1

### Q020 By what percentage did your turnover increase last month compared to February 2020?

\_\_% 0:9999

Q020:VBRR selfempl elb0356 Turnover increase in the last month compared to Q019;VBRQ=1 February 2020 in percent

residury 2020 in percei

not specified 1

#### Q019;VBRQ=2

## Q021 By what percentage did your turnover fall last month compared to February 2020? \_\_% 0:9999 Q021:VBRS selfempl elb0357 Turnover loss in the last month compared to February Q019;VBRQ=2

not specified 1

#### Q019;VBRQ=2

#### Q022 How long will your liquidity reserves last to keep the business going?

2020 in percent

\_\_months 0:99
Q022:VBRT selfempl elb0358 Duration of liquid capital reserves in months Q019;VBRQ=2

not specified 1

#### Q019;VBRQ=2

### Q023 Are you using government support measures from the rescue package due to your financial situation?

Yes, liquidity assistance 1
Yes, state loans 2
Yes, both 3
No 4
No answer -1

Q023:VBRV selfempl elb0359 Use of government support measures

#### Q019;VBRQ=2

### Q024 Do you use loans or financial support from friends, acquaintances or family due to your financial situation?

Yes 1 No 2 No answer -1

Q024:VBRW selfempl elb0360 Use of loans from friends, acquaintances or family

Q025 To what extent do the following statements apply to you from today's perspective?

|                       |             | 1 Does 1<br>apply at |                  | 3   | 4              | 5            | 6           | 7 Fully<br>applies |  |  |
|-----------------------|-------------|----------------------|------------------|---|----------------|--------------|-------------|--------------------|--|--|
| From today's          |             |                      | 2                | 3   | 4              | 5            | 6           | 7                  |  |  |
|                       | ight decisi | on to                |                  |   |                |              |             |                    |  |  |
| become a              |             |                      |                  |   |                |              |             |                    |  |  |
| entreprer             |             |                      | 2                | 2   | 4              | _            | C           | 7                  |  |  |
| I have my er          | -           | ırıaı                | 2                | 3   | 4              | 5            | 6           | 7                  |  |  |
| developm<br>complete  |             |                      |                  |   |                |              |             |                    |  |  |
| control               | ly under    |                      |                  |   |                |              |             |                    |  |  |
| Suppose you           | were offe   | ered 1               | 2                | 3   | 4              | 5            | 6           | 7                  |  |  |
| '                     | able job ir |                      |                  |   |                |              |             |                    |  |  |
| depender              | nt employi  | ment                 |                  |   |                |              |             |                    |  |  |
|                       | nip: I wou  | ld                   |                  |   |                |              |             |                    |  |  |
| end my                |             | _                    |                  |   |                |              |             |                    |  |  |
| -                     | oyment ar   |                      |                  |   |                |              |             |                    |  |  |
| -                     | omparabl    | e Job                |                  |   |                |              |             |                    |  |  |
| in a depe             |             |                      |                  |   |                |              |             |                    |  |  |
| employm<br>relationsl |             |                      |                  |   |                |              |             |                    |  |  |
| Q025:VBK_1            | selfempl    | elb0361_v1           | Attitude         | : Entrepreneu   | ırial activity | is the right | decision f  | rom                |  |  |
| Q023.VBI(_1           | Serrempi    | CID0301_V1           | today's          |   | and delivity   | is the right | decision in | 10111              |  |  |
| Q025:VBK_2            | selfempl    | elb0362_v1           | Attitude control | Attitude: Own entrepreneurial development fully under |                |              |             |                    |  |  |
| Q025:VBK_3            | selfempl    | elb0363              | Attitude         | : Accept com  | parable dep    | endent em    | ployment    |                    |  |  |

### Q026 And what was it like before the coronavirus crisis at the beginning of this year (January 2020)? To what extent does the following statement apply to you?

| It was the right decision to<br>become an<br>entrepreneur   | 1 Does not apply at all | 2 | 3 | 4 | 5 | 6 | 7 Fully applies |
|---|-------------------------|---|---|---|---|---|-----------------|
| I have my entrepreneurial<br>development<br>completely under<br>control   | 1                       | 2 | 3 | 4 | 5 | 6 | 7               |
| I would have ended my<br>self-employment at the<br>beginning of the year<br>and would have<br>accepted a comparable<br>job in dependent<br>employment if I had<br>been offered it |                         | 2 | 3 | 4 | 5 | 6 | 7               |

| Q026:VBSD_1 | selfempl | elb0364_v1 | Attitude before Corona pandemie: Entrepreneurial activity was right decision         |
|-------------|----------|------------|--|
| Q026:VBSD_2 | selfempl | elb0365_v1 | Attitude before Corona pandemie: Own entrepreneurial development fully under control |
| Q026:VBSD_3 | selfempl | elb0366    | Attitude before Corona pandemie: Accept comparable dependent employment              |

### Q027 What were the most important reasons for you to become an entrepreneur/self-employed? In your opinion, to what extent do these statements apply to your decision?

|               |             | 1 D     | oes not            |               |            |             |             |            | 7 Fully |
|---------------|-------------|---------|--------------------|---------------|------------|-------------|-------------|------------|---------|
|               |             | app     | ly at all          | 2             | 3          | 4           | 5           | 6          | applies |
| I wanted to b | e my own    | l       | 1                  | 2             | 3          | 4           | 5           | 6          | 7       |
| boss          |             |         |                    |               |            |             |             |            |         |
| I was discrin |             |         | 1                  | 2             | 3          | 4           | 5           | 6          | 7       |
| •             | my previo   | ous     |                    |               |            |             |             |            |         |
| workplace     | 2           |         |                    | _             |            |             |             | _          |         |
| I wanted to e | earn more   |         | 1                  | 2             | 3          | 4           | 5           | 6          | 7       |
| money         |             |         |                    | _             |            | _           |             | _          |         |
| I no longer v |             | oe -    | 1                  | 2             | 3          | 4           | 5           | 6          | 7       |
| unemploy      | yed         |         |                    |               |            |             |             |            |         |
| I had discove |             | in      | 1                  | 2             | 3          | 4           | 5           | 6          | 7       |
| the mark      |             |         |                    | _             |            | _           | _           | _          | _       |
| I had a new i |             |         | 1                  | 2             | 3          | 4           | 5           | 6          | 7       |
| wanted to     | impleme     | nt      |                    |               |            |             |             | _          |         |
| I could no lo | nger find   | a job   | 1                  | 2             | 3          | 4           | 5           | 6          | 7       |
| I wanted to l | nave flexib | le      | 1                  | 2             | 3          | 4           | 5           | 6          | 7       |
| working l     | nours       |         |                    |               |            |             |             |            |         |
| Continuation  | n of a fami | ily     | 1                  | 2             | 3          | 4           | 5           | 6          | 7       |
| business      |             |         |                    |               |            |             |             |            |         |
| Q027:VBN_1    | selfempl    | elb0367 |                    |               |            | Being you   |             |            |         |
| Q027:VBN_2    | selfempl    | elb0368 | Reasons<br>workpla |               | nployment: | Disadvant   | age at prev | ious       |         |
| Q027:VBN_3    | selfempl    | elb0369 | Reasons            | s for self-en | nployment: | Earn more   | money       |            |         |
| Q027:VBN_4    | selfempl    | elb0370 |                    |               |            | No longer   | _           |            |         |
| Q027:VBN_5    | selfempl    | elb0371 |                    |               |            | Market nic  |             |            |         |
| Q027:VBN_6    | selfempl    | elb0372 |                    |               |            | Wanting to  |             |            | dea     |
| Q027:VBN_7    | selfempl    | elb0373 |                    |               |            | No more e   |             |            |         |
| Q027:VBN_8    | selfempl    | elb0374 |                    |               |            | Flexible wo | _           |            | 055     |
| Q027:VBN_9    | selfempl    | elb0375 | Reasons            | s for self-en | ipioyment: | Continuati  | on or a ran | iiiy busin | 1622    |

### Q028 What were other important reasons for you to become an entrepreneur/self-employed?

Q028:VBP selfempl eab0030 Reasons for self-employment: Other reason
Q028:VBP selfempl eab0030\_cat Reasons for self-employment: Other reason [categories]

#### Q029 To what extent do the following statements apply to you?

We have the right framework conditions for entrepreneurial/self-employed activities in Germany

| 1 Does not apply at all | 1   |
|-------------------------|-----|
| 2                       | 2   |
| 3                       | 3   |
| 4                       | 4   |
| 5                       | 5   |
| 6                       | 6   |
| 7 Fully applies         | 7   |
| 0029:VBO selfempl elb0  | 376 |

9:VBQ selfempl elb0376 Germany has the right conditions for entrepreneurial/self-employment

### Q030 What are the greatest difficulties in carrying out your entrepreneurial/self-employed activity?

| 1 Γ                        | oes not    |   |   |   |   |   | 7 Fully |
|----------------------------|------------|---|---|---|---|---|---------|
| арј                        | ply at all | 2 | 3 | 4 | 5 | 6 | applies |
| A lot of bureaucracy       | 1          | 2 | 3 | 4 | 5 | 6 | 7       |
| High workload              | 1          | 2 | 3 | 4 | 5 | 6 | 7       |
| Financial risk             | 1          | 2 | 3 | 4 | 5 | 6 | 7       |
| Lack of employees          | 1          | 2 | 3 | 4 | 5 | 6 | 7       |
| Qualification of employees | 1          | 2 | 3 | 4 | 5 | 6 | 7       |
| Uncertain future           | 1          | 2 | 3 | 4 | 5 | 6 | 7       |

Q030:VBL\_1 selfempl elb0377\_v1 Difficulties: A lot of bureaucracy
Q030:VBL\_2 selfempl elb0378\_v1 Difficulties: High workload
Q030:VBL\_3 selfempl elb0379\_v1 Difficulties: Financial risk
Q030:VBL\_4 selfempl elb0380\_v1 Difficulties: Lack of employees
Q030:VBL\_5 selfempl elb0381\_v1 Difficulties: Qualification of employees
Q030:VBL\_6 selfempl elb0382\_v1 Difficulties: Uncertain future

### What are other major difficulties in carrying out your entrepreneurial/self-employed activity?

```
Q031:VBM selfempl eab0031 Difficulties: Other difficulties free-form text
Q031:VBM selfempl eab0031_cat Difficulties: Other difficulties free-form text [categories]
```

### Q032 What do you personally see as the biggest challenges for your entrepreneurial/self-employed activities in the coming years?

|                           | 1 Does not   |         |                  |           |         |   | 7 Fully |
|---------------------------|--------------|---------|------------------|-----------|---------|---|---------|
|                           | apply at all | 2       | 3                | 4         | 5       | 6 | applies |
| Regulating company        | 1            | 2       | 3                | 4         | 5       | 6 | 7       |
| succession                |              |         |                  |           |         |   |         |
| Digitization, automation  | 1            | 2       | 3                | 4         | 5       | 6 | 7       |
| Skills shortage           | 1            | 2       | 3                | 4         | 5       | 6 | 7       |
| Economic development      | 1            | 2       | 3                | 4         | 5       | 6 | 7       |
| Structural changes in the | 1            | 2       | 3                | 4         | 5       | 6 | 7       |
| markets                   |              |         |                  |           |         |   |         |
| Lack of recognition in    | 1            | 2       | 3                | 4         | 5       | 6 | 7       |
| politics and society      |              |         |                  |           |         |   |         |
| Q032:VBB_1 selfempl elb(  | 0383 Challe  | nges: C | rganizing con    | npany suc | cession |   |         |
| Q032:VBB_2 selfempl elb0  | 0384 Challer | nges: [ | Digitization, au | ıtomation | l       |   |         |

Q032:VBB\_3 selfempl elb0385 Challenges: Shortage of skilled workers
Q032:VBB\_4 selfempl elb0386 Challenges: Economic development
Q032:VBB\_5 selfempl elb0387 Challenges: Structural changes in markets
Q032:VBB\_6 selfempl elb0388 Challenges: Lack of recognition in politics and society

What are ather around shallower for more entering of a local constant and a sticking

### Q033 What are other personal challenges for your entrepreneurial/self-employed activities in the coming years?

```
Q033:VBC selfempl eab0032 Challenges: Other challenges
Q033:VBC selfempl eab0032_cat Challenges: Other challenges [categories]
```

#### Q003;VBD=1,2

### Q034 Have you ever had any employees since the company was founded (date of foundation [MM.YYYY])?

Yes 1 No 2

Q034:VBRa selfempl elb0389 Ever employed employees since foundation

#### Q003;VBD=3

Q035 Have you ever employed dependent employees since the takeover of the company (date of takeover [MM.YYYY])?

Yes 1 No 2

Q035:VBRb selfempl elb0390 Ever employed employees since takeover

#### Q003;VBD=4

Q036 Since joining the company (date of joining [MM.YYYY]), have you ever employed dependent employees?

Yes 1 No 2

Q036:VBRc selfempl elb0391 Ever employed employees since joining the company

[Dummy for controlling the other employee questions. If employees have ever been employed since foundation/takeover/entry, set value = 1, otherwise = 2]

Employees 1 No employees 2

Q037:VBR selfempl elb0392 Ever employed employees [control variable]

#### (Q037;VBR=1) & (Q008;qruendnachsep2019=2,-1)

Q038 And how many employees were there on September 30, 2019?

If "none", please enter "0".

Total number \_ \_ 1:9999
How many of them women \_ \_ 0:9999

Q038:VBT\_Anz selfempl elb0396 Number of employees last (Q037;VBR=1) & (Q008;gruendnachsep2019=2,year 1) Number of employees last Q038:VBT\_Anz selfempl elb0396\_cat (OO37:VBR=1) & year [categories] (Q008; gruendnachsep2019=2,-1) Q038:VBT Frauen selfempl elb0397 Number of employees last (Q037;VBR=1) & (Q008; gruendnachsep2019=2,year women Q038:VBT\_Frauen Number of employees last (Q037;VBR=1) & selfempl elb0397\_cat year women [categories] (Q008; gruendnachsep2019=2,-1)

not specified 1

#### (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1)

Q039 What is the breakdown of the total number of employees on September 30, 2019 by the employee groups listed here and how many of them were women? [Have you hired employees since your company was founded and how many of them were women?] If "none", please enter "0".

| Total: Employees for simple tasks that do not require vocational training                        |   |  |  |  |  |  |
|--|---|--|--|--|--|--|
| of which women: Employees for simple jobs that do not require vocational training                |   |  |  |  |  |  |
| prenticeship or  | 1   |  |  |  |  |  |
|  |   |  |  |  |  |  |
| leted apprenticeship   | 1   |  |  |  |  |  |
|  | _   |  |  |  |  |  |
| !  | 1   |  |  |  |  |  |
| ersity degree  | 1   |  |  |  |  |  |
|  | 1   |  |  |  |  |  |
| tors   | 1   |  |  |  |  |  |
| :9999  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
| :9999  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
| .0000  |   |  |  |  |  |  |
| :9999  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
| .9999  |   |  |  |  |  |  |
| :9999  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
| not require vocational training Number of which women: Employees for qualified jobs that  0:9999 |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
| :9999  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
| :9999  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
|  | cational training prenticeship or leted apprenticeship or sity degree ors 19999 19999 19999 19999 19999 19999 |  |  |  |  |  |

| Q039:VBRF1_Anz    | selfempl | elb0408     | Number of employees in activities without required vocational training              | (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1) |
|-------------------|----------|-------------|---|--|
| Q039:VBRF1_Anz    | selfempl | elb0408_cat | Number of employees in activities without required vocational training [cat.]       | (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1) |
| Q039:VBRF2_Anz    | selfempl | elb0409     | Number of employees in quali. activities requiring vocational train. or similar     | (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1) |
| Q039:VBRF2_Anz    | selfempl | elb0409_cat | Number of employees in qualif. activities requiring vocational train. o.s.[cat.]    | (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1) |
| Q039:VBRF3_Anz    | selfempl | elb0410     | Number of employees in quali. activities requiring university degree                | (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1) |
| Q039:VBRF3_Anz    | selfempl | elb0410_cat | Number of employees in quali. activities requiring university degree [cat.]         | (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1) |
| Q039:VBRF4_Anz    | selfempl | elb0411     | Number of active owners,<br>board members, managing<br>directors                    | (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1) |
| Q039:VBRF4_Anz    | selfempl | elb0411_cat | Number of active owners,<br>board members, managing<br>directors [categories]       | (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1) |
| Q039:VBRF1_Frauen | selfempl | elb0412     | Number of women in activities without required vocational training                  | (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1) |
| Q039:VBRF1_Frauen | selfempl | elb0412_cat | Number of women in activities without required vocational training [categories]     | (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1) |
| Q039:VBRF2_Frauen | selfempl | elb0413     | Number of women in quali.<br>activities requiring vocational<br>training or similar | (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1) |
| Q039:VBRF2_Frauen | selfempl | elb0413_cat | Number of womenin quali.<br>activities requiring vocational<br>training o.s. [cat.] | (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1) |
| Q039:VBRF3_Frauen | selfempl | elb0414     | Number of women in qualified activities requiring university degree                 | (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1) |
| Q039:VBRF3_Frauen | selfempl | elb0414_cat | Number of women in qualified activities requiring university degree [categories]    | (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1) |
| Q039:VBRF4_Frauen | selfempl | elb0415     | Number of women among active owners, board members and managing directors           | (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1) |
| Q039:VBRF4_Frauen | selfempl | elb0415_cat | Number of women among active owners, board members, managing directors [cat.]       | (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1) |

#### Q037;VBR=1

### Q040 Did the employees include part-time employees (including marginal part-time employees)?

If "none", please enter "0".

| Total: Part-time employees                           | 1      |  |
|--|--------|--|
| of which women: Part-time employees                  | 1      |  |
| Total: marginally employed persons up to 450         | 1      |  |
| of which women: marginally employed up to 450        | 1      |  |
| Total: Employees between EUR450 and EUR850           | 1      |  |
| of which women: Employees between EUR450 and EUR850  | 1      |  |
| Total number:Part-time employees                     | 0:9999 |  |
| Total number: marginally employed up to 450          | 0:9999 |  |
| Total number: Employees between EUR450 and EUR850    | 0:9999 |  |
| Number of which women: Part-time employees           | 0:9999 |  |
| Number of which women: Part-time employees up to 450 | 0:9999 |  |
| Number of which women: Employees between EUR450 and  | 0:9999 |  |
| TIIDOTO  |        |  |

**EUR850** 

| EUR850            |          |             |  |            |
|-------------------|----------|-------------|--|------------|
| Q040:VBRG1_Anz1   | selfempl | elb0422     | Number of part-time employees  | Q037;VBR=1 |
| Q040:VBRG1_Anz1   | selfempl | elb0422_cat | Number of part-time employees [categories]                           | Q037;VBR=1 |
| Q040:VBRG2_Anz1   | selfempl | elb0423     | Number of employees in marginal employment up to EUR 450             | Q037;VBR=1 |
| Q040:VBRG2_Anz1   | selfempl | elb0423_cat | Number of employees in marginal employment up to EUR450 [categories] | Q037;VBR=1 |
| Q040:VBRG3_Anz1   | selfempl | elb0424     | Number of employees between EUR450 and EUR850                        | Q037;VBR=1 |
| Q040:VBRG3_Anz1   | selfempl | elb0424_cat | Number of employees between EUR450 and EUR850 [categories]           | Q037;VBR=1 |
| Q040:VBRG1_Frauen | selfempl | elb0425     | Number of women employed part-time                                   | Q037;VBR=1 |
| Q040:VBRG1_Frauen | selfempl | elb0425_cat | Number of women employed part-time [categories]                      | Q037;VBR=1 |
| Q040:VBRG2_Frauen | selfempl | elb0426     | Number of women employed marginally up to EUR 450                    | Q037;VBR=1 |
| Q040:VBRG2_Frauen | selfempl | elb0426_cat | Number of women employed marginally up to EUR450 [categories]        | Q037;VBR=1 |
| Q040:VBRG3_Frauen | selfempl | elb0427     | Number of women employed between EUR450 and EUR850                   | Q037;VBR=1 |
| Q040:VBRG3_Frauen | selfempl | elb0427_cat | Number of women employed between EUR450 and EUR850 [categories]      | Q037;VBR=1 |
|                   |          |             |  |            |

### Q041 Beyond dependent employees: Did you have the following employees [on 30.09.2019]?

```
If "none", please enter "0".

Freelancers with contracts for work or services _ _ 1

Temporary workers _ _ 1

1-Euro-Jobber _ _ 1

Self-employed without employees 1
```

Self-employed without employees not specified

Q041:VCB\_4 selfempl elb0433 Number of other employees: Self-employed without employees

Q041:VCB\_4 selfempl elb0433\_cat Number of other employees: Self-employed without employees [categories]

Number: Freelancers with contracts for work and services \_ \_ 0:9999

Number: Temporary workers \_ \_ 0:9999

Number: 1-Euro-Jobber \_ \_ 0:9999

Q041:VCB\_Anz1 selfempl elb0435 Number of other employees: Freelancers with contracts for work or services

Q041:VCB\_Anz1 selfempl elb0435\_cat Number of other employees: Freelancers with contracts

for work or servic.[cat.]

1

Q041:VCB\_Anz2 selfempl elb0436 Number of other employees: Temporary workers Q041:VCB\_Anz2 selfempl elb0436\_cat Number of other employees: Temporary workers [categories]

Q041:VCB\_Anz3 selfempl elb0437 Number of other employees: 1-euro jobbers

Q041:VCB\_Anz3 selfempl elb0437\_cat Number of other employees: 1-euro jobbers [categories]

#### (Q037;VBR=1) & (Q008;gruendnachsep2019=2,-1)

#### Q042 Did you hire employees in 2019?

Taking on apprentices and employees from fixed-term employment contracts does not count as hiring.

Yes 1 No 2

Q042:VCC selfempl elb0438 Employees hired in 2019

#### (Q042;VCC=2) & (C041;VCB=4)

#### Q043 Would you have liked to hire employees in 2019 or did you have no need at all?

Yes, would have liked to hire \_ \_ employees 1
No, I had no need 2
No answer -1
Q043:VCD selfempl elb0439 Demand for personnel

Yes, would have liked to hire \_ \_ employees 1:9999

Q043:VCD\_ANZ selfempl elb0440 Demand for personnel: Number of employees demanded (C041;VCB=4)

#### Q008;gruendnachsep2019=1

Would you have liked to hire employees since the company was founded or did you have no need at all?

Yes, would have liked to hire \_ \_ employees
No, I had no need
2
No answer
-1

Q044:VBRX selfempl elb0441 Demand for personnel since foundation

Yes, would have liked to hire \_ \_ employees 1:9999

Q044:VBRX\_ANZ selfempl elb0442 Demand for personnel since foundation: Q008;gruendnachsep2019=1 Number of employees demanded

#### (Q042;VCC=1) & (Q008;gruendnachsep2019=2,-1)

Q045 How many new employees did you hire in total in 2019?

If "none", please enter "0".

\_\_ Employees

How many of them were women \_\_ 1

not specified

1

\_\_ Employees 1:9999
How many of them were women \_\_ 0:9999

Q045:VCF\_ANZ1 selfempl elb0446 Number of employees hired in (Q042;VCC=1) & (Q008;gruendnachsep2019=2,-1)
Q045:VCF\_ANZ2 selfempl elb0447 Number of employees hired in (Q042;VCC=1) &

Q045:VCF\_ANZ2 selfempl elb0447 Number of employees hired in (Q042;VCC=1) & 2019: Of which women (Q008;gruendnachsep2019=2,-1)

#### Q008;qruendnachsep2019=2,-1

Q046 Did any employees leave your company in 2019? This refers to redundancies, dismissals, retirement, expiry of temporary contracts, death.

Please note: The employment relationship continues during maternity leave, parental leave and the release phase of partial retirement.

Yes 1 No 2

Q046:VCG selfempl elb0448 Employees left the company in 2019

```
(Q046;VCG=1) & (Q008;gruendnachsep2019=2,-1)
Q047
If "none", please enter "0".
How many employees left the company in total _ _
                                                     1
How many of them women _ _
                                                     1
not specified
How many employees left the company in total _ _
                                                     1:9999
                                                     0:9999
How many of them women _ _
Q047:VCH_Anz1 selfempl elb0452
                                   Number of employees who left the
                                                                   (Q046;VCG=1) &
                                    company in 2019
                                                                   (Q008;gruendnachsep2019=2,-
                                                                   1)
                                                                   (Q046;VCG=1) &
Q047:VCH_Anz2 selfempl
                         elb0453
                                   Number of employees who left the
                                    company in 2019: Of which
                                                                   (Q008; gruendnachsep2019=2,-
                                    women
(Q046;VCG=1) & (Q008;gruendnachsep2019=2,-1)
Q048 What is the overall breakdown of staff departures by the reasons mentioned here?
If "none", please enter "0".
Termination by the employee _ _
                                                                           1
Termination by the company _ _
                                                                           1
Expiry of a fixed-term employment contract _ _
                                                                           1
Termination by mutual agreement (also on the basis of a social plan) _ _
                                                                           1
                                                                           1
Retirement on reaching the regular (statutory) retirement age _ _
                                                                           1
Retirement before reaching the regular (statutory) retirement age _ _
                                                                           1
Occupational disability/incapacity to work _ _
                                                                           1
Other _ _
                                                                           1
not specified
Termination by the employee _ _
                                                                0:9999
Termination by the company _ _
                                                                0:9999
Expiry of a fixed-term employment contract _ _
                                                                0:9999
Termination by mutual agreement (also on the basis of a
                                                                0:9999
   social plan) _ _
Retirement on reaching the regular (statutory) retirement age
                                                               0:9999
Retirement before reaching the regular (statutory) retirement
                                                                0:9999
                                                                0:9999
Occupational disability/incapacity to work _ _
                                                                0:9999
```

Other \_ \_

| Q048:VCJ_Anz1 | selfempl | elb0463 | Type of separation number:<br>Termination by the employee                        | (Q046;VCG=1) & (Q008;gruendnachsep2019=2,-1) |
|---------------|----------|---------|--|--|
| Q048:VCJ_Anz2 | selfempl | elb0464 | Type of separation number:<br>Termination by the company                         | (Q046;VCG=1) & (Q008;gruendnachsep2019=2,-1) |
| Q048:VCJ_Anz3 | selfempl | elb0465 | Type of separation number: Expiry of a fixed-term employment contract            | (Q046;VCG=1) & (Q008;gruendnachsep2019=2,-1) |
| Q048:VCJ_Anz4 | selfempl | elb0466 | Type of separation number: Termin. by mutual agree. (also due to social plan)    | (Q046;VCG=1) & (Q008;gruendnachsep2019=2,-1) |
| Q048:VCJ_Anz5 | selfempl | elb0467 | Type of separation number: Retirem. on reaching the reg. (statutory) retire. age | (Q046;VCG=1) & (Q008;gruendnachsep2019=2,-1) |
| Q048:VCJ_Anz6 | selfempl | elb0468 | Type of separation number: Retirem. before reaching the reg. (stat.) retire. age | (Q046;VCG=1) & (Q008;gruendnachsep2019=2,-1) |
| Q048:VCJ_Anz7 | selfempl | elb0469 | Type of separation number: Occupational/inability to work                        | (Q046;VCG=1) & (Q008;gruendnachsep2019=2,-1) |
| Q048:VCJ_Anz8 | selfempl | elb0470 | Type of separation number: Other   | (Q046;VCG=1) & (Q008;gruendnachsep2019=2,-1) |

#### Q008;gruendnachsep2019=2,-1

Q049 Did your company support further education and training measures in 2019, i.e. were employees released from work to take part in in-house or external measures or were the costs of further training measures covered in full or in part by the company?

```
Yes, employees were released from work or costs were covered.

No, neither exemptions nor assumption of costs.

No answer

Q049:VCK selfempl elb0471 Leave of absence or covering of costs for further training
```

#### (Q049;VCK=1) & (Q008;gruendnachsep2019=2,-1)

Q050 How many people took part in these training measures in 2019? If people in your company took part in multiple training measures, please only count them once. Please state the total number.

```
If "none", please enter "0".
Participants (persons) in total _ _
                                    1
How many of them women _ _
                                    1
not specified
                                    1
Participants (persons) in total _ _
                                    1:9999
How many of them women _ _
                                    0:9999
Q050:VCL_ANZ1 selfempl elb0475
                                    Further training number of
                                                                 (0049:VCK=1) &
                                    participants
                                                                  (Q008;gruendnachsep2019=2,-
Q050:VCL ANZ2 selfempl
                          elb0476
                                    Further training number of
                                                                 (Q049;VCK=1) &
                                                                 (Q008; gruendnachsep2019=2,-
                                    participants: Of which women
                                                                  1)
```

#### Q008;gruendnachsep2019=2,-1

### How high were the total personnel expenses (employer gross amount incl. bonuses) for all employees in your company in 2019?

Expenditure in EUR \_ \_ 1:9999999

Q051:VCM selfempl elb0477 Staff costs in 2019 in euros (employer gross amount incl. bonuses)

Q051:VCM selfempl elb0477\_cat Staff costs in 2019 in euros (employer gross amount incl. bonuses)

Q008;gruendnachsep2019=2,-amount incl. bonuses) [categories]

not specified 1

#### Q008; gruendnach sep 2019 = 2,-1

### **Q052** What was your company's turnover (and other operating income) excluding VAT in 2019?

Euro \_ \_ 1:9999999

Q052:VCN selfempl elb0478 Turnover excluding VAT last year in euros 1

Q052:VCN selfempl elb0478\_cat Turnover excluding VAT last year in euros [categories] Q008;gruendnachsep2019=2,-euros [categories]

not specified 1

#### Q008;gruendnachsep2019=2,-1

### Q053 What was the total cost of materials and other operating expenses in your company in 2019 as a whole?

Cost of materials includes: Purchased goods and services for resale in the same condition, raw materials, consumables and supplies, e.g. expenses for fuel or electricity, purchased services (not for resale but for consumption within the company) and other operating expenses, including temporary staff and freelancers

Expenditure in EUR \_ \_ 1

Expenditure relative to sales (in percent) \_ \_ 1

not specified 1

Expenditure in EUR \_ \_ 1:9999999

Expenditure relative to sales (in percent) \_ \_ 0:100

Q053:VCP\_Anz1 selfempl elb0482 Material and operational expenses Q008; gruendnachsep 2019=2,last year in euros Q053:VCP\_Anz1 selfempl elb0482\_cat Material and operational expenses Q008; gruendnachsep 2019=2,last year in euros [categories] Q053:VCP\_Anz2 Q008; gruendnachsep2019=2,selfempl elb0483 Material and operational expenses last year relative to sales in percent

```
Q008;gruendnachsep2019=2,-1
Q054 What was your company's reported taxable profit in 2019?
           1:9999999
Euro _ _
Q054:VCQ
           selfempl
                      elb0484
                                    Taxable profit last year in euros
                                                                      Q008;gruendnachsep2019=2,-
                      elb0484_cat
Q054:VCQ
           selfempl
                                    Taxable profit last year in euros
                                                                      Q008; gruendnachsep2019=2,-
                                    [categories]
not specified
                1
(Q003;VBD=1,2) & (Q008;gruendnachsep2019=1)
Q055 How much start-up capital - not including material resources - did you use for your
start-up in [date of foundation MM YYYY]? Please state the amount in euros. An estimate is
sufficient. Separately: how much capital in kind did you use?
_ _ Euro (starting capital without capital in kind)
Start-up capital amount not exactly known
O055:VCR 3 selfempl
                        elb0486
                                  Start-up capital: Amount of
                                                                    (0003:VBD=1.2) &
                                  start-up capital not exactly known
                                                                    (Q008;gruendnachsep2019=1)
_ _ Euro (starting capital without capital in kind)
                                                      0:99999999
Q055:VCRa_Anz1
                  selfempl
                            elb0491
                                          Start-up capital without
                                                                       (Q003;VBD=1,2) &
                                          physical capital in euros
                                                                       (Q008;gruendnachsep2019=1)
Q055:VCRa_Anz1
                  selfempl
                            elb0491 cat
                                          Start-up capital without
                                                                       (0003:VBD=1.2) &
                                          physical capital in euros
                                                                       (Q008;gruendnachsep2019=1)
                                          [categories]
_ _ Euro (tangible capital)
                                                     1
Amount of tangible capital not precisely known
O055:VCR 4 selfempl
                       elb0488
                                  Physical capital: Amount of
                                                                    (0003:VBD=1.2) &
                                  physical capital not exactly known
                                                                    (Q008;gruendnachsep2019=1)
_ _ Euro (tangible capital)
                              0:99999999
                  selfempl
Q055:VCRb_Anz2
                            elb0493
                                           Physical capital in
                                                                 (0003:VBD=1.2) &
                                           euros
                                                                 (Q008;gruendnachsep2019=1)
Q055:VCRb_Anz2
                                          Physical capital in
                                                                 (Q003;VBD=1,2) &
                  selfempl
                            elb0493_cat
                                                                 (Q008;gruendnachsep2019=1)
                                           euros [categories]
not specified
(Q008;qruendnachsep2019=1) & ((Q055;VCR_3=1) | Q055;VCR_4=1)
```

Q056 under 1,000 euros

```
1
                                   1
1,000 to under 2,500 euros
                                   1
2.500 to under 5.000 euros
                                   1
5,000 to under 10,000 euros
10,000 to under 50,000 euros
                                   1
50,000 to under 250,000 euros
                                   1
                                   1
250,000 euros and more
                                   1
not specified
Q056:VCS_1
             selfempl
                                  Start-up capital: less than
                        elb0494
                                  1,000 euros
                                  Start-up capital: 1,000 to
Q056:VCS_2
              selfempl
                                                            (Q008;gruendnachsep2019=1) &
                        elb0495
                                  under 2,500 euros
                                                            ((Q055;VCR_3=1) | Q055;VCR_4=1)
                        elb0496
Q056:VCS_3
             selfempl
                                  Start-up capital: 2,500 to
                                                            (Q008;gruendnachsep2019=1) &
                                  under 5,000 euros
                                                            ((Q055;VCR_3=1) | Q055;VCR_4=1)
Q056:VCS_4
             selfempl
                        elb0497
                                  Start-up capital: 5,000 to
                                                            (Q008;gruendnachsep2019=1) &
                                  under 10,000 euros
                                                            ((Q055;VCR_3=1) | Q055;VCR_4=1)
Q056:VCS_5
             selfempl
                        elb0498
                                  Start-up capital: 10,000
                                                            (Q008;gruendnachsep2019=1) &
                                  to under 50,000 euros
                                                            ((Q055;VCR_3=1) | Q055;VCR_4=1)
Q056:VCS_6
             selfempl
                        elb0499
                                  Start-up capital: 50,000
                                                            (Q008;gruendnachsep2019=1) &
                                  to under 250,000 euros
                                                            ((Q055;VCR_3=1) | Q055;VCR_4=1)
Q056:VCS_7
             selfempl
                                                            (Q008;gruendnachsep2019=1) &
                        elb0500
                                  Start-up capital: 250,000
                                  euros and more
                                                            ((Q055;VCR_3=1) | Q055;VCR_4=1)
```

#### Q008;gruendnachsep2019=2,-1

What was the total amount of (balance sheet-relevant) investments, excluding depreciation or other value adjustments, that you made in your company in 2019 as a whole?

```
Euro _ _ 1:9999999

Q057:VCT selfempl elb0502 Total investments (balance sheet-relevant) Q008;gruendnachsep2019=2,-last year in euros 1

Q057:VCT selfempl elb0502_cat Total investments (balance sheet-relevant) Q008;gruendnachsep2019=2,-last year in euros [categories] 1
```

not specified 1

#### Q008;gruendnachsep2019=2,-1

**Q058** What was the share of expansion investments in the total investment volume mentioned above?

```
approx. _ _ % 0:100

Q058:VCV selfempl elb0503 Share of expansion investments last year Q008;gruendnachsep2019=2,-
in percent 1
```

not specified

### Q059 How did you finance the investments in 2019? [How did you finance the start-up capital?]

Equity capital
Venture capital (venture capital, equity crowd funding or similar)
Short-term debt capital
Long-term debt capital
1
not specified
1

Q059:VCX\_1 selfempl elb0506 Financing: Equity

Q059:VCX\_2 selfempl elb0507 Financing: Venture capital (venture capital, equity crowd

funding, etc.)

Q059:VCX\_3 selfempl elb0508 Financing: Short-term debt capital Q059:VCX\_4 selfempl elb0509 Financing: Long-term debt capital

#### Q059;VCX 1=1

#### Q060 How high was your equity?

Please state the figures either in euros or as a percentage, not both!

around \_ \_ Euro 0:9999999 around \_ \_ % of total investments 0:100

Q060:VCY\_Anz1 selfempl elb0514 Financing: Equity in euros Q059;VCX\_1=1 Q060:VCY\_Anz1 selfempl elb0514\_cat Financing: Equity in euros [categories] Q059;VCX\_1=1 Q060:VCY\_Anz2 selfempl elb0515 Financing: Equity in percent Q059;VCX\_1=1

not specified 1

#### Q059;VCX\_2=1

#### Q061 How much was your venture capital?

Please state the figures either in euros or as a percentage, not both!

around \_ \_ Euro 0:9999999
around \_ \_ % of total investments 0:100

Q061:VCZ\_Anz1 selfempl elb0519 Financing: Venture capital in euros Q059;VCX\_2=1 Q061:VCZ\_Anz1 selfempl elb0519\_cat Financing: Venture capital in euros Q059;VCX\_2=1 [categories]

Q061:VCZ\_Anz2 selfempl elb0520 Financing: Venture capital in percent

not specified 1

#### Q059;VCX\_3=1

#### Q062 How much short-term debt capital did you have?

around \_ \_ Euro 0:9999999 around \_ \_ % of total investments 0:100

Q062:VDB\_Anz1 selfempl elb0524 Financing: Short-term debt capital in euros Q059;VCX\_3=1 Q062:VDB\_Anz1 selfempl elb0524\_cat Financing: Short-term debt capital in euros Q059;VCX\_3=1 [categories]

Q062:VDB\_Anz2 selfempl elb0525 Financing: Short-term debt capital in Q059;VCX\_3=1 percent

not specified 1

Q059;VCX\_2=1

```
Q059;VCX_4=1
```

#### Q063 How high was your long-term debt capital?

Please state the figures either in euros or as a percentage, not both!

```
around _ _ Euro
                    0:9999999
                    0:100
around _ _ %
Q063:VDC_Anz1
                 selfempl
                                          Financing: Long-term debt capital in euros
                           elb0529
                                                                                   Q059;VCX_4=1
Q063:VDC_Anz1
                                          Financing: Long-term debt capital in euros
                 selfempl
                           elb0529_cat
                                                                                   Q059;VCX 4=1
                                          [categories]
Q063:VDC_Anz2
                           elb0530
                                          Financing: Long-term debt capital in
                                                                                   Q059;VCX_4=1
                 selfempl
                                          percent
```

not specified

Q062;VBD=1,2,-1

#### **Q064** What average interest rate did you have to pay for the short-term borrowed capital?

```
___ % 0:100
Q064:VDD selfempl elb0531 Average interest rate for short-term debt Q062;VBD=1,2,-
```

not specified 1

Q063;VDC=1,2,-1

#### Q065 What average interest rate did you have to pay for the long-term debt?

```
___% 0:100
Q065:VDF selfempl elb0532 Average interest rate for long-term debt Q063;VDC=1,2,-
```

not specified 1

Q008;gruendnachsep2019=2,-1

### Q066 What was the total marketing expenditure you made in your company in 2019, approximately for the entire year?

```
Euro _ 0:999999

Q066:VCW selfempl elb0533 Marketing expenditure last year in euros 1

Q066:VCW selfempl elb0533_cat Marketing expenditure last year in euros [2008;gruendnachsep2019=2,-euros [2008;g
```

not specified 1

#### Q008;gruendnachsep2019=2,-1

Q067 Did your company improve or further develop a service or product that you previously offered in the last fiscal year 2019? [Has your company introduced a new service or product with market entry for which a market already exists?]

Yes 1
No 2
Don't know 3
No answer -1

Q067:VDG selfempl elb0536\_v1 Service/product improved in the last fiscal year

#### Q008;gruendnachsep2019=2,-1

Q068 Did your company launch a completely new service or product for which a new market has yet to be created in the last fiscal year 2019? [Has your company introduced a completely new service or product with market entry for which a market has yet to be created?]

Yes 1
No 2
Don't know 3
No answer -1

Q068:VDH selfempl elb0539\_v1 Completely new service/product offered in last fiscal year

#### Q008;gruendnachsep2019=2,-1

Q069 Did your company develop and introduce new methods in the organization of business processes (e.g. quality management, supply chain management, lean production, knowledge management) in the last fiscal year 2019?

Yes 1
No 2
Don't know 3
No answer -1

Q069:VDJ selfempl elb0540\_v1 New organizational methods developed and introduced in last fiscal year

#### Q008; gruendnach sep 2019 = 2,-1

Q070 Did your company introduce new marketing/sales methods (marketing innovations are part of a new marketing concept or a new marketing strategy) in the last fiscal year 2019 that were not previously used in your company?

Yes 1
No 2
Don't know 3
No answer -1

Q070:VDK selfempl elb0541\_v1 New marketing/sales methods introduced in last fiscal year

#### Q008;gruendnachsep2019=2,-1

Q071 Did your company develop or introduce processes in the last fiscal year 2019 that significantly improved the production process or the provision of services?

Yes 1
No 2
Don't know 3
No answer -1

Q071:VDL selfempl elb0542\_v1 New procedures for production process developed or introduced in last fiscal y.

#### Q008;gruendnachsep2019=2,-1

Q072 Did you plan but not implement any product, process, organizational or marketing innovations in the last fiscal year 2019?

Yes 1 No 2 No answer -1

Q072:VDM selfempl elb0543 Planned innovations not implemented in last fiscal year

#### (Q072;VDM=1) & (Q008;gruendnachsep2019=2,-1)

Q073 Which of the following factors prevented the implementation?

Please enter everything that applies!

| High investor<br>High econom<br>Problems in<br>Organization<br>Lack of speci<br>Lack of custo<br>Long approve<br>Other<br>not specified | nic risk obtaining nal problem alist staff omer acce al procedu | ; outside c<br>ms<br>ptance | apital<br>standards, legal regulations) | 1<br>1<br>1<br>1<br>1<br>1                 |
|---|---|-----------------------------|---|--|
| Q073:VDN_1  | selfempl  | elb0544                     | No innovations: High                    | (Q072;VDM=1) &                             |
|   |   |                             | investment costs                        | (Q008;gruendnachsep2019=2,-1)              |
| Q073:VDN_2  | selfempl  | elb0545                     | No innovations: High economic           | (Q072;VDM=1) &                             |
|   |   |                             | risk                                    | (Q008;gruendnachsep2019=2,-1)              |
| Q073:VDN_3  | selfempl  | elb0546                     | No innovations: Problems in             | (Q072;VDM=1) &                             |
|   |   |                             | obtaining external capital              | (Q008;gruendnachsep2019=2,-1)              |
| Q073:VDN_4  | selfempl  | elb0547                     | No innovations: Organizational          | (Q072;VDM=1) &                             |
|   |   |                             | problems                                | (Q008;gruendnachsep2019=2,-1)              |
| Q073:VDN_5  | selfempl  | elb0548                     | No innovations: Lack of skilled         | (Q072;VDM=1) &                             |
|   | •   |                             | personnel                               | (Q008;gruendnachsep2019=2,-                |
| Q073:VDN_6  | selfempl  | elb0549                     | No innovations: Lack of                 | 1)<br>(Q072;VDM=1) &                       |
| Z =   |   |                             | customer acceptance                     | (Q008;gruendnachsep2019=2,-                |
| Q073:VDN_7  | selfempl  | elb0550                     | No innovations: Long approval           | 1)<br>(Q072;VDM=1) &                       |
| Q073.VDI\_7   | Schempi   | CIDOSSO                     | procedures                              | (Q008;gruendnachsep2019=2,-                |
| 00724/DNL 0   |   | -11-0551                    | Na inggradiana Othan                    | 1)   |
| Q073:VDN_8  | selfempl  | elb0551                     | No innovations: Other                   | (Q072;VDM=1) & (Q008;gruendnachsep2019=2,- |
|   |   |                             |   | 1)   |

#### Q074 Is your company involved in research and development (R&D)?

Yes 1 No 2 No answer -1

Q074:VDP selfempl elb0553 Research and development

#### Q074;VDP=1

### Q075 Research and development is often carried out in cooperation with others. What is it like for you?

#### Please enter everything that applies!

| We work together with other companies  |            |             |   |            |  |  |
|--|------------|-------------|---|------------|--|--|
| We cooperate   | e with uni | iversities/ | universities of applied sciences                                  | 1          |  |  |
| We work together with external consultants (management consultants, engineering offices) |            |             |   |            |  |  |
| We cooperate   | e with noi | n-universi  | ty research facilities/research institutes                        | 1          |  |  |
| Does not apply, no cooperation with R & D  |            |             |   |            |  |  |
| not specified  | l          |             |   | 1          |  |  |
| Q075:VDQ_1   | selfempl   | elb0554     | Cooperation with other companies                                  | Q074;VDP=1 |  |  |
| Q075:VDQ_2   | selfempl   | elb0555     | Cooperation with universities or universities of applied sciences | Q074;VDP=1 |  |  |
| Q075:VDQ_3   | selfempl   | elb0556     | Cooperation with external consultants                             | Q074;VDP=1 |  |  |
| Q075:VDQ_4   | selfempl   | elb0557     | Cooperation with non-university research institutions             | Q074;VDP=1 |  |  |
| Q075:VDQ_5   | selfempl   | elb0558     | No cooperation in R&D   | Q074;VDP=1 |  |  |

#### (Q074;VDP=1) & (Q008;gruendnachsep2019=2,-1)

#### Q076 How much was spent on research and development in 2018 and 2019?

| 2018: Euro    | 0:99999  | 199         |   |  |
|---------------|----------|-------------|---|--|
| 2019: Euro    | 0:99999  | 199         |   |  |
| Q076:VDR_Anz1 | selfempl | elb0563     | Research and development expenditure 2018 in euros              | (Q074;VDP=1) & (<br>Q008;gruendnachsep2019=2,-<br>1) |
| Q076:VDR_Anz1 | selfempl | elb0563_cat | Research and development expenditure 2018 in euros [categories] | (Q074;VDP=1) & (<br>Q008;gruendnachsep2019=2,-<br>1) |
| Q076:VDR_Anz2 | selfempl | elb0564     | Research and development expenditure 2019 in euros              | (Q074;VDP=1) & (<br>Q008;gruendnachsep2019=2,-<br>1) |
| Q076:VDR_Anz2 | selfempl | elb0564_cat | Research and development expenditure 2019 in euros [categories] | (Q074;VDP=1) & (<br>Q008;gruendnachsep2019=2,-<br>1) |

#### not specified 1

Modern automation and digitalization technologies are able to revolutionize communication and cooperation between employees, systems, logistics, products and customers. These include autonomous robotics, smart factories, the Internet of Things and analysis tools with big data, cloud services and online platforms. In your opinion, to what extent do the following statements apply to your company?

|                    | 1 Does      |              |             |                |             |       | 7 Fully |
|--------------------|-------------|--------------|-------------|----------------|-------------|-------|---------|
|                    | apply a     | at all 2     | 3           | 4              | 5           | 6     | applies |
| We have already w  | orked 1     | 2            | 3           | 4              | 5           | 6     | 7       |
| intensively with   | these       |              |             |                |             |       |         |
| new technologi     | es in our   |              |             |                |             |       |         |
| company            |             |              |             |                |             |       |         |
| We see great poter | tial for 1  | 2            | 3           | 4              | 5           | 6     | 7       |
| using such new     | 7           |              |             |                |             |       |         |
| technologies in    | our         |              |             |                |             |       |         |
| company            |             |              |             |                |             |       |         |
| We are already usi | ng such 1   | 2            | 3           | 4              | 5           | 6     | 7       |
| new technologi     | es in our   |              |             |                |             |       |         |
| company            |             |              |             |                |             |       |         |
| Compared to other  | 1           | 2            | 3           | 4              | 5           | 6     | 7       |
| companies in o     | ur          |              |             |                |             |       |         |
| sector, our com    | pany is     |              |             |                |             |       |         |
| well equipped v    | vith        |              |             |                |             |       |         |
| these new tech     | nologies    |              |             |                |             |       |         |
| Q078:VDT_1 selfen  | •           | _            | •           | gaged with n   | ew technolo | ogies |         |
| Q078:VDT_2 selfem  | •           | _            | : Huge pote |                |             |       |         |
| Q078:VDT_3 selfer  | •           | _            |             | es are already |             |       |         |
| Q078:VDT_4 selfen  | ıpl elb0568 | Digitization | : Company v | vell-positione | d in compar | ison  |         |

#### Q079 Did you procure goods and services online in [year 2019/first quarter of 2020]?

Definition of Internet-based "e-commerce": Transactions carried out via the Internet. Goods / services are ordered via the Internet; payment and delivery can be made online or by conventional means (orders by handwritten e-mails are not covered by e-commerce).

Yes 1 No 2 No answer -1

Q079:VDV selfempl elb0571 Procurement of goods and services via the Internet

#### Q079;VDV=1

**Q080** What is the share of purchases made online in [year 2019/first quarter of 2020]? \_\_% 0:100

Q080:VDW selfempl elb0574 Share of purchases made online in percent Q079;VDV=1

not specified 1

```
Q081 Does your company have its own website?
Yes
              1
              2
No
No answer
             -1
                   elb0575
                              Company website available
Q081:VDX
          selfempl
Q082 Do you sell your company's goods and services via the Internet?
Yes
              2
No
             -1
No answer
          selfempl elb0576 Sale of goods and services via the Internet
Q082:VDY
Q082;VDY=1
Q083 What is the share of sales made online in [year 2019/first quarter of 2020]?
__%
        0:100
Q083:VDZ
          selfempl
                    elb0579 Share of sales made via the Internet in percent Q082;VDY=1
not specified
               1
Q084 Do you produce and sell digital products and services?
Yes
              2
No
             -1
No answer
Q084:VFB
          selfempl elb0580 Production of digital products or services
Q084;VFB=1
Q085 What is the share of digital products and services in all products manufactured by
you in [year 2019/first quarter of 2020]?
       0:100
__%
Q085:VFC selfempl
                    elb0583
                              Share of digital products and services produced in
                                                                             Q084;VFB=1
                              percent
not specified
               1
Q086 Please describe how often you typically deal with the following activities in your
```

company.

| Participation in/execution of the production of the goods or services that      | Daily<br>1 | Weekly<br>2 | Monthly 3 | Quarterly | Less than once a quarter | Never 6 |
|---|------------|-------------|-----------|-----------|--------------------------|---------|
| my company operates Recruitment and personnel selection                         | 1          | 2           | 3         | 4         | 5                        | 6       |
| Conversations with employees and managers in the company                        | 1          | 2           | 3         | 4         | 5                        | 6       |
| Organization of production processes in the company                             | 1          | 2           | 3         | 4         | 5                        | 6       |
| Quality management<br>Marketing activities                                      | 1          | 2           | 3         | 4         | 5                        | 6       |
| Bureaucratic activities due<br>to government<br>requirements and<br>regulations | 1          | 2           | 3         | 4         | 5                        | 6       |
| Customer acquisition and conversations with customers                           | 1          | 2           | 3         | 4         | 5                        | 6       |
| Conversations with suppliers  | 1          | 2           | 3         | 4         | 5                        | 6       |
| Conversations with co-owners, co-managing directors                             | 1          | 2           | 3         | 4         | 5                        | 6       |
| Conversations with the company's financiers                                     | 1          | 2           | 3         | 4         | 5                        | 6       |
| Analysis of the market and competitors  | 1          | 2           | 3         | 4         | 5                        | 6       |
| Preparing and making growth and investment decisions                            | 1          | 2           | 3         | 4         | 5                        | 6       |
| Association work other activities   | 1          | 2           | 3         | 4         | 5                        | 6       |

| Q086:VFD_1           | selfempl | elb0584_v1  | Activities: Participation in/execution of production     |
|----------------------|----------|-------------|--|
| Q086:VFD_2           | selfempl | elb0585_v1  | Activities: Recruitment and personnel selection          |
| Q086:VFD_3           | selfempl | elb0586_v1  | Activities: Conversations with employees and managers in |
|                      |          |             | the company  |
| Q086:VFD_4           | selfempl | elb0587_v1  | Activities: Organization of production processes in the  |
|                      |          |             | company  |
| Q086:VFD_5           | selfempl | elb0588_v1  | Activities: Quality management                           |
| Q086:VFD_6           | selfempl | elb0589_v1  | Activities: Marketing activities                         |
| Q086:VFD_7           | selfempl | elb0590_v1  | Activities: Bureaucratic activities due to government    |
|                      |          |             | requirements and regul.                                  |
| Q086:VFD_8           | selfempl | elb0591_v1  | Activities: Customer acquisition and conversations with  |
|                      |          |             | customers  |
| Q086:VFD_9           | selfempl | elb0592_v1  | Activities: Conversations with suppliers                 |
| Q086:VFD_10          | selfempl | elb0593_v1  | Activities: Conversations with co-owners, co-managing    |
|                      |          |             | directors  |
| Q086:VFD_11          | selfempl | elb0594_v1  | Activities: Conversations with the company's financiers  |
| Q086:VFD_12          | selfempl | elb0595_v1  | Activities: Analysis of the market and competitors       |
| Q086:VFD_13          | selfempl | elb0596_v1  | Activities: Preparing and making growth and investment   |
|                      |          |             | decisions  |
| Q086:VFD_14          | selfempl | elb0597_v1  | Activities: Association work                             |
| Q086:VFD_15          | selfempl | elb0598_v1  | Activities: Other activities                             |
|                      |          |             |  |
| other activities     |          |             |  |
| Q086:VFDopen         | selfempl | eab0033     | Activities: Other activities text                        |
| Q086:VFDopen         | selfempl | eab0033_cat |  |
| Zi z ziii z z p poii |          |             |  |

#### Q087 Of all the activities you do every day, how many hours do you spend on

| Participation in/execution of the production of the goods or | 1:16 |
|--|------|
| services that my company operates                            |      |
| Recruitment and personnel selection                          | 1:16 |
| Conversations with employees and managers in the company     | 1:16 |
| Organization of production processes in the company          | 1:16 |
| Quality management   | 1:16 |
| Marketing activities   | 1:16 |
| Bureaucratic activities due to government requirements and   | 1:16 |
| regulations  |      |
| Customer acquisition and conversations with customers        | 1:16 |
| Conversations with suppliers                                 | 1:16 |
| Conversations with co-owners, co-managing directors          | 1:16 |
| Conversations with the company's financiers                  | 1:16 |
| Analysis of the market and competitors                       | 1:16 |
| Preparing and making growth and investment decisions         | 1:16 |
| Association work   | 1:16 |
| Other activities   | 1:16 |

| Q087:VFV_1  | selfempl | elb0599 | Hours per day: Participation in/execution of production                          | Q086;VFD_1=1  |
|-------------|----------|---------|--|---------------|
| Q087:VFV_2  | selfempl | elb0600 | Hours per day: Recruitment and personnel selection                               | Q086;VFD_2=1  |
| Q087:VFV_3  | selfempl | elb0601 | Hours per day: Conversations with employees and managers in the company          | Q086;VFD_3=1  |
| Q087:VFV_4  | selfempl | elb0602 | Hours per day: Organization of production processes in the company               | Q086;VFD_4=1  |
| Q087:VFV_5  | selfempl | elb0603 | Hours per day: Quality management  | Q086;VFD_5=1  |
| Q087:VFV_6  | selfempl | elb0604 | Hours per day: Marketing activities  | Q086;VFD_6=1  |
| Q087:VFV_7  | selfempl | elb0605 | Hours per day: Bureaucratic activities due to government requirements and regul. | Q086;VFD_7=1  |
| Q087:VFV_8  | selfempl | elb0606 | Hours per day: Customer acquisition and conversations with customers             | Q086;VFD_8=1  |
| Q087:VFV_9  | selfempl | elb0607 | Hours per day: Conversations with suppliers                                      | Q086;VFD_9=1  |
| Q087:VFV_10 | selfempl | elb0608 | Hours per day: Conversations with co-owners, co-managing directors               | Q086;VFD_10=1 |
| Q087:VFV_11 | selfempl | elb0609 | Hours per day: Conversations with the company's financiers                       | Q086;VFD_11=1 |
| Q087:VFV_12 | selfempl | elb0610 | Hours per day: Analysis of the market and competitors                            | Q086;VFD_12=1 |
| Q087:VFV_13 | selfempl | elb0611 | Hours per day: Preparing and making growth and investment decisions              | Q086;VFD_13=1 |
| Q087:VFV_14 | selfempl | elb0612 | Hours per day: Association work  | Q086;VFD_14=1 |
| Q087:VFV_15 | selfempl | elb0613 | Hours per day: Other activities  | Q086;VFD_15=1 |

Q088 Do you alone, or together with your co-owners/managing directors, make all the decisions in your company or have you delegated some of the decisions to individual managers in your company or to a second management level?

| /  |    |  |  |  |  |  |  |  |
|--|----|--|--|--|--|--|--|--|
| Make all decisions alone   |    |  |  |  |  |  |  |  |
| Make all decisions together in the team of owners/managing directors       |    |  |  |  |  |  |  |  |
| Make all decisions together as a team with other managers and/or employees |    |  |  |  |  |  |  |  |
| The company has a second management level                                  |    |  |  |  |  |  |  |  |
| No answer  | -1 |  |  |  |  |  |  |  |
| Q088:VFF selfempl elb0614_v1 Decision making                               |    |  |  |  |  |  |  |  |

#### Q088;VFF=4

Q089 Approximately what percentage of all decisions are delegated to the second management level?

0:100

Q089:VFW selfempl elb0615 Share of decisions made at 2nd management level in percent

Q090 How often do you or managers in your company hold structured feedback meetings with your employees, for example about service quality, customer satisfaction, production, costs, performance decline, quality, inventory, absenteeism?

Never 1
Annually 2
Quarterly 3
Monthly 4
Weekly 5
Daily 6
More often 7
No answer -1

Q090:VFG selfempl elb0616\_v1 Frequency of structured feedback meetings

#### Q091 Do you use other tools to motivate your employees?

Yes 1 No 2 No answer -1

Q091:VFH selfempl elb0617 Other instruments for employee motivation

Yes (please name these in keywords) \_ \_ Q091:VFH\_1 selfempl eab0034 Other instruments for employee motivation text Q091:VFH\_1 selfempl eab0034\_cat Other instruments for employee motivation text [categories]

Regardless of whether you have agreed to take part in the personal surveys: Thank you very much for taking part in this online survey! As a thank you for your participation, we would like to send you 5 euros in cash together with a thank you letter. If you would prefer to donate this amount to UNICEF, please indicate this here.

Please send me 5 euros.

Please donate 5 euros to UNICEF.

I don't want to receive 5 euros and I don't want to donate to UNICEF.

Q092:VBSG selfempl elb0618 Type of donation