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# Generating a classification for EUIPO trademark filings – A string matching approach

Peter Neuhäusler<sup>1,2</sup>, Alexander Feidenheimer<sup>1</sup>, Rainer Frietsch<sup>1</sup>, Henning Kroll<sup>1,3</sup>

Karlsruhe, Fraunhofer ISI

- <sup>1</sup> Fraunhofer Institute for Systems and Innovation Research ISI, Competence Center Policy Industry Innovation, Breslauer Strasse 48, 76139 Karlsruhe, Germany
- <sup>2</sup> Berlin University of Technology, Chair of Innovation Economics, VWS 2, Müller-Breslau-Strasse, 10623 Berlin, Germany
- <sup>3</sup> Institute of Economic and Cultural Geography, Leibniz Universität Hannover (LUH), Schneiderberg 50, 30167 Hannover, Germany

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Abstract 1

#### **Abstract**

This paper aims to analyze topics within the international classification of goods and services (NICE classes) applied for the registration of trademarks at the EUIPO. This is accomplished by introducing a more fine-grained classification of trademarks as a "subsection" of the rather rough NICE classes. To do this, we relate the descriptions of the trademarks that the applicants provide upon filing to the list of pre-defined keywords that are available from the WIPO to assist the applicant in describing his or her mark. In order to relate the keywords to the classifications, i.e. to assign trademarks to the classification, we use two algorithms including a Levenshtein-based matching and a Jaro-Winkler algorithm based matching. The Levenshtein-based approach already leads to a coverage of 75% of matched trademarks. With the help of the Jaro-Winkler matching algorithm (in combination with the Levenshtein distance) we could assign another 10%, leading to a coverage of 85% of all EUIPO trademarks matched to at least one classification key in 2018. Based on this matching we generate a hierarchical classification including five layers, the first layer including 234 classes up to the 5<sup>th</sup> layer which comprises 8,613 distinct classes.

#### 1 Introduction

In quantitative innovation research, trademarks are more and more extensively used for the measurement of innovation activities (deGrazia et al., 2020). This is particularly true at the micro level, where the relationship between trademarks and innovation has been well established (e.g. Flikkema et al., 2014; Greenhalgh and Rogers, 2006; Sandner and Block, 2011). Some more recent studies, however, have also focused on the macro level, especially on the relation between trademarks and trade, e.g. by analyzing the effect of the strength of trademark protection in a country on exports of domestic firms (Yang et al., 2018) or whether trademarks by foreign firms are connected with high-tech imports (Perepechko, 2018). DeGrazia et al. have recently also provided evidence that aggregate trademark data could predict business cycles (deGrazia et al., 2020). In sum, the body of literature on trademarks as innovation indicators has grown, especially in the recent ten years.

This has several reasons. Although trademarks can be filed for products like technical equipment or technical procedures, also services are eligible for protection within the system of trademark rights. Consequently, trademarks provide additional insights on service sector innovation and can thus serve as a complementary indicator to patents, which are focused on technical inventions (Gauch, 2007; Sandner and Block, 2011; Schmoch, 2014). Trademarks have been shown to be well applicable as a measure of innovative

2 Introduction

outcomes in the case of knowledge-intensive business services trademarks (Schmoch and Gauch, 2009) and they gain additional relevance through the fact also a registration of copyrights is not possible in many jurisdictions (Schmoch, 2003). Trademarks are also often used as a valuable complement within a firm's IPR portfolio (Sandner and Block, 2011).

Furthermore, data availability has improved notably in recent years and data access was massively eased. The publication of large scale datasets, for instance by the European Union Intellectual Property Office (EUIPO) or the United States Patent and Trademark Office (USPTO) has opened new opportunities to access and use trademark data (Castaldi, 2019). Finally, also the technical possibilities of analyzing textual data have increased and with it the ease of analyzing unstructured data by non-experts, which enables analyses on trademarks that have not been possible before.

The trademark indicator has several advantages. Often, trademarks protect names of products (and not whole firms) and thus can be seen as an innovation indicator that is rather close to the market (Mendonca et al., 2004; Schmoch, 2014). Furthermore, trademarks are a very timely indicator of innovation activities (Thoma, 2019), in the sense of being available much more quickly than patent indicators after filing, which makes them viable as an early-stage innovation indicator (although trademarks are less close to the invention in the innovation process itself). In combination with other IPR related indicators, e.g. patents, industry designs or utility models, they further allow us to look at effects of IPR portfolios and their combined effects on innovation.

However, there also are downsides to trademarks as an indicator of innovative activities. What limits the value of trademark data in innovation studies is that there are yet no guidelines to ascertain which trademarks relate to innovation. Although there are estimates that 60% of registered trademarks refer to innovation activity (Flikkema et al., 2014), the identification of innovation-related trademarks still remains a problem (Flikkema et al., 2019). This stems from the fact that trademarks are an "untested right", i.e. they are not content certified and checked for the criteria of "inventive step" like patents are. Only formal criteria are checked upon filing, meaning that trademarks are only registered but not examined. Furthermore, the costs for filing a trademark are comparably limited, so the entry barriers for applicants to file a trademark are rather low.

A major step towards solving this problem is to try to find out more about the content of a trademark. This is what we aim for in this paper by creating an in-depth trademark

The study of Flikkema et al. (2019) referred to small- and medium-sized enterprises registered in Benelux countries.

classification that goes beyond the current international classification of goods and services in trademarks (NICE classification) in terms of detail. We make use of the trademark description in trademarks filed at European Union Intellectual Property Office (EUIPO) that each applicant has to provide when applying for the EU trademark. Since this is a semi-standardized process - the applicant chooses from a given list of keywords per trademark class but may also choose his own words - it allows us to set up such a classification. First of all, this allows us to perform more detailed, automated analyses of specific fields where trademarks are filed, e.g. IT services, electric mobility etc. In addition, it can serve as a basic step towards solving the problem of the identification of innovation-related trademarks, as more detailed analyses are possible once information on the content of a trademark is more easily accessible.

In the following sections, we will provide a brief overview on the literature of how trademarks are classified and which earlier papers have tried to deal with the problem of identifying innovation-related trademarks. Second, we will provide a detailed overview of the methodology for the creation of the classification. Then, we will describe the results and give an overview on what can be achieved with the new classification.

## 2 Existing trademark classifications - a brief overview of the literature

Among formal instruments of intellectual property protection, trademarks are in wide-spread use. All "tokens", e.g. words, pictures, that are suitable to distinguish a company's goods or services from those of other companies are eligible for protection. These can for example be words, individual letters, numbers, pictures, colors and even acoustic signals. Trademarks are valid for ten years after filing and can be renewed indefinitely (Deutsches Patent- und Markenamt (DPMA) 2008; Graham and Harhoff 2006).

There are various ways to obtain trademark protection. In order to achieve protection in a certain country, the national patent and trademark office (for instance the German Patent and Trademark Office (DPMA)) is possible. Alternatively, the registration of a EU Trademark, which is valid across the whole EU, or the registration of an international trademark at the WIPO, which is valid in all countries who have signed the Madrid Protocol, are possible options.

Upon receipt of an application of a trademark at a given trademark office, the trademark will be processed. This step includes a classification of the trademark according to several classifications, a check of formalities, and a check of the trademark "on absolute grounds", i.e. the trademark is analyzed to see whether it is distinctive but not descriptive, translation as well as a search for identical or similar marks including a "surveillance

letter" that informs third parties about the filing of the given trademark (Office for the Harmonization of the Internal Market (OHIM) 2014). As already mentioned in the introduction, this means that, different to patents, trademarks are not content certified. Only formal criteria are checked upon filing. The pursuit of potential violations or infringements of registered trademark rights lies in the hands of the trademark owner. A procedure of cancelling a competing trademark can be initiated only if a trademark holder indicates a violation. After the examination period, a trademark is published. From the date of publication, third parties have three months to object to the registration of the trademark either based on "earlier rights" or on "absolute grounds". If nobody files an opposition, the trademark is registered and the registration is published. After registration, only official appeals can be used to challenge the official decision by the OHIM (Office for the Harmonization of the Internal Market (OHIM) 2014).

A trademark is only valid or effective in the pre-defined business circles or business areas. These areas are defined by the classes of goods and services and the further specifications within the classes. Based on this, distinctiveness is achieved. In consequence, a word mark like "BOSS" can be used for exclusively for clothing and might also distinctively mark a text-marker by another company as the business cycles are distinct and a distinctiveness according to the use of the products is given. In this respect, the classes and the descriptions are similar to the claims of a patent, which define the subject and range of the patent or the trademark, respectively. If applicants aim to achieve broad coverage in a large number of business areas, then they need to register in a large number of classes. For example, a soft-drink producer might not only want to achieve distinctiveness in the business area of soft-drinks, but also sell crockery, clothing, household appliances etc. with the same logo and also achieve distinctiveness. The applicant would then need to register the particular trademark in all the respective classes.

Upon application, most trademark offices allow for the registration in one class and offer up to three classes at no or low additional costs, while the fees increase with the number of registered classes. The question of a broad coverage is therefore also a question of financial resources or - to be more precise - of cost-benefit ratios. Furthermore, the broader the coverage, both in terms of classes and in terms of the listed goods or services, the higher is the probability of the existence of a confusing similarity and therefore an opposition to the particular trademark application by third parties. It is thus costly and risky to name too many classes and goods or service categories. In addition, after five years, the applicant needs to prove the use of the trademark in general as well as in the particular classes/business areas. If the applicant fails to do so, the trademark might be deleted or at least restricted. These are the reasons why it is reasonable to infer the content/subject of the trademark filing from its classification.

For trademarks, several national and international classifications exist. The two systems applied by the EUIPO are the Vienna Classification (VCL) and the NICE Classification (NICE). The Vienna Classification (VCL) is an international classification of the figurative elements of marks. It was established by the Vienna Agreement in 1973 and is currently available in its 8th version (WIPO https://www.wipo.int/classifications/vienna/en/). More important for our purposes, however, is the NICE Classification also known as the International Classification of Goods and Services. It was established by the Nice Agreement in 1957 and is open to states who are parties to the "Paris Convention for the Protection of Industrial Property" that already originated in 1883 (currently including 84 countries as well as the "World Intellectual Property Organization (WIPO), who specifies the NICE classes) (WIPO https://www.wipo.int/treaties/en/classification/nice/). The NICE Classification is updated every five years - with minor annual changes - and is currently in its 11th version. It consists of 45 classes, of which classes 1 to 34 are assigned to goods and classes 35 to 45 are assigned to services (EUIPO https://euipo.europa.eu/ohimportal/en/nice-classification). The classes define the scope and the context of each application and are provided by the applicants themselves.

Due to the differentiation in product marks and service marks within the classification, it is relatively easy to analyze product marks, service marks and mixed product/service marks, i.e. marks that are assigned NICE classes referring to goods as well as NICE classes referring to services, independently. However, this does not lead to a differentiation between innovative and non-innovative trademarks. There have been several approaches in the literature to achieve this aim. Earlier studies by Schmoch and Gauch. (Gauch, 2007; Schmoch, 2003; Schmoch and Gauch, 2009) applied a differentiation of "research-intensive services" with regard to service marks by where the classes 35, 36, 38, 41, 42, 43, 44, 45 were defined as research-intensive services. In the case of products, they concentrated on eight fields that have been defined as having high technology relatedness. These are summarized in Table 1.

Table 1: Definition of technology related NICE-classes regarding goods

| No. | Name  | NICE classes   |
|-----|---|----------------|
| 1   | Chemistry                                   | 1, 2, 3, 4, 13 |
| 2   | Pharmaceuticals                             | 5              |
| 3   | Metals                                      | 6              |
| 4   | Machines                                    | 7, 8           |
| 5   | Electronics (components, instruments) 9, 14 |                |
| 6   | Medical technologies                        | 10             |
| 7   | Electronic devices                          | 11             |
| 8   | Vehicles                                    | 12             |

Source: Schmoch (2003)

#### 3 The approach

The basic approach for the generation of our classification of EUIPO trademarks follows a relatively simple idea. Upon filing a trademark at the EUIPO, an applicant needs to characterize it by providing a keyword based trademark description for each chosen trademark class. To assist applicants in doing this, the WIPO publishes "Explanatory Notes" to the NICE classification, from which the applicant can choose respective terms for his description (WIPO, 2018). To further aid the applicant, he or she can also use TMClass, an online classification assistance of the EUIPO (https://euipo.europa.eu/ec2/).² Besides these options, the applicant can also use self-selected keywords to describe his trademark.

These descriptive keywords, however, are not related to the explanatory notes in the NICE classification. We fill this gap by providing a string-matching algorithm based on the Levenshtein (Navarro, 2001) and Jaro-Winkler distance (Winkler, 1990) to relate the description provided by the applicant to the standardized keywords stated in the explanatory note to the NICE classification. The explanatory note already includes a hierarchical set-up in the form of a basic taxonomy, with sections and sub-sections, which avoids one of the major problems in the generation of taxonomies (Krishnapuram and Kummamuru, 2003).

## 3.1 From textual descriptions to a classification

A description of the content of the trademark, like an abstract or an in-depth description of an invention, as in the case of patents, is not available for trademarks. Keyword descriptions are the only text that is available for their characterization. As already stated above, an applicant has to assign one or several NICE classes to his applications upon filing. For each NICE class, it is necessary to provide one or several keywords to describe the trademark in more detail. For this purpose, applicants can use a pre-defined list of keywords that they can assign to their trademark, which is the method of choice in the majority of cases. However, they are also free to use their own words to describe the trademark. An example of the textual description of EUIPO trademarks is provided in Table 2.

In TMClass, the applicant searches for a term and gets the corresponding Nice class as well as related terms for the filed trademark. The terms can also be translated to other languages.

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Table 2: Exemplary trademark descriptions

| Application no. | Class no. | Goods/Services description  |
|-----------------|-----------|---|
| 17836735        | 12        | Vehicles; Apparatus for locomotion by land; Cars; Parts and fittings for vehicles.  |
| 17833096        | 12        | Motorized vehicles, other than rail vehicles; Motors, engines and drives for motor vehicles; Propulsion mechanisms for automobiles; Chassis for motor vehicles.   |
| 17814559        | 12        | Vehicles and conveyances.   |
| 17812801        | 12        | Bicycles; freewheels for bicycles; bicycle wheel hubs; bicycle chains; bicycle gears; derailleurs for bicycles; Transmission belts for land vehicles; power transmission belts for land vehicles; tires; anti-theft devices for vehicles. |

Source: EUIPO.

In order to help the applicant to define his trademark, the WIPO publishes the "Explanatory Notes" to the NICE classification, which can be used by the applicant to select predefined keywords. These explanatory notes are set-up hierarchically and can therefore be used to generate a hierarchical classification for trademarks.

In Figure 1, an excerpt of the explanatory notes to the NICE classification for class 12 "Vehicles; apparatus for locomotion by land, air or water" is provided. As can be seen from the figure, class 12 has sections ("vehicles and conveyances"), which have further sub-categories that can be used as sub-sections ("land vehicles and conveyances"). At the lowest layer, there are terms for the description of the trademark that can be applied by the applicant to characterize his trademark, which already have a unique identification number.

Figure 1: Explanatory Note to the NICE classification - excerpt for class 12 ("Vehicles; apparatus for locomotion by land, air or water")

| Basic No. | Indication  |  |  |
|-----------|---|--|--|
|           | VEHICLES AND CONVEYANCES                            |  |  |
| 120004    | aerial conveyors                                    |  |  |
| 120237    | air cushion vehicles                                |  |  |
| 120188    | cable transport apparatus and installations         |  |  |
| 120051    | cars for cable transport installations              |  |  |
| 120180    | chairlifts  |  |  |
| 120144    | military vehicles for transport                     |  |  |
| 120170    | ski lifts   |  |  |
| 120110    | electric vehicles                                   |  |  |
| 120193    | vehicles for locomotion by land, air, water or rail |  |  |
| 120149    | water vehicles                                      |  |  |
|           | LAND VEHICLES AND CONVEYANCES                       |  |  |
| 120233    | ambulances  |  |  |
| 120271    | armoured vehicles / armored vehicles                |  |  |
| 120310    | bicycle trailers                                    |  |  |
| 120044    | bicycles  |  |  |

Source: WIPO, 2018

Based on this explanatory note, we have generated a classification scheme with five layers (Level I to Level V), with the 5th layer being the respective term from the trademark description and a description identifier (L5-Id). An example from the classification is shown in Table 3 for NICE Class 12 "Vehicles; apparatus for locomotion by land, air or water". Basically, we have translated the Explanatory Note to the NICE classification to a machine readable format. Special characters were excluded. Spelling variations were stored in multiple lines using the same class identification number.

Table 3: Classification scheme for Class 12 "Vehicles; apparatus for locomotion by land, air or water"

| No. | Layer I                  | Layer II                        | Layer III                  | Layer IV | Layer V                                | L5-ld  |
|-----|--------------------------|---------------------------------|----------------------------|----------|--|--------|
| 12  | VEHICLES AND CONVEYANCES | LAND VEHICLES AND CONVEYANCES   | GENERAL                    | GENERAL  | cars / automobiles                     | 120199 |
| 12  | VEHICLES AND CONVEYANCES | LAND VEHICLES AND CONVEYANCES   | GENERAL                    | GENERAL  | camping cars / motor homes             | 120249 |
| 12  | VEHICLES AND CONVEYANCES | LAND VEHICLES AND CONVEYANCES   | GENERAL                    | GENERAL  | driverless cars [auto-<br>nomous cars] | 120279 |
| 12  | VEHICLES AND CONVEYANCES | PARTS AND FITTINGS FOR VEHICLES | GENERAL                    | GENERAL  | vehicle chassis                        | 120069 |
| 12  | VEHICLES AND CONVEYANCES | LAND VEHICLES AND CONVEYANCES   | GENERAL                    | GENERAL  | bicycles                               | 120044 |
| 12  | VEHICLES AND CONVEYANCES | PARTS AND FITTINGS FOR VEHICLES | WHEELS AND TYRES           | GENERAL  | freewheels for land vehicles           | 120148 |
| 12  | VEHICLES AND CONVEYANCES | PARTS AND FITTINGS FOR VEHICLES | ANTI-THEFT, SECURITY       | GENERAL  | safety belts for vehicle seats         | 120059 |
| 12  | VEHICLES AND CONVEYANCES | PARTS AND FITTINGS FOR VEHICLES | ANTI-THEFT, SECURITY       | GENERAL  | anti-theft devices for vehi-<br>cles   | 120200 |
| 12  | VEHICLES AND CONVEYANCES | PARTS AND FITTINGS FOR VEHICLES | PARTS FOR LAND<br>VEHICLES | GENERAL  | bicycle chains                         | 120061 |

Source: WIPO, 2018, own attributions

The challenge now is to relate the trademark descriptions to the classification scheme, i.e. to combine Table 2 and Table 3 to assign the keywords in the trademark descriptions to the classification scheme so that each trademark is assigned to one or more classes in the classification.

### 3.2 Data preparation & matching

Before we can start with the matching of the keywords in the classification to the keywords in the description, we have to convert the description data into a form that is comparable to the classification scheme. Thus, we had to separate the description keywords within the full description and assign each trademark several keyword descriptions. This basically means transforming the data from Table 2 in the format that is shown in Table 4.

We therefore split the description to single lines and used common separators (semicolon, comma, slash 'and/or', 'and / or', 'and') as the respective cut-of lines. Next, we removed special characters, stopwords, other linking words of any relevance, and punctuations and set everything to lower case. We then lemmatized the descriptions with the 'textstem' package in 'R', so that the matching works with the lemmas of the respective words, i.e. the canonical, or the dictionary form of the word (e.g. 'ran' or 'running' is transformed to 'run'). Finally, we removed descriptions that are not related to the content of the trademark, like for example "or otherwise". Based on this cleaning, we run our matching algorithms.

Table 4: Lemmatized descriptions of trademarks

| Application No. | Class | Goods/Services Description         |
|-----------------|-------|------------------------------------|
| 17836735        | 12    | Vehicle                            |
| 17836735        | 12    | Apparatus for locomot by land      |
| 17836735        | 12    | Car                                |
| 17836735        | 12    | Part and fitting for vehicle       |
| 17833096        | 12    | Motorized vehicle                  |
| 17833096        | 12    | rail vehicle                       |
| 17833096        | 12    | Motor                              |
| 17833096        | 12    | engine and drive for motor vehicle |
| 17833096        | 12    | Propulsion mechanism for automobil |
| 17833096        | 12    | Chassis for motor vehicle          |

Source: EUIPO

The aim of the matching procedure is to identify lemmas in the description of the applicant that match with the lemmas of the keywords from the explanatory note to the NICE classification or has a high similarity with it. For this purpose, we calculate the similarity between the respective lemmas at layer 5 of our classification within a given NICE class.

In the first step, the similarity value is calculated with the help of the Levenshtein distance, which calculates how many edits would be needed in order to align two text-strings. Edits can hereby be insertion, deletion or replacement of a character with another

one. The Levenshtein distance between the texts "motorized vehicle" and "Motorized vehicle", for example, would equal 1, replacement of the letter "s" by the letter "z". We then normalized the Levenshtein distance by the number of characters in a given string so it ranges between 0 and 1. We then substract the distance measure from 1 to create a measure of similarity.

After obtaining the similarity scores, the entries to be selected as matches had to be determined. For this purpose, a predetermined threshold value t was calculated based on a manually created gold-standard of 200 entries (true matches). To determine the optimal threshold value t for the matching, we resorted to recall (ratio of correctly as positive identified elements in the total number of positive elements) and precision (ratio of correctly as positive identified elements in the total number of elements identified as positive) measures. The optimal compromise of the two concepts, i.e. the F-Score (harmonic mean of recall and precision) determines the t-value. The t-value was set to 0.89 on this basis.

If the similarity value of 0.89 is exceeded, the respective pair of lemmas is interpreted as a match. Since a trademark usually has several descriptive terms, multiple, parallel assignments of one trademark to several layer 5 classes are possible. The matching, however, is only performed within each NICE class, i.e. descriptive terms are only assigned to the classification within the same NICE class. If the similarity value exceeds the threshold value, the respective pair of entries is interpreted as a "match" and the trademark assigned to the classification keyword in question.

After this first matching step, we repeated the matching with a variant of the Levenshtein distance, namely the Jaro-Winkler distance. The Jaro-Winkler distance applies a scale to prefixes, thus giving a higher rating to strings that match from the beginning of the string. For this second step, a slightly higher t-value of 0.93 was assigned for the selection of the matches.

#### 4 Results

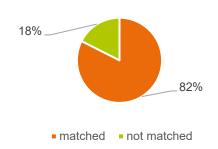
## 4.1 Coverage and validation of the matching

The coverage of trademark filings in relation to all trademark filings at the EUIPO is plotted in Figure 2. In total, we reach a matching rate of 82%, i.e. we have assigned at least one classification code at level V to ~1.3 million trademarks out of ~1.6 million records in the EUIPO dataset from 2000 onwards. Applying the first step of the matching using the Levenshtein distance leads to a matching rate of 75% at a similarity (t-value) of 0.89. The second matching step using the Jaro-Winkler distance leads to an additional 7% of classification assignments.

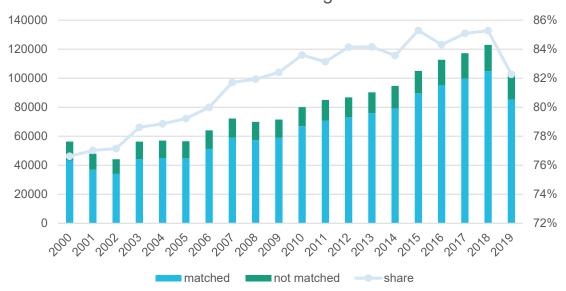
When looking at the annual matching rate only minor differences can be found. The highest matching rate can be observed for 2018 (85%), which is the most recent year with complete data.<sup>3</sup> The matching rate drops rather constantly the further we go back in time, e.g. we only reach a matching rate of 77% in the year 2000. The explanation for this is that we use the explanatory note to the NICE classification of 2018. This list is constantly adapted to changes in (technical) language, implying that the 2018 version might include specific words that were not in use or phrases for technologies that as such did not exist in 2000.

Figure 2: Share of matched trademarks

Total matching rate (all years >=2000)



#### Annual matching rate

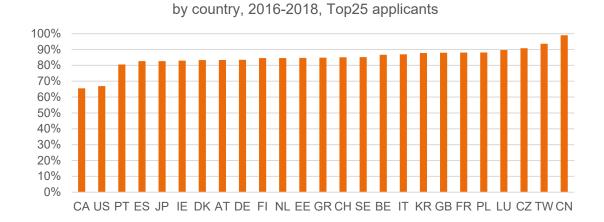


Source: EUIPO, own calculations

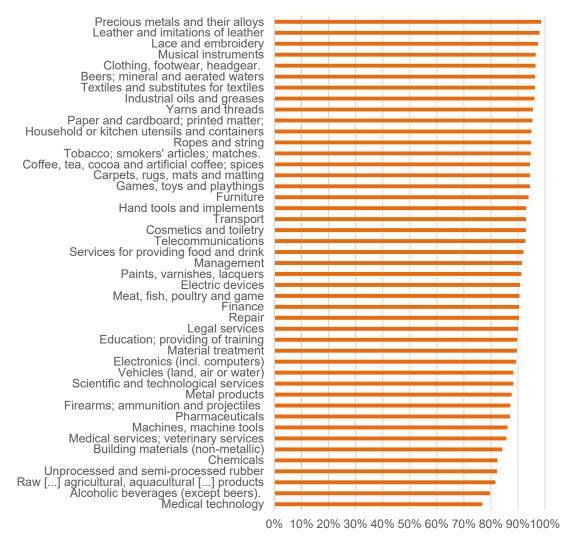
We are using a snapshot of the EUIPO database version of autumn 2019 for the matching where the 2019 data is still incomplete-

In order to validate our results, we can take a closer look at the share of matched trademarks by country and by NICE class to find out whether some countries/fields are overor underrepresented in our matching. This is shown in Figure 3 for the period 2016 to 2018. As can be seen from the figure, the matching rate is similar across countries with the extremes being China with a matching rate of 99% on one end of the scale and Canada and the U.S. with 66% and 67%, respectively. All other countries are in the range of 81% and 94%. The explanation for this phenomenon is rooted in language differences. Applicants at the EUIPO can only provide their trademark descriptions in three languages, namely English, French and German. Consequently, the probability to choose from the pre-defined list of standardized keywords for the classification is much larger for non-native English, French or German speakers and especially the Chinese seem to rely heavily on the standardized keywords. For American and Canadian applicants, however, it is much easier to provide a description with self-provided keywords. This is aggravated further by the fact that the U.S. Patent and Trademark Office (USPTO) demands a detailed trademark description from applicants, most of which will not use the list of EUIPO keywords as reference. Since many trademark filings are simply translated when being filed at the EUIPO the standardized keywords are much less used by Americans and Canadians. Consequently, the differences between U.S./Canadian and Chinese applicants do not stem from systematic differences in our algorithm but in structural differences related to language and IPR systems. This has to be kept in mind for further analyses.

Figure 3: Share of matched trademarks by country and NICE class



#### by NICE classes, 2016-2018



Source: EUIPO

When looking at the distribution of the matching rate by NICE class, it is found that the coverages range from 77% in medical technology (NICE class 10) to 99% in precious metals and alloys (NICE class 14). Thus, a rather high matching rate can be observed for all classes. When taking a look at the classes, it can be found that many classes related to chemistry and medicine have a somewhat lower coverage, while the largest matching rates can be found in the manufacturing of consumer goods. Apparently, the algorithm is working slightly better for discrete and less complex products and performs a bit worse for more complex products, even though no major distortions can be observed.

#### 4.2 The classification setup

The classification is set up hierarchically with the NICE class being the top-level of the hierarchy. Below the NICE class, the classification has five levels, gradually getting more and more fine-grained. The hierarchy is taken over from the Explanatory Note to the NICE classification, which already provides a basic hierarchical setup. In case a classification does not exist for a certain level, we replaced it with a class called "General", to make sure that the same hierarchy level is available for each trademark. A trademark can be classified multiple times, i.e. there might be more than one level V class for any given trademark, also depending on how many NICE classes have been assigned to it upon filing.

An overview of the classification with an example for NICE class 12 ("vehicles and conveyances") is plotted in Figure 4. The least aggregated level, i.e. Level I, has 234 codes or entries. By means of the abovementioned methodology, 228 of these are assigned at least one trademark (97%). Some NICE classes are assigned more Level I classes than others. NICE class 11 ("Apparatus for lighting, heating, steam generating, cooking, refrigerating, ..."), for example, has 15 subclasses at Level I while class 23 ("Yarns and threads, for textile use" has only one Level I subclass. Having one level I subclass, however, only happens in three occasions (NICE classes 12, 23 and 38), while the average number of level I subclasses lies at 6 per NICE class.

From layer to layer, the classification becomes more and more fine-grained until the lowest level of aggregation, the Level V classification, is reached. Here, each class has a class number (level 5 number with 6 digits). The first two digits of this number represent the NICE class, while the remaining digits are more or less arbitrary. At Level V, there are potentially 8,656 classes of which 7,369 are assigned at least one trademark (85%).

Level I odes, 228 matched codes, 97%) Level II (309 codes, 292 matched codes, 94%) Level III (87 codes, 82 matched codes, 94%) Level IV (4 codes, 4 matched codes, 100%) (8656 codes, 7369 matched codes, 85%) Lvl 3 nam VEHICLES LAND VEHICLES GENERAL. GENERAL. 12 wheelbarrows 120218 MOBILITY CONVEYANCES LAND VEHICLES 12 VEHICLES GENERAL. wheelchairs 120062 LAND VEHICLES RAIL VEHICLES 120138 12 VEHICLES GENERAL locomotives PARTS AND FITTINGS PARTS FOR LAND VEHICLES POWERTRAINS 12 VEHICLES motorcycle eng. 120286 VEHICLES PARTS AND FITTINGS PARTS FOR LAND VEHICLES **POWERTRAINS** motors, electric 120109

Figure 4: The classification setup

Source: EUIPO, WIPO, 2018

### 4.3 Examples and use cases

The level I classes by absolute filing figures for the complete EUIPO trademark land-scape in 2018 is plotted in Figure 5. The largest level I class is "information technology and audio-visual, multimedia and photographic devices", a subclass of NICE class 9, "Electronics", followed by "advertising, marketing and promotional services", a subclass of NICE class 35 "Advertising; business management". The next largest level I classes worldwide are "education, entertainment and sports services", "IT services", "Business assistance, management and administrative services" and "toiletries", proving that the span across different brands is rather wide and the underlying technologies differ widely.

INFORMATION TECHNOLOG' AND AUDIO-VISUAL, MULTIMEDIA AND PHOTOGRAPHIC DEVICES LUGGAGE, BAGS, WALLETS AND OTHER CARRIERS 4.734 CLOTHING 5.803 HEADGEAR 3.034 INFORMATION SAFETY, SECURITY PROTECTION AND SIGNALLING DEVICES ADVERTISING, MARKETING AND PROMOTIONAL SERVIC MEDICAL AND VETERINARY FRUITS, FUNGI PROVISION OF FOOD AND PREPARATIONS AND ARTICLES FURNITURE AND DESIGN SERVICES **FURNISHINGS** APPARATUS, INSTRUMENTS AND CABLES FOR ELECTRICITY 4 000 2.895 SALTS, SEASONINGS, NAVIGATION, COFFEE, TEAS AND COCOA AND GUIDANCE FLAVOURINGS SUBSTITUTES MEASURING, DETECTING AND MONITORING INSTRUMENTS, TOYS, GAMES, PLAYTHINGS AND NOVELTIES CARDBOARD 2.704 LIGHTING MACHINES AND MACHINE TOOLS FOR PRINTED MATTER 5.042 BAKED GOODS, CONFECTIONERY CHOCOLATE AND JEWELLERY 2.156 ICE, ICE BUSINESS ASSISTANCE, MANAGEMENT AND ADMINISTRATIVE SERVICES 7.151 SCIENCE AND TECHNOLOGY SERVICES FROZEN OPTICAL DEVICES, ENHANCERS AND CORRECTORS DAIRY PRODUCTS LEATHER AND TOILETRIES 6.701 STATIONERY AND EDUCATIONAL SUPPLIES 4.804 DIETARY SUPPLEMENTS AND DIETETIC FOOTWEAR 2.604 HEATING, VENTILATING,

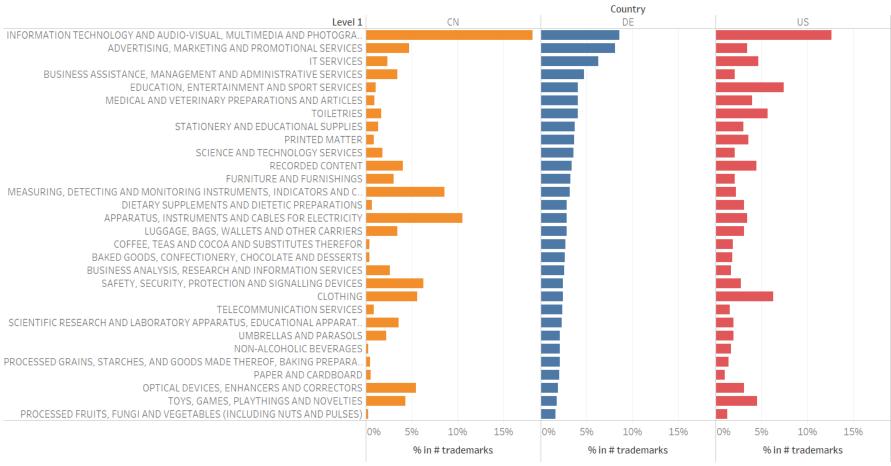
Figure 5: Trademark classification at Level I, World, 2018, absolute numbers

Source: EUIPO; calculations by Fraunhofer ISI

Note: This graph is used to give a graphical overview on the complete universe of Level I classes. The full classification can be found in the annex

The level I classification can also be differentiated by country (Figure 6). In the figure, the top thirty classes for Germany (as shares of total German filings) in comparison to the U.S. and China are plotted. As in the worldwide average, the largest level I class for all of the three countries is "information technology and audio-visual, multimedia and photographic devices". The next largest level I classes in Germany are "Advertising; marketing and promotional services", "IT services" and "Business assistance, management and administrative services". These classes have a much smaller weight in China, where especially "Apparatus, Instruments and Cables for Electricity", "Measuring, detecting and monitoring services", "safety, security, protection and signaling devices", "clothing" and "optical devices" have a much larger weight, once again showing that the Chinese trademark profile is more product than service oriented. For the U.S. the second largest level I class is "education and sports services", followed by "clothing", "toiletries" and "toys and games", i.e. classes that are more oriented to the consumer market than the "business market".

Figure 6: Shares of trademarks in total trademarks at classification level I, China, Germany and the U.S., 2018



Source: EUIPO; calculations by Fraunhofer ISI

For the largest level I class, namely "information technology and audio-visual, multimedia and photographic devices" we have further generated an overview of the level V classes for the world (Figure 7) as well as Germany (Figure 8) in comparison. For the world, it can be found that the largest level V class in level I subclass "information technology and audio-visual, multimedia and photographic devices" is "computers", followed by "computer hardware", "headphones", "compact discs", "smartphones" and "tablet computers".

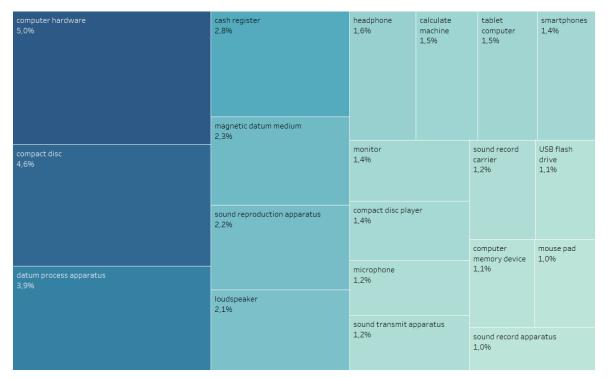
For Germany (Figure 8), in comparison, the largest level V classes in "information technology and audio-visual, multimedia and photographic devices" are "computer hardware", "compact disc", "datum process apparatus", "cash register", magnetic datum medium", "sound production apparatus" and "loudspeaker". This is a very good example of what differences can be found below the surface of the NICE classes and even below the level I subclasses as Germany shows a rather different picture than the world when it comes to this IT related level I subclass. It also shows the level of detail that can be reached with the help of the classification.

Figure 7: Shares of trademarks within level I class "information technology and audio-visual, multimedia and photographic devices" at level V, world, 2018

| computer<br>6,1%  | compact disc<br>2,796              | computer memory<br>device<br>1,7% | casi<br>1,7° | %                              | portabl<br>player<br>1,5% | le medium  | 1,5° | dspeaker<br>% |  |
|-------------------|------------------------------------|-----------------------------------|--------------|--------------------------------|---------------------------|--|------|---------------|--|
|                   | smartphones<br>2,1%                | calculate machine<br>1,4%         |              | case for mous smartphones 1,3% |                           |  |      | mera<br>3%    |  |
| computer hardware | tablet computer<br>2.0%            | microphone<br>1,4%                |              |                                |                           |  |      |               |  |
| 3,9%              | 2,070                              |                                   |              | cabinet for loudsp<br>1,2%     | peaker camcorde<br>1,2%   |  |      | cover for     |  |
|                   | datum process apparatus<br>1,9%    | sound reproduction apparatus      |              |                                |                           |  |      |               |  |
|                   |                                    | 1,4%                              |              | computer keyboard<br>1,2%      |                           |  |      |               |  |
| headphone         |                                    |                                   |              |                                |                           | USB flash dri                                    |      | ive           |  |
| 2,8%              | computer peripheral device<br>1,8% | smartwatches<br>1,496             |              | monitor                        |                           | 1,170  |      |               |  |
|                   |                                    |                                   |              | 1,2%                           |                           | protective film adapt for<br>smartphones<br>1,0% |      | adapt for     |  |

Source: EUIPO; calculations by Fraunhofer ISI

Figure 8: Shares of trademarks within level I class "information technology and audio-visual, multimedia and photographic devices" at level V, Germany, 2018



Source: EUIPO; calculations by Fraunhofer ISI

#### 4.4 Pitfalls

Although the classification works pretty well in generating trademark statistics at a detailed level including several hierarchies, there are still some pitfalls that are worth mentioning.

First and foremost, we have to acknowledge the fact that not all trademarks have been assigned to a classification entry. Still, 18% remain unclassified. As we have seen in the validation section, systematic distortions across countries and fields are limited. However, we still only deal with a subsample of all available trademark data. In addition, there are marks with several classification entries while there are others with only one classification. This is dependent on the length of the description provided by the applicant as well as - at least to a certain extent - the matching algorithm.

Second, hierarchy levels II, III and IV are not available for every trademark. At level IV, only one real classification code exists (namely "powertrains"). We have dealt with this issue by providing the sub-classification "General" for each trademark where a class is unavailable, to provide the most available detail possible - an option would have been to

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leave out the sub-levels II to IV entirely. For analytical purposes, therefore, the intermediate sub-classes at levels II, III and IV should be used with caution - if at all. Different from the levels I and V, they do not (yet) form reliable stand-alone classifications, but rather auxiliary devices for those trying to understand the structure of the overall classification and to conceptual divide it into meaningful sub-areas.

Third, the hierarchy provided in the Explanatory Note to the NICE classification sometimes provides unclear results in the sense of a taxonomy. In the level I class "information technology and audio-visual, multimedia and photographic devices", for example (Figure 7), we can see that a level V class "computer hardware" exists alongside classes like "computer", "monitor" or "computer memory device". Here, it is not 100% clear whether these terms should exist in parallel or if it is the more generic term for the others.

Fourth, there are level V classes to which only one trademark is assigned, implying that this classes might not be "important" in the sense that this one assigned trademark might as well be assigned to another class, which would reduce the level of complexity. However, we kept all the available classes as there might be trademarks coming in in the future, which might be assigned to one of these classes with low filing figures at the moment. In addition, there are keywords or terms that occur very often, e.g. "musical instruments" in NICE class 15 ("musical instruments").

In sum, we can say that this classification provides a basic, automated structuring device for what is available in the data. We believe that this has its merits. In a first step, we decided to provide the raw data and leave the re-grouping of classes or re-assignments of specific trademarks to classes to manual, case by case decisions, in case an additional level of accuracy is needed for specific research efforts. We would argue, however, that our new method can even in those cases help to pre-structure the approach, and expedite the process. Despite all remaining shortcomings of the automated approach one needs to be aware that manual re-classification - while more precise - would at the same time mean that implicit interpretations enter into the interpretation of ambiguous cases.

#### 5 Conclusions

In this paper, we shave shown that classification of EUIPO trademarks can be set up on the basis of the available textual description that applicants provide for their trademarks during the filing process. The classification and the trademarks associated to it allow for quick and easy in-depth analyses, country and fields comparisons, time trends etc. without resorting to keyword-based analyses. It further allows us to look into much more thematic detail regarding EUIPO trademarks. This can also be seen as a stepping stone

for the prevalent question of how to differentiate knowledge-intensive from less knowledge-intensive trademarks which is an important distinction when it comes to the question of using trademarks as an indicator for innovative activities, especially in services, where broadly available indicators are scarce.

There still are open questions that could be subject to future research. We are especially interested in reaching a complete coverage of trademark data, i.e. we would like to assign all trademarks to at least one classification code. Here, a topic model or for NLP algorithms could help for the assignment of the remaining 18% of still unassigned trademarks. However, we are still at the experimental stage in this regard.

Another issue is the transferability of the classification to other patent offices in the world. Especially applying the method to the USPTO seems feasible. China could also be interesting, though we would then also have to deal with automated translations.

Finally, it remains to be seen whether it will prove feasible to keep five levels of classification below the NICE classes or whether it might eventually prove more informative to focus on only two levels. In any case, classifications are living constructs that constantly have to adapt to new developments, so we leave it to the pilot users of this classification to judge whether we (or others) will have to refine it in the future.

## 6 Appendix

In the following table, the classification at Level I is provided. The Level V classification is too large to be printed in the paper, but is available on the Fraunhofer ISI homepage at <a href="https://www.isi.fraunhofer.de/content/dam/isi/dokumente/cci/innovation-systems-policy-analysis/2021/general\_nice\_classes\_19o.xlsx">https://www.isi.fraunhofer.de/content/dam/isi/dokumente/cci/innovation-systems-policy-analysis/2021/general\_nice\_classes\_19o.xlsx</a>. Fraunhofer ISI hosts the EUIPO (as well as USPTO) trademark data as a relational database (Oracle relational database system (RDBMS)) within the RISIS2 (Research Infrastructure for Science and Innovation Policy studies, <a href="https://www.risis2.eu/">https://www.risis2.eu/</a>) project. Researchers can apply for using the data via the RISIS data infrastructure and will then also get direct access to the classification, i.e. the assignment of trademark application numbers to the classes at all levels.

Table 5: The trademark classification at level I

| NICE | NICE name          | LEVEL I name  |
|------|--------------------|---|
| code | NICE Hame          | LLVLL I Hame  |
| 1    | Chemicals          | UNPROCESSED PLASTICS  |
| 1    | Chemicals          | ADHESIVES FOR USE IN INDUSTRY   |
| 1    | Chemicals          | GROWING MEDIA, FERTILIZERS AND CHEMICALS FOR USE IN AGRICULTURE,        |
|      |                    | HORTICULTURE AND FORESTRY   |
| 1    | Chemicals          | DETERGENTS FOR USE IN MANUFACTURE AND INDUSTRY                          |
| 1    | Chemicals          | CHEMICAL SUBSTANCES, CHEMICAL MATERIALS AND CHEMICAL PREPARATIONS, AND  |
|      |                    | NATURAL ELEMENTS  |
| 1    | Chemicals          | FILTERING MATERIALS [CHEMICAL, MINERAL, VEGETABLE AND OTHER UNPROCESSED |
|      |                    | MATERIALS]  |
| 1    | Chemicals          | CHEMICAL PREPARATIONS AND MATERIALS FOR FILM, PHOTOGRAPHY AND PRINTING  |
| 1    | Chemicals          | CHEMICAL AND ORGANIC COMPOSITIONS FOR USE IN THE MANUFACTURE OF FOOD    |
|      |                    | AND BEVERAGES   |
| 1    | Chemicals          | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP                 |
| 1    | Chemicals          | SALTS FOR INDUSTRIAL PURPOSES   |
| 1    | Chemicals          | UNPROCESSED ARTIFICIAL AND SYNTHETIC RESINS                             |
| 1    | Chemicals          | STARCHES FOR USE IN MANUFACTURING AND INDUSTRY                          |
| 1    | Chemicals          | PUTTIES, AND FILLERS AND PASTES FOR USE IN INDUSTRY                     |
| 2    | Paints, varnishes, | DYES, COLORANTS, PIGMENTS AND INKS                                      |
|      | lacquers           |   |
| 2    | Paints, varnishes, | THINNERS AND THICKENERS FOR COATINGS, DYES AND INKS                     |
|      | lacquers           |   |
| 2    | Paints, varnishes, | COATINGS  |
|      | lacquers           |   |
| 2    | Paints, varnishes, | RAW NATURAL RESINS  |
|      | lacquers           |   |
| 2    | Paints, varnishes, | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP                 |
|      | lacquers           |   |
| 3    | Cosmetics and toi- | CLEANING AND FRAGRANCING PREPARATIONS                                   |
| 0    | letry              | TOU ETRUE   |
| 3    | Cosmetics and toi- | TOILETRIES  |
| 0    | letry              | ANIMAL ODGOMING PREPARATIONS  |
| 3    | Cosmetics and toi- | ANIMAL GROOMING PREPARATIONS  |
|      | letry              |   |
| 3    | Cosmetics and toi- | ESSENTIAL OILS AND AROMATIC EXTRACTS                                    |
|      | letry              |   |

| NICE<br>code | NICE name                   | LEVEL I name   |
|--------------|-----------------------------|--|
| 3            | Cosmetics and toi-          | ABRASIVES  |
| 3            | Cosmetics and toi-          | TAILORS AND COBBLERS WAX   |
| 3            | Cosmetics and toi-          | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP                              |
| 4            | Industrial oils and greases | FUELS AND ILLUMINANTS  |
| 4            | Industrial oils and greases | DUST CONTROLLING COMPOSITIONS  |
| 4            | Industrial oils and greases | LUBRICANTS AND INDUSTRIAL GREASES, WAXES AND FLUIDS                                  |
| 4            | Industrial oils and greases | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP                              |
| 5            | Pharmaceuticals             | DIETARY SUPPLEMENTS AND DIETETIC PREPARATIONS  |
| 5            | Pharmaceuticals             | DENTAL PREPARATIONS AND ARTICLES, AND MEDICATED DENTIFRICES                          |
| 5            | Pharmaceuticals             | SANITARY PREPARATIONS AND ARTICLES   |
| 5            | Pharmaceuticals             | MEDICAL AND VETERINARY PREPARATIONS AND ARTICLES                                     |
| 5            | Pharmaceuticals             | PEST CONTROL PREPARATIONS AND ARTICLES   |
| 6            | Metal products              | UNPROCESSED AND SEMI-PROCESSED MATERIALS OF METAL, NOT SPECIFIED FOR USE             |
| 6            | Metal products              | METAL HARDWARE   |
| 6            | Metal products              | BUILDING AND CONSTRUCTION MATERIALS AND ELEMENTS OF METAL                            |
| 6            | Metal products              | DOORS, GATES, WINDOWS AND WINDOW COVERINGS OF METAL                                  |
| 6            | Metal products              | STRUCTURES AND TRANSPORTABLE BUILDINGS OF METAL                                      |
| 6            | Metal products              | STATUES AND WORKS OF ART OF COMMON METALS  |
| 6            | Metal products              | CONTAINERS, AND TRANSPORTATION AND PACKAGING ARTICLES, OF METAL                      |
| 6            | Metal products              | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP                              |
| 7            | Machines, machine tools     | MOVING AND HANDLING EQUIPMENT  |
| 7            | Machines, machine tools     | MACHINES AND MACHINE TOOLS FOR TREATMENT OF MATERIALS AND FOR MANUFACTURING          |
| 7            | Machines, machine tools     | AGRICULTURAL, EARTHMOVING, CONSTRUCTION, OIL AND GAS EXTRACTION AND MINING EQUIPMENT |

| NICE<br>code | NICE name                     | LEVEL I name  |
|--------------|-------------------------------|---|
| 7            | Machines, machine tools       | PUMPS, COMPRESSORS AND FANS   |
| 7            | Machines, machine tools       | GENERATORS OF ELECTRICITY   |
| 7            | Machines, machine tools       | ENGINES, POWERTRAINS, AND MACHINE PARTS, AND CONTROLS FOR THE OPERATION OF MACHINES AND ENGINES             |
| 7            | Machines, machine tools       | DISPENSING MACHINES   |
| 7            | Machines, machine tools       | SWEEPING, CLEANING, WASHING AND LAUNDERING MACHINES   |
| 7            | Machines, machine tools       | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP   |
| 8            | Hand tools and implements     | HAND-OPERATED TOOLS AND IMPLEMENTS FOR TREATMENT OF MATERIALS, AND FOR CONSTRUCTION, REPAIR AND MAINTENANCE |
| 8            | Hand tools and implements     | HYGIENIC AND BEAUTY IMPLEMENTS FOR HUMANS AND ANIMALS   |
| 8            | Hand tools and implements     | EDGED AND BLUNT WEAPONS   |
| 8            | Hand tools and implements     | FOOD PREPARATION IMPLEMENTS, KITCHEN KNIVES AND CUTTING IMPLEMENTS, CUTLERY FOR EATING                      |
| 8            | Hand tools and implements     | LIFTING TOOLS   |
| 9            | Electronics (incl.            | RECORDED CONTENT  |
| 9            | Electronics (incl.            | INFORMATION TECHNOLOGY AND AUDIO-VISUAL, MULTIMEDIA AND PHOTOGRAPHIC DEVICES                                |
| 9            | Electronics (incl. computers) | OPTICAL DEVICES, ENHANCERS AND CORRECTORS   |
| 9            | Electronics (incl.            | SAFETY, SECURITY, PROTECTION AND SIGNALLING DEVICES   |
| 9            | Electronics (incl.            | MAGNETS, MAGNETIZERS AND DEMAGNETIZERS  |
| 9            | Electronics (incl.            | SCIENTIFIC AND LABORATORY DEVICES FOR TREATMENT USING ELECTRICITY   |

| NICE<br>code | NICE name                     | LEVEL I name   |
|--------------|-------------------------------|--|
| 9            | Electronics (incl. computers) | APPARATUS, INSTRUMENTS AND CABLES FOR ELECTRICITY                                  |
| 9            | Electronics (incl. computers) | MEASURING, DETECTING AND MONITORING INSTRUMENTS, INDICATORS AND CONTROLLERS        |
| 9            | Electronics (incl. computers) | SCIENTIFIC RESEARCH AND LABORATORY APPARATUS, EDUCATIONAL APPARATUS AND SIMULATORS |
| 9            | Electronics (incl. computers) | NAVIGATION, GUIDANCE, TRACKING, TARGETING AND MAP MAKING DEVICES                   |
| 9            | Electronics (incl. computers) | DIVING EQUIPMENT   |
| 10           | Medical technology            | PHYSICAL THERAPY EQUIPMENT   |
| 10           | Medical technology            | HEARING PROTECTION DEVICES   |
| 10           | Medical technology            | FEEDING AIDS AND PACIFIERS   |
| 10           | Medical technology            | SEX AIDS   |
| 10           | Medical technology            | MEDICAL AND VETERINARY APPARATUS AND INSTRUMENTS                                   |
| 10           | Medical technology            | ORTHOPEDIC AND MOBILITY AIDS   |
| 10           | Medical technology            | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP                            |
| 10           | Medical technology            | MEDICAL FURNITURE AND BEDDING, EQUIPMENT FOR MOVING PATIENTS                       |
| 10           | Medical technology            | MEDICAL CLOTHING   |
| 10           | Medical technology            | PROSTHETICS AND ARTIFICIAL IMPLANTS  |
| 11           | Electric devices              | SANITARY INSTALLATIONS, WATER SUPPLY AND SANITATION EQUIPMENT                      |
| 11           | Electric devices              | BURNERS, BOILERS AND HEATERS   |
| 11           | Electric devices              | LIGHTING AND LIGHTING REFLECTORS   |
| 11           | Electric devices              | FOOD AND BEVERAGE COOKING, HEATING, COOLING AND TREATMENT EQUIPMENT                |
| 11           | Electric devices              | FLUES AND INSTALLATIONS FOR CONVEYING EXHAUST GASES                                |
| 11           | Electric devices              | SUN TANNING APPLIANCES   |
| 11           | Electric devices              | HEATING, VENTILATING, AND AIR CONDITIONING AND PURIFICATION EQUIPMENT (AMBIENT)    |
| 11           | Electric devices              | IGNITERS   |
| 11           | Electric devices              | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP                            |
| 11           | Electric devices              | FIREPLACES   |
| 11           | Electric devices              | FILTERS FOR INDUSTRIAL AND HOUSEHOLD USE   |
| 11           | Electric devices              | INDUSTRIAL TREATMENT INSTALLATIONS   |

| NICE<br>code | NICE name                                      | LEVEL I name  |
|--------------|--|---|
| 11           | Electric devices                               | PERSONAL HEATING AND DRYING IMPLEMENTS  |
| 11           | Electric devices                               | DRYING INSTALLATIONS  |
| 11           | Electric devices                               | REFRIGERATING AND FREEZING EQUIPMENT  |
| 11           | Electric devices                               | REGULATING AND SAFETY ACCESSORIES FOR WATER AND GAS INSTALLATIONS                     |
| 11           | Electric devices                               | NUCLEAR INSTALLATIONS   |
| 12           | Vehicles (land, air or water)                  | VEHICLES AND CONVEYANCES  |
| 13           | Firearms; ammunition and projectiles           | EXPLOSIVE SUBSTANCES AND DEVICES, OTHER THAN ARMS                                     |
| 13           | Firearms; ammunition and projectiles           | WEAPONS AND AMMUNITION  |
| 14           | Precious metals and their alloys               | JEWELLERY   |
| 14           | Precious metals and their alloys               | TIME INSTRUMENTS  |
| 14           | Precious metals and their alloys               | OTHER ARTICLES OF PRECIOUS METALS AND PRECIOUS STONES, AND IMITATIONS THEREOF         |
| 14           | Precious metals and their alloys               | JEWELLERY BOXES AND WATCH BOXES   |
| 14           | Precious metals and their alloys               | KEY RINGS AND KEY CHAINS, AND CHARMS THEREFOR   |
| 14           | Precious metals and their alloys               | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP                               |
| 14           | Precious metals and their alloys               | GEMSTONES, PEARLS AND PRECIOUS METALS, AND IMITATIONS THEREOF                         |
| 15           | Musical instruments                            | MUSICAL INSTRUMENTS   |
| 15           | Musical instruments                            | MUSICAL ACCESSORIES   |
| 15           | Musical instruments                            | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP                               |
| 16           | Paper and card-<br>board; printed mat-<br>ter; | BAGS AND ARTICLES FOR PACKAGING, WRAPPING AND STORAGE OF PAPER, CARDBOARD OR PLASTICS |
| 16           | Paper and card-<br>board; printed mat-<br>ter; | STATIONERY AND EDUCATIONAL SUPPLIES   |

| NICE | NICE name                                      | LEVEL I name  |
|------|--|---|
| 16   | Paper and card-<br>board; printed mat-<br>ter; | WORKS OF ART AND FIGURINES OF PAPER AND CARDBOARD, AND ARCHITECTS MODELS  |
| 16   | Paper and card-<br>board; printed mat-<br>ter; | DECORATION AND ART MATERIALS AND MEDIA  |
| 16   | Paper and card-<br>board; printed mat-<br>ter; | FILTERING MATERIALS OF PAPER  |
| 16   | Paper and card-<br>board; printed mat-<br>ter; | PAPER AND CARDBOARD   |
| 16   | Paper and card-<br>board; printed mat-<br>ter; | MONEY HOLDERS   |
| 16   | Paper and card-<br>board; printed mat-<br>ter; | DISPOSABLE PAPER PRODUCTS   |
| 16   | Paper and card-<br>board; printed mat-<br>ter; | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP   |
| 16   | Paper and card-<br>board; printed mat-<br>ter; | ADHESIVES FOR STATIONERY OR HOUSEHOLD PURPOSES  |
| 16   | Paper and card-<br>board; printed mat-<br>ter; | PRINTED MATTER  |
| 17   | Unprocessed and semi-processed rubber          | FLEXIBLE PIPES, TUBES, HOSES AND FITTINGS THEREFOR (INCLUDING VALVES), AND FITTINGS FOR RIGID PIPES, ALL NON-METALLIC |
| 17   | Unprocessed and semi-processed rubber          | UNPROCESSED AND SEMI-PROCESSED MATERIALS INCLUDED IN THE CLASS, NOT SPECIFIED FOR USE                                 |

| NICE       | NICE name                             | LEVEL I name   |
|------------|---------------------------------------|--|
| code<br>17 | Unprocessed and semi-processed rubber | FINISHED OR SEMI-FINISHED GOODS, OF MATERIALS INCLUDED IN THE CLASS, SPECIFIED FOR USE   |
| 17         | Unprocessed and semi-processed rubber | SEALS, SEALANTS AND FILLERS  |
| 17         | Unprocessed and semi-processed rubber | INSULATION AND BARRIER ARTICLES AND MATERIALS  |
| 18         | Leather and imita-                    | UMBRELLAS AND PARASOLS   |
| 18         | Leather and imitations of leather     | WALKING STICKS   |
| 18         | Leather and imitations of leather     | LUGGAGE, BAGS, WALLETS AND OTHER CARRIERS  |
| 18         | Leather and imitations of leather     | LEATHER AND IMITATION LEATHER, PELTS AND HIDES, AND GOODS MADE THEREOF   |
| 18         | Leather and imita-                    | SADDLERY, WHIPS AND APPAREL FOR ANIMALS  |
| 18         | Leather and imita-                    | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP  |
| 19         | Building materials (non-metallic)     | BUILDING AND CONSTRUCTION MATERIALS AND ELEMENTS, NOT OF METAL   |
| 19         | Building materials (non-metallic)     | DOORS, GATES, WINDOWS AND WINDOW COVERINGS, NOT OF METAL   |
| 19         | Building materials (non-metallic)     | STRUCTURES AND TRANSPORTABLE BUILDINGS, NOT OF METAL   |
| 19         | Building materials (non-metallic)     | UNPROCESSED AND SEMI-PROCESSED MATERIALS INCLUDED IN THE CLASS, NOT SPECIFIED FOR USE  |
| 19         | Building materials (non-metallic)     | STATUES AND WORKS OF ART MADE OF MATERIALS SUCH AS STONE, CONCRETE AND MARBLE, INCLUDED IN THE CLASS   |
| 20         | Furniture                             | NON-METALLIC HARDWARE  |
| 20         | Furniture                             | STATUES, FIGURINES, WORKS OF ART AND ORNAMENTS AND DECORATIONS, MADE OF MATERIALS SUCH AS WOOD, WAX, PLASTER OR PLASTIC, INCLUDED IN THE CLASS |

| NICE<br>code | NICE name                                    | LEVEL I name   |
|--------------|--|--|
| 20           | Furniture                                    | FURNITURE AND FURNISHINGS  |
| 20           | Furniture                                    | CONTAINERS, AND CLOSURES AND HOLDERS THEREFOR, NON-METALLIC  |
| 20           | Furniture                                    | DISPLAYS, STANDS AND SIGNAGE, NON-METALLIC   |
| 20           | Furniture                                    | ANIMAL HOUSING AND BEDS  |
| 20           | Furniture                                    | UNPROCESSED AND SEMI-PROCESSED MATERIALS INCLUDED IN THE CLASS, NOT SPECIFIED FOR USE  |
| 20           | Furniture                                    | LADDERS AND MOVABLE STEPS, NON-METALLIC  |
| 21           | Household or kitchen utensils and containers | UNWORKED AND SEMI-WORKED GLASS, NOT SPECIFIED FOR USE  |
| 21           | Household or kitchen utensils and containers | GARDENING ARTICLES   |
| 21           | Household or kitchen utensils and containers | BRUSHES AND OTHER ARTICLES FOR CLEANING, BRUSH-MAKING MATERIALS  |
| 21           | Household or kitchen utensils and containers | STATUES, FIGURINES, PLAQUES AND WORKS OF ART, MADE OF MATERIALS SUCH AS PORCELAIN, TERRA-COTTA OR GLASS, INCLUDED IN THE CLASS |
| 21           | Household or kitchen utensils and containers | TABLEWARE, COOKWARE AND CONTAINERS   |
| 21           | Household or kitchen utensils and containers | COSMETIC AND TOILET UTENSILS AND BATHROOM ARTICLES   |
| 21           | Household or kitchen utensils and containers | ARTICLES FOR ANIMALS   |
| 21           | Household or kitchen utensils and containers | ARTICLES FOR THE CARE OF CLOTHING AND FOOTWEAR   |
| 22           | Ropes and string                             | GOODS MADE OF TEXTILE AND FIBERS   |
| 22           | Ropes and string                             | PADDING AND STUFFING MATERIALS   |
| 22           | Ropes and string                             | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP  |

| NICE<br>code | NICE name                                  | LEVEL I name   |
|--------------|--|--|
| 22           | Ropes and string                           | RAW TEXTILE FIBERS AND SUBSTITUTES                                       |
| 23           | Yarns and threads                          | YARNS AND THREADS  |
| 24           | Textiles and substi-<br>tutes for textiles | FABRICS  |
| 24           | Textiles and substi-<br>tutes for textiles | TEXTILE GOODS, AND SUBSTITUTES FOR TEXTILE GOODS                         |
| 24           | Textiles and substi-<br>tutes for textiles | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP                  |
| 25           | Clothing, footwear, headgear.              | HEADGEAR   |
| 25           | Clothing, footwear, headgear.              | CLOTHING   |
| 25           | Clothing, footwear, headgear.              | FOOTWEAR   |
| 25           | Clothing, footwear, headgear.              | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP                  |
| 26           | Lace and emb-                              | ACCESSORIES FOR APPAREL, SEWING ARTICLES AND DECORATIVE TEXTILE ARTICLES |
| 26           | Lace and emb-                              | HAIR ORNAMENTS, HAIR ROLLERS, HAIR FASTENING ARTICLES, AND FALSE HAIR    |
| 26           | Lace and emb-                              | ARTIFICIAL FRUIT, FLOWERS AND VEGETABLES                                 |
| 26           | Lace and emb-                              | NEEDLES AND PINS FOR ENTOMOLOGY  |
| 26           | Lace and emb-                              | CHARMS [NOT JEWELLERY OR FOR KEYS, RINGS OR CHAINS]                      |
| 27           | Carpets, rugs, mats                        | FLOOR COVERINGS AND ARTIFICIAL GROUND COVERINGS                          |
| 27           | Carpets, rugs, mats                        | WALL AND CEILING COVERINGS   |
| 28           | Games, toys and playthings                 | SPORTING ARTICLES AND EQUIPMENT  |
| 28           | Games, toys and playthings                 | FESTIVE DECORATIONS AND ARTIFICIAL CHRISTMAS TREES                       |

| NICE<br>code | NICE name  | LEVEL I name  |
|--------------|--|---|
| 28           | Games, toys and playthings                             | TOYS, GAMES, PLAYTHINGS AND NOVELTIES                                 |
| 28           | Games, toys and playthings                             | FAIRGROUND AND PLAYGROUND APPARATUS                                   |
| 29           | Meat, fish, poultry and game                           | PROCESSED FRUITS, FUNGI AND VEGETABLES (INCLUDING NUTS AND PULSES)    |
| 29           | Meat, fish, poultry                                    | SOUPS AND STOCKS, MEAT EXTRACTS                                       |
| 29           | Meat, fish, poultry and game                           | PREPARED INSECTS AND LARVAE   |
| 29           | Meat, fish, poultry                                    | SAUSAGE SKINS AND IMITATIONS THEREOF                                  |
| 29           | Meat, fish, poultry and game                           | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP               |
| 29           | Meat, fish, poultry                                    | MEATS   |
| 29           | Meat, fish, poultry and game                           | FISH, SEAFOOD AND MOLLUSCS  |
| 29           | Meat, fish, poultry                                    | DAIRY PRODUCTS AND DAIRY SUBSTITUTES                                  |
| 29           | Meat, fish, poultry and game                           | BIRDS EGGS AND EGG PRODUCTS   |
| 29           | Meat, fish, poultry                                    | OILS AND FATS   |
| 30           | Coffee, tea, cocoa and artificial coffee; spices       | SUGARS, NATURAL SWEETENERS, SWEET COATINGS AND FILLINGS, BEE PRODUCTS |
| 30           | Coffee, tea, cocoa<br>and artificial coffee;<br>spices | BAKED GOODS, CONFECTIONERY, CHOCOLATE AND DESSERTS                    |
| 30           | Coffee, tea, cocoa<br>and artificial coffee;<br>spices | ICE, ICE CREAMS, FROZEN YOGURTS AND SORBETS                           |

| NICE<br>code | NICE name  | LEVEL I name   |
|--------------|--|--|
| 30           | Coffee, tea, cocoa<br>and artificial coffee;<br>spices | COFFEE, TEAS AND COCOA AND SUBSTITUTES THEREFOR                                    |
| 30           | Coffee, tea, cocoa<br>and artificial coffee;<br>spices | PROCESSED GRAINS, STARCHES, AND GOODS MADE THEREOF, BAKING PREPARATIONS AND YEASTS |
| 30           | Coffee, tea, cocoa<br>and artificial coffee;<br>spices | CONVENIENCE FOOD AND SAVORY SNACKS   |
| 30           | Coffee, tea, cocoa and artificial coffee; spices       | SALTS, SEASONINGS, FLAVOURINGS AND CONDIMENTS                                      |
| 31           | Raw [] agricultural, aquacultural [] products          | FOODSTUFFS AND FODDER FOR ANIMALS  |
| 31           | Raw [] agricultural, aquacultural [] products          | BEDDING AND LITTER FOR ANIMALS   |
| 31           | Raw [] agricultural, aquacultural [] products          | AGRICULTURAL AND AQUACULTURAL CROPS, HORTICULTURE AND FORESTRY PRODUCTS            |
| 31           | Raw [] agricultural, aquacultural [] products          | LIVE ANIMALS, ORGANISMS FOR BREEDING   |
| 32           | Beers; mineral and aerated waters                      | BEER AND BREWERY PRODUCTS  |
| 32           | Beers; mineral and aerated waters                      | NON-ALCOHOLIC BEVERAGES  |
| 32           | Beers; mineral and aerated waters                      | PREPARATIONS FOR MAKING BEVERAGES  |
| 32           | Beers; mineral and aerated waters                      | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP                            |
| 33           | Alcoholic beverages (except beers).                    | PREPARATIONS FOR MAKING ALCOHOLIC BEVERAGES  |

| NICE<br>code | NICE name                            | LEVEL I name  |
|--------------|--------------------------------------|---|
| 33           | Alcoholic beverages (except beers).  | ALCOHOLIC BEVERAGES (EXCEPT BEER)   |
| 33           | Alcoholic beverages (except beers).  | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP                               |
| 34           | Tobacco; smokers' articles; matches. | MATCHES   |
| 34           | Tobacco; smokers' articles; matches. | TOBACCO AND TOBACCO PRODUCTS (INCLUDING SUBSTITUTES)                                  |
| 34           | Tobacco; smokers' articles; matches. | ARTICLES FOR USE WITH TOBACCO   |
| 34           | Tobacco; smokers' articles; matches. | PERSONAL VAPORISERS AND ELECTRONIC CIGARETTES, AND FLAVOURINGS AND SOLUTIONS THEREFOR |
| 34           | Tobacco; smokers' articles; matches. | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP                               |
| 35           | Management                           | ADVERTISING, MARKETING AND PROMOTIONAL SERVICES                                       |
| 35           | Management                           | BUSINESS ASSISTANCE, MANAGEMENT AND ADMINISTRATIVE SERVICES                           |
| 35           | Management                           | BUSINESS ANALYSIS, RESEARCH AND INFORMATION SERVICES                                  |
| 35           | Management                           | COMMERCIAL TRADING AND CONSUMER INFORMATION SERVICES                                  |
| 36           | Finance                              | INSURANCE SERVICES  |
| 36           | Finance                              | REAL ESTATE SERVICES  |
| 36           | Finance                              | PAWNBROKERAGE   |
| 36           | Finance                              | PROVISION OF PREPAID CARDS AND TOKENS   |
| 36           | Finance                              | SAFE DEPOSIT SERVICES   |
| 36           | Finance                              | FINANCIAL AND MONETARY SERVICES, AND BANKING  |
| 36           | Finance                              | FINANCIAL AND MONETARY SERVICES, AND BANKING  |
| 36           | Finance                              | FUNDRAISING AND FINANCIAL SPONSORSHIP   |
| 36           | Finance                              | VALUATION SERVICES  |
| 36           | Finance                              | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP                               |
| 37           | Repair                               | INSTALLATION, CLEANING, REPAIR AND MAINTENANCE  |
| 37           | Repair                               | BUILDING, CONSTRUCTION AND DEMOLITION   |
| 37           | Repair                               | RENTAL OF TOOLS, PLANT AND EQUIPMENT FOR CONSTRUCTION AND DEMOLITION                  |
| 37           | Repair                               | EXTRACTION OF NATURAL RESOURCES   |
| 37           | Repair                               | EXTERMINATION, DISINFECTION AND PEST CONTROL  |

| NICE       | NICE name                                  | LEVEL I name   |
|------------|--|--|
| code<br>38 | Telecommunica-                             | TELECOMMUNICATION SERVICES                                 |
| 39         | Transport                                  | TRANSPORT  |
| 39         | Transport                                  | PACKAGING AND STORAGE OF GOODS                             |
| 39         | Transport                                  | VEHICLE PARKING AND STORAGE                                |
| 39         | Transport                                  | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP    |
| 39         | Transport                                  | DISTRIBUTION BY PIPELINE AND CABLE                         |
| 40         | Material treatment                         | CUSTOM MANUFACTURE AND ASSEMBLY SERVICES                   |
| 40         | Material treatment                         | ENERGY PRODUCTION  |
| 40         | Material treatment                         | TREATMENT AND TRANSFORMATION OF MATERIALS                  |
| 40         | Material treatment                         | PRINTING, AND PHOTOGRAPHIC AND CINEMATOGRAPHIC DEVELOPMENT |
| 40         | Material treatment                         | AIR AND WATER CONDITIONING AND PURIFICATION                |
| 40         | Material treatment                         | SLAUGHTERING   |
| 40         | Material treatment                         | FOOD AND BEVERAGE TREATMENT                                |
| 40         | Material treatment                         | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP    |
| 41         | Education; providing of training           | EDUCATION, ENTERTAINMENT AND SPORT SERVICES                |
| 41         | Education; providing of training           | PUBLISHING AND REPORTING                                   |
| 41         | Education; providing of training           | TRANSLATION AND INTERPRETATION                             |
| 41         | Education; providing of training           | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP    |
| 42         | Scientific and tech-<br>nological services | IT SERVICES  |
| 42         | Scientific and tech-<br>nological services | SCIENCE AND TECHNOLOGY SERVICES                            |
| 42         | Scientific and tech-<br>nological services | TESTING, AUTHENTICATION AND QUALITY CONTROL                |
| 42         | Scientific and tech-<br>nological services | DESIGN SERVICES  |
| 43         | Services for provid-<br>ing food and drink | TEMPORARY ACCOMMODATION                                    |

| NICE<br>code | NICE name                                  | LEVEL I name   |
|--------------|--|--|
| 43           | Services for provid-<br>ing food and drink | RENTAL OF FURNITURE, LINENS AND TABLE SETTINGS               |
| 43           | Services for provid-<br>ing food and drink | PROVISION OF FOOD AND DRINK                                  |
| 43           | Services for provid-<br>ing food and drink | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP      |
| 44           | Medical services;<br>veterinary services   | AGRICULTURE, AQUACULTURE, HORTICULTURE AND FORESTRY SERVICES |
| 44           | Medical services;                          | HUMAN HEALTHCARE SERVICES                                    |
| 44           | Medical services;<br>veterinary services   | HUMAN HYGIENE AND BEAUTY CARE                                |
| 44           | Medical services;                          | GOODS OR SERVICES NOT ASSOCIATED WITH A HIERARCHY GROUP      |
| 44           | Medical services;                          | ANIMAL HEALTHCARE SERVICES                                   |
| 45           | Legal services                             | PERSONAL AND SOCIAL SERVICES                                 |
| 45           | Legal services                             | LEGAL SERVICES   |
| 45           | Legal services                             | SAFETY, RESCUE, SECURITY AND ENFORCEMENT SERVICES            |

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