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**Article**

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## ESTIMATING POPULATION COEFFICIENT OF VARIATION USING A SINGLE AUXILIARY VARIABLE IN SIMPLE RANDOM SAMPLING

Rajesh Singh<sup>1</sup>, Madhulika Mishra<sup>2</sup>

### ABSTRACT

This paper proposes an improved estimation method for the population coefficient of variation, which uses information on a single auxiliary variable. The authors derived the expressions for the mean squared error of the proposed estimators up to the first order of approximation. It was demonstrated that the estimators proposed by the authors are more efficient than the existing ones. The results of the study were validated by both empirical and simulation studies.

**Key words:** coefficient of variation, simple random sampling, auxiliary variable, mean square error.

### 1. Introduction

It is a prominent fact in the theory of sample surveys that suitable use of auxiliary information increases the efficiency of the estimators used for estimating the unknown population parameters. Some important works illustrating use of auxiliary information at estimation stage are Singh et al. (2005), Singh et al. (2007), Khoshnevisan et al. (2007), Singh et al. (2009), Singh and Kumar (2011), Malik and Singh (2013) and Singh et al. (2018). Over a vast period of time a substantial amount of work has been done by several authors for the estimation of population mean, population variance but little attention has been given to the estimation of the population coefficient of variation. Das and Tripathi (1992–93) first proposed the estimator for the coefficient of variation when samples were selected using simple random sampling without replacement (SRSWOR) scheme. Other works include Patel and Shah (2009) and Ahmed, S.E. (2002). Breunig (2001) suggested an almost unbiased estimator of the coefficient of variation. Sisodia and Dwivedi (1981) suggested a modified ratio estimator using the coefficient of variation of auxiliary variable.

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Rajyaguru and Gupta (2005) also worked on the problem of estimation of the coefficient of variation under simple random sampling and stratified random sampling.

The coefficient of variation is extensively used in biology, agriculture and environmental sciences.

A brief summary of the paper is as follows.

Section 1 is introductory in nature, comprises the works that have been already done in the sampling literature. In Section 2 we considered five estimators for comparison purposes and their properties. In Section 3, we proposed two log type estimators for the coefficient of variation, one general type estimator and one wider type. In Section 4, an empirical study was carried out in support of our results. In Section 5, we carried out a simulation study to validate our theoretical results and have presented them with the help of bar graphs. In Section 6 we finally concluded our results.

Let us consider a finite population  $P = (P_1, P_2, \dots, P_N)$  of size 'N' consisting of distinct and identifiable units. Let the study and auxiliary variables be denoted by Y and X, and let  $Y_i$  and  $X_i$  be their values corresponding to  $i$ th unit in the population ( $i = 1, 2, \dots, N$ ). We define:

$$\bar{Y} = \frac{1}{N} \sum_{i=1}^N Y_i \text{ as the population mean for the study variable}$$

$$\bar{X} = \frac{1}{N} \sum_{i=1}^N X_i \text{ as the population mean for the auxiliary variable}$$

$$S_y^2 = \frac{1}{N-1} \sum_{i=1}^N (Y_i - \bar{Y})^2 \text{ as the population mean square for the study variable}$$

$$S_x^2 = \frac{1}{N-1} \sum_{i=1}^N (X_i - \bar{X})^2 \text{ as the population mean square for the auxiliary}$$

variable

$$S_{xy} = \frac{1}{N-1} \sum_{i=1}^N (Y_i - \bar{Y})(X_i - \bar{X}) \text{ as the population covariance between the}$$

study and auxiliary variable, X and Y.

Let us suppose that a sample of size 'n' has been drawn from this population of size 'N' units using SRSWOR technique. For this sample let  $y_i$  and  $x_i$  denote values of the  $i$ th sample unit corresponding to study variable Y and auxiliary variable X respectively.

For the sample observations, we define:

$$\bar{y} = \frac{1}{n} \sum_{i=1}^n y_i \text{ as the sample mean for the study variable Y}$$

$$\bar{x} = \frac{1}{n} \sum_{i=1}^n x_i \text{ are the sample mean for the auxiliary variable X}$$

$$s_y^2 = \frac{1}{n-1} \sum_{i=1}^n (y_i - \bar{y})^2 \text{ as the sample mean square for the study variable}$$

$$s_x^2 = \frac{1}{n-1} \sum_{i=1}^n (x_i - \bar{x})^2 \text{ as the sample mean square for the auxiliary variable}$$

$$s_{xy} = \frac{1}{n-1} \sum_{i=1}^n (y_i - \bar{y})(x_i - \bar{x}) \text{ as the sample covariance term.}$$

Now, let us define

$$\epsilon_0 = \frac{\bar{y}}{Y} - 1, \epsilon_1 = \frac{\bar{x}}{X} - 1, \epsilon_2 = \frac{s_y^2}{S_y^2} - 1 \text{ and } \epsilon_3 = \frac{s_x^2}{S_x^2} - 1$$

such that

$$E(\epsilon_0) = E(\epsilon_1) = E(\epsilon_2) = E(\epsilon_3) = 0$$

$$E(\epsilon_0^2) = \left(\frac{1-f}{n}\right) C_y^2, \quad E(\epsilon_1^2) = \left(\frac{1-f}{n}\right) C_x^2, \quad E(\epsilon_2^2) = \left(\frac{1-f}{n}\right) (\lambda_{40} - 1),$$

$$E(\epsilon_3^2) = \left(\frac{1-f}{n}\right) (\lambda_{04} - 1)$$

$$E(\epsilon_0 \epsilon_1) = \left(\frac{1-f}{n}\right) \rho C_y C_x, \quad E(\epsilon_0 \epsilon_2) = \left(\frac{1-f}{n}\right) C_y \lambda_{30}, \quad E(\epsilon_0 \epsilon_3) = \left(\frac{1-f}{n}\right) C_y \lambda_{12},$$

$$E(\epsilon_1 \epsilon_2) = \left(\frac{1-f}{n}\right) C_x \lambda_{21}, \quad E(\epsilon_1 \epsilon_3) = \left(\frac{1-f}{n}\right) C_x \lambda_{03},$$

$$E(\epsilon_2 \epsilon_3) = \left(\frac{1-f}{n}\right) (\lambda_{22} - 1)$$

Here,  $f = \frac{n}{N}$ : Sampling fraction,  $C_y = \frac{S_y}{Y}$  and  $C_x = \frac{S_x}{X}$  are the population coefficient of variation for the study variable Y and auxiliary variable X, respectively. Also  $\rho_{xy}$  denotes the correlation coefficient between X and Y.

In general,

$$\mu_{rs} = \frac{1}{N-1} \sum_{i=1}^N (y_i - \bar{Y})^r (x_i - \bar{X})^s \text{ and } \lambda_{rs} = \frac{\mu_{rs}}{\mu_{20}^{r/2} \mu_{02}^{s/2}} \text{ respectively.}$$

## 2. Existing estimators

- The usual unbiased estimator to estimate the population coefficient of variation using information on a single auxiliary variable is defined below:

$$t_0 = \hat{C}_y = \frac{s_y}{\bar{y}} = \frac{S_y(1 + \epsilon_2)^{1/2}}{\bar{Y}(1 + \epsilon_0)}$$

$$\approx \left( 1 - \epsilon_0 + \epsilon_0^2 + \frac{\epsilon_2}{2} - \frac{\epsilon_0 \epsilon_2}{2} - \frac{\epsilon_2^2}{8} \right) C_y \quad (2.1)$$

Its mean squared error (MSE) is given by:

$$MSE(t_0) \approx C_y^2 \left( \frac{1-f}{n} \right) \left[ C_y^2 + \frac{\lambda_{40} - 1}{4} - C_y \lambda_{30} \right] \quad (2.2)$$

- Solanki et al. (2015) introduced a difference type estimator for the population coefficient of variation  $C_y$  as:

$$C_d = \hat{C}_y + \alpha_2 (C_x - \hat{C}_x) \quad (2.3)$$

MSE of  $C_d$  is given by:

$$MSE(C_d) \approx C_y^2 \left( \frac{1-f}{n} \right) \left[ \left\{ C_y^2 + \frac{\lambda_{40} - 1}{4} - C_y \lambda_{30} \right\} - C_y^2 \frac{\left\{ \rho C_y C_x - \frac{C_y \lambda_{12}}{2} - \frac{C_x \lambda_{21}}{2} + \frac{\lambda_{22} - 1}{4} \right\}}{\left\{ C_x^2 + \frac{\lambda_{04} - 1}{4} - C_x \lambda_{03} \right\}} \right] \quad (2.4)$$

- Solanki et al. (2015) defined another class of estimator for the population coefficient of variation  $C_y$  as:

$$C_d^* = \alpha_1 \hat{C}_y + \alpha_2 (\hat{C}_x - C_x) \tag{2.5}$$

MSE of  $C_d^*$  is given by:

$$MSE(C_d^*) \approx \alpha_1^2 C_y^2 A + \alpha_2^2 C_x^2 B + C_y^2 + 2\alpha_1 \alpha_2 C_y C_x C - 2\alpha_1 C_y^2 D - 2\alpha_2 C_y C_x E \tag{2.6}$$

Here,

$$\left. \begin{aligned} A &= 1 + \left(\frac{1-f}{n}\right) \{3C_y^2 - 2C_y \lambda_{30}\} \\ B &= \left(\frac{1-f}{n}\right) \left\{ C_x^2 + \frac{\lambda_{04} - 1}{4} - C_x \lambda_{03} \right\} \\ C &= \left(\frac{1-f}{n}\right) \left\{ C_x^2 - \frac{\lambda_{04} - 1}{8} - \frac{C_x \lambda_{03}}{2} + \rho C_y C_x - \frac{C_y \lambda_{12}}{2} - \frac{C_x \lambda_{21}}{2} + \frac{\lambda_{22} - 1}{4} \right\} \\ D &= 1 + \left(\frac{1-f}{n}\right) \left\{ C_y^2 - \frac{\lambda_{40} - 1}{8} - \frac{C_y \lambda_{30}}{2} \right\} \\ E &= \left(\frac{1-f}{n}\right) \left\{ C_x^2 - \frac{\lambda_{04} - 1}{8} - \frac{C_x \lambda_{03}}{2} \right\}. \end{aligned} \right\} \tag{2.7}$$

On differentiating equation (2.6) with respect to  $\alpha_1$  and  $\alpha_2$ , we obtain their optimum values as:

$$\alpha_{1opt} = \frac{BD - CE}{AB - C^2} \tag{2.8}$$

$$\alpha_{2opt} = \frac{C_y}{C_x} \left( \frac{AE - CD}{AB - C^2} \right) \tag{2.9}$$

On substituting these optimum values of  $\alpha_1$  and  $\alpha_2$ , in equation (2.6), we obtain the Minimum MSE for the estimator  $C_d^*$  as:

$$\min MSE(C_d^*) \approx \alpha_{1opt}^2 C_y^2 A + \alpha_{2opt}^2 C_x^2 B + C_y^2 + 2\alpha_{1opt} \alpha_{2opt} C_y C_x C - 2\alpha_{1opt} C_y^2 D - 2\alpha_{2opt} C_y C_x E \tag{2.10}$$

- Adichwal et al. (2016) proposed a two-parameter ratio-product-ratio estimator for the population coefficient of variation as:

$$t_{r1} = \alpha \left[ \frac{(1 - \beta)\bar{x} + \beta\bar{X}}{\beta\bar{x} + (1 - \beta)\bar{X}} \right] \hat{C}_y + (1 - \alpha) \left[ \frac{\beta\bar{x} + (1 - \beta)\bar{X}}{(1 - \beta)\bar{x} + \beta\bar{X}} \right] \hat{C}_y \tag{2.11}$$

$$t_{r2} = \gamma \left[ \frac{(1 - \delta)s_x^2 + \delta S_x^2}{\delta s_x^2 + (1 - \delta)S_x^2} \right] \hat{C}_y + (1 - \gamma) \left[ \frac{\delta s_x^2 + (1 - \delta)S_x^2}{(1 - \delta)s_x^2 + \delta S_x^2} \right] \hat{C}_y \tag{2.12}$$

MSE of the estimators  $t_{r1}$  and  $t_{r2}$  are respectively given by:

$$MSE(t_{r1}) \approx MSE(C_y) - \frac{1}{4} \left( \frac{1 - f}{n} \right) \{ 2\rho_{xy}C_y - \lambda_{21} \}^2 C_y^2 \tag{2.13}$$

$$MSE(t_{r2}) \approx MSE(C_y) - \frac{1}{4} \left( \frac{1 - f}{n} \right) \frac{ \{ (\lambda_{22} - 1) - 2\lambda_{12}C_y \}^2 }{ (\lambda_{04} - 1) } C_y^2 \tag{2.14}$$

### 3. Proposed estimators

We have proposed some estimators for the coefficient of variation based on information on a single auxiliary variable.

Motivated by Mishra and Singh (2017), we propose improved log type estimators for estimating the population coefficient of variation given by:

estimators  $t_1$  and  $t_2$  as:

a) 
$$t_1 = \hat{C}_y + \alpha \log \left( \frac{\hat{C}_x}{C_x} \right) \tag{3.1}$$

b) 
$$t_2 = \hat{C}_y (1 + w_1) + w_2 \log \left( \frac{\hat{C}_x}{C_x} \right) \tag{3.2}$$

Expressing the estimator  $t_1$  and in terms of  $\epsilon^1s$  and then taking expectations up to the first order of approximation, we get MSE of the estimator  $t_1$  as:

$$MSE(t_1) \approx C_y^2 \left( \frac{1 - f}{n} \right) \left[ C_y^2 + \frac{(\lambda_{40} - 1)}{4} - C_y \lambda_{30} \right] + \alpha^2 \left( \frac{1 - f}{n} \right) \left[ C_x^2 + \frac{(\lambda_{04} - 1)}{4} - C_x \lambda_{03} \right] + 2C_y \alpha \left( \frac{1 - f}{n} \right) \left[ \rho C_y C_x + \frac{(\lambda_{22} - 1)}{4} - \frac{(C_y \lambda_{12})}{2} - \frac{(C_x \lambda_{21})}{2} \right] \tag{3.3}$$

$$MSE(t_1) = C_y^2 A_1 + \alpha^2 A_2 + 2C_y \alpha A_3 \tag{3.4}$$

Here,

$$\left. \begin{aligned} A_1 &= \left( \frac{1-f}{n} \right) \left\{ C_y^2 + \frac{(\lambda_{40}-1)}{4} - C_y \lambda_{30} \right\}, \\ A_2 &= \left( \frac{1-f}{n} \right) \left\{ C_x^2 + \frac{(\lambda_{04}-1)}{4} - C_x \lambda_{03} \right\}, \\ A_3 &= \left( \frac{1-f}{n} \right) \left\{ \rho C_y C_x + \frac{(\lambda_{22}-1)}{4} - \frac{C_x \lambda_{21}}{2} - \frac{C_y \lambda_{12}}{2} \right\}. \end{aligned} \right\} \tag{3.5}$$

To obtain the optimum value of  $\alpha$ , we partially differentiate the expression (3.4) with respect to  $\alpha$  and we obtain the optimum value as:

$$\alpha_{opt} = -C_y \frac{A_3}{A_2} \tag{3.6}$$

Putting this optimum value of  $\alpha$  in equation (3.4), we get the minimum value for  $MSE(t_1)$  as:

$$\min MSE(t_1) \approx C_y^2 \left( A_1 - \frac{A_3^2}{A_2} \right) \tag{3.7}$$

Expressing the estimators  $t_2$  in terms of  $e$ 's and then taking expectations up to the first order of approximation we get MSE of the estimator  $t_2$  as:

$$\begin{aligned} MSE(t_2) \approx & C_y^2 \left( \frac{1-f}{n} \right) \left[ C_y^2 + \frac{\lambda_{40}-1}{4} - C_y \lambda_{30} \right] + C_y^2 w_1^2 \left[ 1 + 3 \left( \frac{1-f}{n} \right) C_y^2 - 2 \left( \frac{1-f}{n} \right) C_y \lambda_{30} \right] + \\ & w_2^2 \left( \frac{1-f}{n} \right) \left[ C_x^2 + \frac{\lambda_{04}-1}{4} - C_x \lambda_{03} \right] + 2C_y^2 w_1 \left( \frac{1-f}{n} \right) \left[ 2C_y^2 + \frac{\lambda_{40}-1}{8} - \frac{3}{2} C_y \lambda_{30} \right] + \\ & 2C_y w_1 w_2 \left( \frac{1-f}{n} \right) \left[ \frac{C_x^2}{2} + \rho C_y C_x + \frac{\lambda_{22}-1}{4} - \frac{\lambda_{04}-1}{4} - \frac{C_y \lambda_{12}}{2} - \frac{C_x \lambda_{21}}{2} \right] + \end{aligned}$$



$$2C_y w_2 \left( \frac{1-f}{n} \right) \left[ \rho C_y C_x + \frac{\lambda_{22}-1}{4} - \frac{C_y \lambda_{12}}{2} - \frac{C_x \lambda_{21}}{2} \right] \quad (3.8)$$

$$MSE(t_2) = C_y^2 B_1 + C_y^2 w_1^2 B_2 + w_2^2 B_3 + 2C_y^2 w_1 B_4 + 2C_y w_1 w_2 B_5 + 2C_y w_2 B_6 \quad (3.9)$$

Here,

$$\left. \begin{aligned} B_1 &= \left( \frac{1-f}{n} \right) \left[ C_y^2 + \frac{\lambda_{40}-1}{4} - C_y \lambda_{30} \right] \\ B_2 &= 1 + 3 \left( \frac{1-f}{n} \right) C_y^2 - 2 \left( \frac{1-f}{n} \right) C_y \lambda_{30} \\ B_3 &= \left( \frac{1-f}{n} \right) \left[ C_x^2 + \frac{\lambda_{04}-1}{4} - C_x \lambda_{03} \right] \\ B_4 &= \left( \frac{1-f}{n} \right) \left[ 2C_y^2 + \frac{\lambda_{40}-1}{8} - \frac{3}{2} C_y \lambda_{30} \right] \\ B_5 &= \left( \frac{1-f}{n} \right) \left[ \frac{C_x^2}{2} + \rho C_y C_x + \frac{\lambda_{22}-1}{4} - \frac{\lambda_{04}-1}{4} - \frac{C_y \lambda_{12}}{2} - \frac{C_x \lambda_{21}}{2} \right] \\ B_6 &= \left( \frac{1-f}{n} \right) \left[ \rho C_y C_x + \frac{\lambda_{22}-1}{4} - \frac{C_y \lambda_{12}}{2} - \frac{C_x \lambda_{21}}{2} \right] \end{aligned} \right\} \quad (3.10)$$

To obtain the optimum value of  $w_1$  and  $w_2$ , we differentiate the expression (2.21) with respect to  $w_1$  and  $w_2$  and obtain the optimum values as:

$$w_{1opt} = \left( \frac{B_5 B_6 - B_3 B_4}{B_2 B_3 - B_5^2} \right) \quad (3.11)$$

$$w_{2opt} = C_y \left( \frac{B_6 B_2 - B_4 B_5}{B_5^2 - B_2 B_3} \right) \quad (3.12)$$

Putting these optimum values of  $w_1$  and  $w_2$  in equation (2.21), we get the minimum value for  $MSE(t_2)$  as:

$$MSE(t_2) = C_y^2 B_1 + C_y^2 w_{1opt}^2 B_2 + w_{2opt}^2 B_3 + 2C_y^2 w_{1opt} B_4 + 2C_y w_{1opt} w_{2opt} B_5 + 2C_y w_{2opt} B_6 \tag{3.13}$$

c) Following Srivastava and Jhaji (1981), we propose a general class of estimators to estimate the population coefficient of variation  $C_y$  of the study variable Y using known mean and known variance of auxiliary variable X as:

$$t_3 = \hat{C}_y H(u, v) \tag{3.14}$$

where  $u = \frac{\bar{x}}{\bar{X}}$ ,  $v = \frac{S_x^2}{S_x^2}$  and  $H(u, v)$  is a function of  $u$  and  $v$  such that the point  $(u, v)$  assumes the value in a closed convex subset  $R_2$  of two-dimensional real space containing the point  $(1,1)$ ;

The function  $H(u, v)$  is continuous and bounded in  $R_2$ ;

$$H(1,1) = 1;$$

The first and the second order partial derivatives of  $H(u, v)$  exist and are continuous and bounded in  $R_2$ .

Expanding  $H(u, v)$  about the point  $(1,1)$  in a second order Taylor's series we obtain

$$t_3 = \hat{C}_y H(u, v) = \hat{C}_y H[1 + (u - 1), 1 + (v - 1)] \tag{3.15}$$

$$t_3 = \hat{C}_y \left[ H(1,1) + (u - 1) \frac{\partial H}{\partial u} \Big|_{(1,1)} + (v - 1) \frac{\partial H}{\partial v} \Big|_{(1,1)} + (u - 1)^2 \frac{1}{2} \frac{\partial^2 H}{\partial u^2} \Big|_{(1,1)} + (v - 1)^2 \frac{\partial^2 H}{\partial v^2} \Big|_{(1,1)} + (u - 1)(v - 1) \frac{\partial^2 H}{\partial u \partial v} \Big|_{(1,1)} \right] \tag{3.16}$$

$$t_3 = \hat{C}_y [1 + \epsilon_1 H_1 + \epsilon_3 H_2 + \epsilon_1^2 H_3 + \epsilon_3^2 H_4 + \epsilon_1 \epsilon_3 H_5] \tag{3.17}$$

Here,

$$H_1 = \frac{\partial H}{\partial u} \Big|_{(1,1)}, \quad H_2 = \frac{\partial H}{\partial v} \Big|_{(1,1)}, \quad H_3 = \frac{1}{2} \frac{\partial^2 H}{\partial u^2} \Big|_{(1,1)}, \quad H_4 = \frac{\partial^2 H}{\partial v^2} \Big|_{(1,1)}, \quad H_5 = \frac{1}{2} \frac{\partial^2 H}{\partial u \partial v} \Big|_{(1,1)}$$

Substituting the value of  $\hat{C}_y$  in the above expression (2.28), we get

$$t_3 = C_y \left( 1 - \epsilon_0 + \epsilon_0^2 + \frac{\epsilon_2}{2} - \frac{\epsilon_0 \epsilon_2}{2} - \frac{\epsilon_2^2}{8} \right) \left( 1 + \epsilon_1 H_1 + \epsilon_3 H_2 + \epsilon_1^2 H_3 + \epsilon_3^2 H_4 + \epsilon_1 \epsilon_3 H_5 \right) \quad (3.18)$$

Mean square error of the estimator  $t_3$  is given by

$$MSE(t_3) = E[t_3 - C_y]^2 = C_y^2 E \left[ -\epsilon_0 + \epsilon_1 H_1 + \frac{\epsilon_2}{2} + \epsilon_3 H_2 + O(\epsilon) \right]^2 \quad (3.19)$$

Simplifying the expression (2.30), we get

$$MSE(t_3) = C_y^2 \left( 1 - \frac{f}{n} \right) \left[ C_y^2 + C_x^2 H_1^2 - 2H_1 \rho C_y C_x + \frac{(\lambda_{40} - 1)}{4} + (\lambda_{04} - 1)H_2^2 + (\lambda_{22} - 1)H_2 + 2 \left\{ -\frac{C_y \lambda_{30}}{2} - C_y \lambda_{12} H_2 + \frac{C_x \lambda_{21}}{2} H_1 + C_x \lambda_{03} H_1 H_2 \right\} \right] \quad (3.20)$$

In order to obtain the minimum MSE for the estimator  $t_3$ , we partially differentiate the expression (2.31) with respect to  $H_1$  and  $H_2$  to get the optimum values as

$$H_{1opt} = \frac{1}{2} \left[ \frac{(\lambda_{04} - 1) \{ 2\rho C_y - \lambda_{21} \} - \lambda_{03} \{ 2C_y \lambda_{12} - (\lambda_{22} - 1) \}}{C_x \{ (\lambda_{04} - 1) - \lambda_{03}^2 \}} \right] \quad (3.21)$$

$$H_{2opt} = \frac{1}{2} \left[ \frac{\lambda_{03} \{ 2\rho C_y - \lambda_{21} \} - \{ 2C_y \lambda_{12} - (\lambda_{22} - 1) \}}{\lambda_{03}^2 - (\lambda_{04} - 1)} \right] \quad (3.22)$$

Substituting these optimum values of  $H_1$  and  $H_2$  in equation (2.31), we obtain the expression for the minimum MSE of  $t_3$

$$MSE(t_3) = C_y^2 \left(1 - \frac{f}{n}\right) \left[ C_y^2 + C_x^2 H_{1opt}^2 - 2H_{1opt} \rho C_y C_x + \frac{(\lambda_{40} - 1)}{4} + (\lambda_{04} - 1)H_{2opt}^2 + (\lambda_{22} - 1)H_{2opt} + 2 \left\{ -\frac{C_y \lambda_{30}}{2} - C_y \lambda_{12} H_{2opt} + \frac{C_x \lambda_{21}}{2} H_{1opt} + C_x \lambda_{03} H_{1opt} H_{2opt} \right\} \right] \tag{3.23}$$

d) Again, following Srivastava and Jhaji (1981), we propose a wider class of estimators to estimate the population coefficient of variation  $C_y$  as:

$$t_4 = H^*(\hat{C}_y, u, v) \tag{3.24}$$

where  $u = \frac{\bar{x}}{\bar{X}}$ ,  $v = \frac{s_x^2}{S_x^2}$  and  $H^*(\hat{C}_y, u, v)$  is a function of  $\hat{C}_y, u$  and  $v$  such that

the point  $(\hat{C}_y, u, v)$  assumes the value in a closed convex subset  $R_3$  of three-dimensional real space containing the point  $(C_y, 1, 1)$ ;

The function  $H^*(\hat{C}_y, u, v)$  is continuous and bounded in  $R_3$ ;

$$H^*(C_y, 1, 1) = C_y;$$

The first and the second order partial derivatives of  $H^*(\hat{C}_y, u, v)$  exist and are continuous and bounded in  $R_3$ .

Expanding  $H^*(\hat{C}_y, u, v)$  about the point  $(C_y, 1, 1)$  in a second order Taylor's series, we have

$$\begin{aligned} t_4 = H^*(\hat{C}_y, u, v) &= H^*[C_y + (\hat{C}_y - C_y), 1 + (u - 1), 1 + (v - 1)] \\ &= H^*(C_y, 1, 1) + (\hat{C}_y - C_y) \frac{\partial H^*}{\partial \hat{C}_y} \Big|_{(C_y, 1, 1)} + (u - 1) \frac{\partial H^*}{\partial u} \Big|_{(C_y, 1, 1)} + (v - 1) \frac{\partial H^*}{\partial v} \Big|_{(C_y, 1, 1)} + \\ &\quad (\hat{C}_y - C_y)^2 \frac{1}{2} \frac{\partial^2 H^*}{\partial \hat{C}_y^2} \Big|_{(C_y, 1, 1)} + (u - 1)^2 \frac{1}{2} \frac{\partial^2 H^*}{\partial u^2} \Big|_{(C_y, 1, 1)} + (v - 1)^2 \frac{1}{2} \frac{\partial^2 H^*}{\partial v^2} \Big|_{(C_y, 1, 1)} + \end{aligned}$$

$$(\hat{C}_y - C_y)(u-1) \frac{1}{2} \frac{\partial^2 H^*}{\partial \hat{C}_y \partial u} \Big|_{(c_{y,1,1})} + (u-1)(v-1) \frac{1}{2} \frac{\partial^2 H^*}{\partial u \partial v} \Big|_{(c_{y,1,1})} + (v-1)(\hat{C}_y - C_y) \frac{1}{2} \frac{\partial^2 H^*}{\partial v \partial \hat{C}_y} \Big|_{(c_{y,1,1})} \quad (3.25)$$

$$t_4 = C_y + (\hat{C}_y - C_y) + \epsilon_1 H_1^* + \epsilon_3 H_2^* + (\hat{C}_y - C_y)^2 H_3^* + \epsilon_1^2 H_4^* + \epsilon_3^2 H_5^* + (\hat{C}_y - C_y) \epsilon_1 H_6^* + \epsilon_1 \epsilon_3 H_7^* + (\hat{C}_y - C_y) \epsilon_3 H_8^* \quad (3.26)$$

Here,

$$H^*(C_y, 1, 1) = C_y, \quad \frac{\partial H^*}{\partial \hat{C}_y} \Big|_{(c_{y,1,1})} = 1, \quad H_1^* = \frac{\partial H^*}{\partial u} \Big|_{(c_{y,1,1})}, \quad H_2^* = \frac{\partial H^*}{\partial v} \Big|_{(c_{y,1,1})},$$

$$H_3^* = \frac{1}{2} \frac{\partial^2 H^*}{\partial \hat{C}_y^2} \Big|_{(c_{y,1,1})}$$

$$H_4^* = \frac{1}{2} \frac{\partial^2 H^*}{\partial u^2} \Big|_{(c_{y,1,1})}, \quad H_5^* = \frac{1}{2} \frac{\partial^2 H^*}{\partial v^2} \Big|_{(c_{y,1,1})}, \quad H_6^* = \frac{1}{2} \frac{\partial^2 H^*}{\partial \hat{C}_y \partial u} \Big|_{(c_{y,1,1})},$$

$$H_7^* = \frac{1}{2} \frac{\partial^2 H^*}{\partial u \partial v} \Big|_{(c_{y,1,1})}, \quad H_8^* = \frac{1}{2} \frac{\partial^2 H^*}{\partial v \partial \hat{C}_y} \Big|_{(c_{y,1,1})}$$

Now, substituting the value of  $\hat{C}_y$  in equation (2.37), we have

$$t_4 = C_y \left( 1 - \epsilon_0 + \epsilon_0^2 + \frac{\epsilon_2}{2} - \frac{\epsilon_0 \epsilon_2}{2} - \frac{\epsilon_2^2}{8} \right) + \epsilon_1 H_1^* + \epsilon_3 H_2^* + \left\{ C_y \left( 1 - \epsilon_0 + \epsilon_0^2 + \frac{\epsilon_2}{2} - \frac{\epsilon_0 \epsilon_2}{2} - \frac{\epsilon_2^2}{8} \right) - C_y \right\}^2 H_3^* \\ + \epsilon_1^2 H_4^* + \epsilon_3^2 H_5^* + \left\{ C_y \left( 1 - \epsilon_0 + \epsilon_0^2 + \frac{\epsilon_2}{2} - \frac{\epsilon_0 \epsilon_2}{2} - \frac{\epsilon_2^2}{8} \right) - C_y \right\} \epsilon_1 H_6^* + \epsilon_1 \epsilon_3 H_7^* + \\ \left\{ C_y \left( 1 - \epsilon_0 + \epsilon_0^2 + \frac{\epsilon_2}{2} - \frac{\epsilon_0 \epsilon_2}{2} - \frac{\epsilon_2^2}{8} \right) - C_y \right\} \epsilon_3 H_8^* \quad (3.27)$$

$$MSE(t_4) = E[t_4 - C_y]^2 = E \left[ C_y \left( -\epsilon_0 + \frac{\epsilon_2}{2} \right) + \epsilon_1 H_1^* + \epsilon_3 H_2^* + O(\epsilon) \right]^2 \quad (3.28)$$

After simplifying the expression (2.39), we get:

$$MSE(t_4) = \left(\frac{1-f}{n}\right) \left[ C_y^2 \left\{ C_y^2 + \frac{(\lambda_{40}-1)}{4} - C_y \lambda_{30} \right\} + C_x^2 H_1^{*2} + (\lambda_{04}-1) H_2^{*2} + 2C_y \left( \frac{C_x \lambda_{21}}{2} - \rho C_y C_x \right) H_1^* + 2C_x \lambda_{03} H_1^* H_2^* + 2C_y \left( \frac{(\lambda_{22}-1)}{2} - C_y \lambda_{12} \right) H_2^* \right] \tag{3.29}$$

In order to obtain the minimum MSE for the estimator  $t_4$  we partially differentiate the expression (2.40) with respect to  $H_1^*$  and  $H_2^*$  and obtain optimum values as:

$$\left. \begin{aligned} H_{1opt}^* &= \frac{C_y}{2C_x} \left[ \frac{(\lambda_{04}-1)\{2\rho C_y - \lambda_{21}\} - \lambda_{03}\{2C_y \lambda_{12} - (\lambda_{22}-1)\}}{\lambda_{04} - \lambda_{03}^2 - 1} \right] \\ H_{2opt}^* &= \frac{C_y}{2} \left[ \frac{\lambda_{03}(2\rho C_y - \lambda_{21}) - \{2C_y \lambda_{12} - (\lambda_{22}-1)\}}{\lambda_{03}^2 - (\lambda_{04}-1)} \right] \end{aligned} \right\} \tag{3.30}$$

Substituting these optimum values of  $H_1^*$  and  $H_2^*$  in equation (2.40), we obtain the expression for the minimum MSE of  $t_4$

$$MSE(t_4) = \left(\frac{1-f}{n}\right) \left[ C_y^2 \left\{ C_y^2 + \frac{(\lambda_{40}-1)}{4} - C_y \lambda_{30} \right\} + C_x^2 H_{1opt}^{*2} + (\lambda_{04}-1) H_{2opt}^{*2} + 2C_y \left( \frac{C_x \lambda_{21}}{2} - \rho C_y C_x \right) H_{1opt}^* + 2C_x \lambda_{03} H_{1opt}^* H_{2opt}^* + 2C_y \left( \frac{(\lambda_{22}-1)}{2} - C_y \lambda_{12} \right) H_{2opt}^* \right] \tag{3.31}$$

### 4. Empirical study

In this section, we have carried out an empirical study to explicate the performance of our proposed estimator. We used the following data sets:

**Population I:** [Source: Murthy (1967), p. 399].

X: Area under wheat in 1963,

Y: Area under wheat in 1964,

N=34, n=15,

$$\bar{X} = 208.88, \bar{Y} = 199.44,$$

$$C_X = 0.72, C_Y = 0.75, \rho_{xy} = 0.98,$$

$$\lambda_{21} = 1.0045, \lambda_{12} = 0.9406, \lambda_{40} = 3.6161, \lambda_{04} = 2.8266, \lambda_{30} = 1.1128,$$

$$\lambda_{03} = 0.9206, \lambda_{22} = 3.0133$$

**Population II:** [Source : Sarjinder Singh (2003), p.1116].

X: Number of fish caught in year 1993,

Y: Number of fish caught in year 1995,

N=69, n=40,

$$\bar{X} = 4591.07, \bar{Y} = 4514.89,$$

$$C_X = 1.38, C_Y = 1.35,$$

$$\lambda_{21} = 2.19, \lambda_{12} = 2.30, \lambda_{40} = 7.66, \lambda_{04} = 9.84, \lambda_{30} = 1.11, \lambda_{03} = 2.52, \lambda_{22} = 8.19$$

In order to determine the Percent Relative Efficiency (PRE) of the estimators we have used the following formula

$$PRE(t, t_0) = \frac{Var(t_0)}{MSE(t)} \times 100$$

where  $t = C_d, C_d^*, t_{r1}, t_{r2}, t_1, t_2, t_3, t_4$ .

**Table 1.** MSE and PRE of the estimators

ESTIMATOR	POPULATION-1		POPULATION-2	
	MSE	PRE	MSE	PRE
$t_0$	0.008016	100.00	0.0380	100.00
$C_d$	0.00123	651.7051	0.0298	127.4607
$C_d^*$	0.00122	654.4814	0.0285	133.2609
$t_{r1}$	0.006868	116.54	0.037313	102.04
$t_{r2}$	0.006963	114.95	0.037563	101.36

**Table 1.** MSE and PRE of the estimators (cont.)

ESTIMATOR	POPULATION-1		POPULATION-2	
	MSE	PRE	MSE	PRE
$t_1$	0.00123	651.7356	0.0299	127.4598
$t_2$	0.001038	771.9898	0.0283	134.6127
$t_3$	0.001203	666.4304	0.0297	128.345
$t_4$	0.001203	666.4304	0.0297	128.345

We can summarize the results from Table 1 as:

All the proposed estimators  $t_1, t_2, t_3$  and  $t_4$  are more efficient than the usual unbiased estimator  $t_0$ . The estimator  $t_1$  turns out to be nearly as efficient as the difference type estimator  $C_d$  while all the remaining estimators,  $t_2, t_3$  and  $t_4$  are more efficient than the estimators  $C_d, C_d^*, t_{r1}$  and  $t_{r2}$ . Among all the estimators,  $t_2$  is the most efficient because of the smallest value of MSE and highest value of PRE.

### 5. Simulation studies

This section describes the procedure that we adopted for the simulation study. We have used R programming for calculating MSE of the existing and proposed estimators. We followed the procedure adopted by Reddy et al. (2010) and have generated bivariate population with a specified correlation coefficient between the study and auxiliary variable. The algorithm is as follows:

1. Generate two independent random variables X from  $N(\mu, \sigma^2)$  and Z from  $N(\mu_1, \sigma_1^2)$  using Box-Muller method (Jhonson, 1987).
2. Set  $Y = \rho X + \sqrt{1 - \rho^2} Z$  where  $0 < \rho = 0.75, 0.85, 0.95 < 1$ .
3. Consider the population with the parameters  $\mu = 2.5, \sigma^2 = 2, \mu_1 = 5, \sigma_1^2 = 3$  and repeat the steps 1-2 2000 times.
4. From the population of size  $N = 2000$ , draw 1500 simple random samples  $(y_i, x_i) (i = 1, 2, \dots, n)$  without replacement of size  $n = 30, 50, 70$ .



5. For each of the sample, compute MSE of the estimators  $t_o, Cd, Cd^*, t_1, t_2, t_{r1}$  and  $t_{r2}$ .
6. Compute the average MSE of the estimator by the following formula:

$$MSE(i) = \frac{1}{1500} \sum_{j=1}^{1500} mse_j(i) \text{ where } i = t_o, Cd, Cd^*, t_1, t_2, t_{r1} \text{ and } t_{r2}.$$

**Table 2.** Table showing MSE and PRE of the existing and proposed estimators for different values of  $\rho$  and n

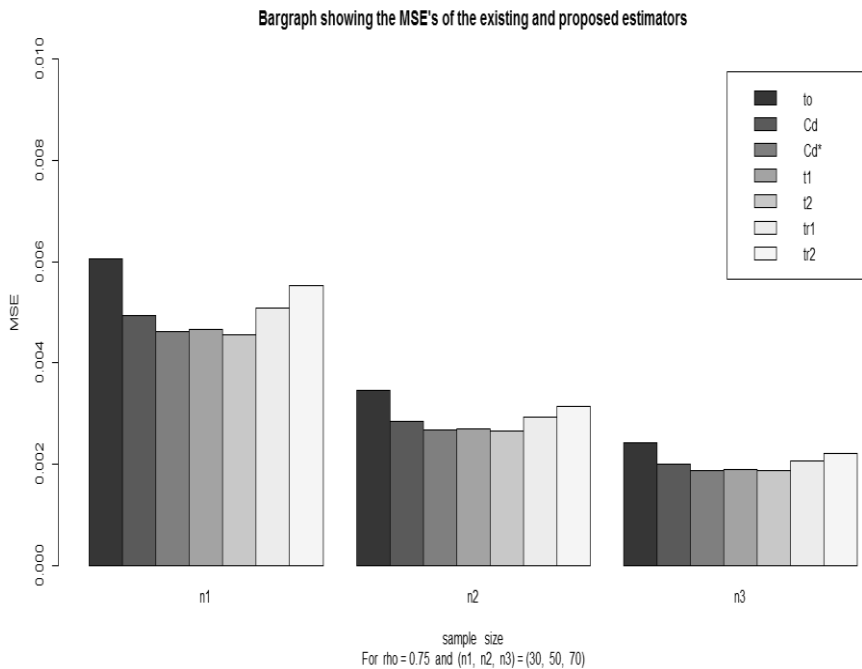
$\rho$	n	Estimator	MSE	PRE
		$t_o$	0.006053626	100.0000
		$Cd$	0.004924006	122.9410
		$Cd^*$	0.004617663	131.0970
		$t_{r1}$	0.005080027	119.1651
		$t_{r2}$	0.005532107	109.4270
		$t_1$	0.004668748	129.6626
		$t_2$	0.004552470	132.9744
	50	$t_o$	0.003450581	100.0000
		$Cd$	0.002835622	121.6869
		$Cd^*$	0.002671694	129.1533
		$t_{r1}$	0.002688403	117.5860
		$t_{r2}$	0.002650186	109.5933
		$t_1$	0.002934516	128.3506
		$t_2$	0.003148534	130.2015
	70	$t_o$	0.002412659	100.0000
		$Cd$	0.001990824	121.1889
		$Cd^*$	0.001879170	128.3896
		$t_{r1}$	0.002062564	116.9737
		$t_{r2}$	0.002200267	109.6530
		$t_1$	0.001887289	127.8373
		$t_2$	0.001868644	129.1128

0.85	30	$t_o$	0.006358341	100.0000
		$Cd$	0.004912595	129.4294
		$Cd^*$	0.003809327	166.9151
		$t_{r1}$	0.004876890	130.3769
		$t_{r2}$	0.005133219	123.8665
		$t_1$	0.003831045	165.9688
		$t_2$	0.003739557	170.0293
	50	$t_o$	0.003621428	100.0000
		$Cd$	0.002828737	128.0228
		$Cd^*$	0.002203557	164.3447
		$t_{r1}$	0.002825058	128.1895
		$t_{r2}$	0.002910006	124.4474
		$t_1$	0.002210627	163.8190
		$t_2$	0.002180249	166.1016
	70	$t_o$	0.002527309	100.0000
		$Cd$	0.001982556	127.4561
		$Cd^*$	0.001547597	163.3054
		$t_{r1}$	0.001984483	127.3535
		$t_{r2}$	0.002027634	124.6433
		$t_1$	0.001551035	162.9434
		$t_2$	0.001536162	164.5210

95	30	$t_o$	0.008647395	100.0000
		$Cd$	0.005851489	147.7811
		$Cd^*$	0.002426053	356.4389
		$t_{r1}$	0.005461214	158.3420
		$t_{r2}$	0.005113439	169.1094
		$t_1$	0.002430095	355.8459
		$t_2$	0.002364604	365.7015
	50	$t_o$	0.004896276	100.0000
		$Cd$	0.003355658	145.9111
		$Cd^*$	0.001397620	350.3295
		$t_{r1}$	0.003172583	154.3309
		$t_{r2}$	0.002841595	172.3073
		$t_1$	0.001398209	350.1820
		$t_2$	0.001376286	355.7601
	70	$t_o$	0.0034018746	100.0000
		$Cd$	0.0023435450	145.1593
		$Cd^*$	0.009782472	347.7520
		$t_{r1}$	0.0022234723	152.9983
		$t_{r2}$	0.0019631488	173.2866
		$t_1$	0.0009784789	347.6697
		$t_2$	0.0009677328	351.5304

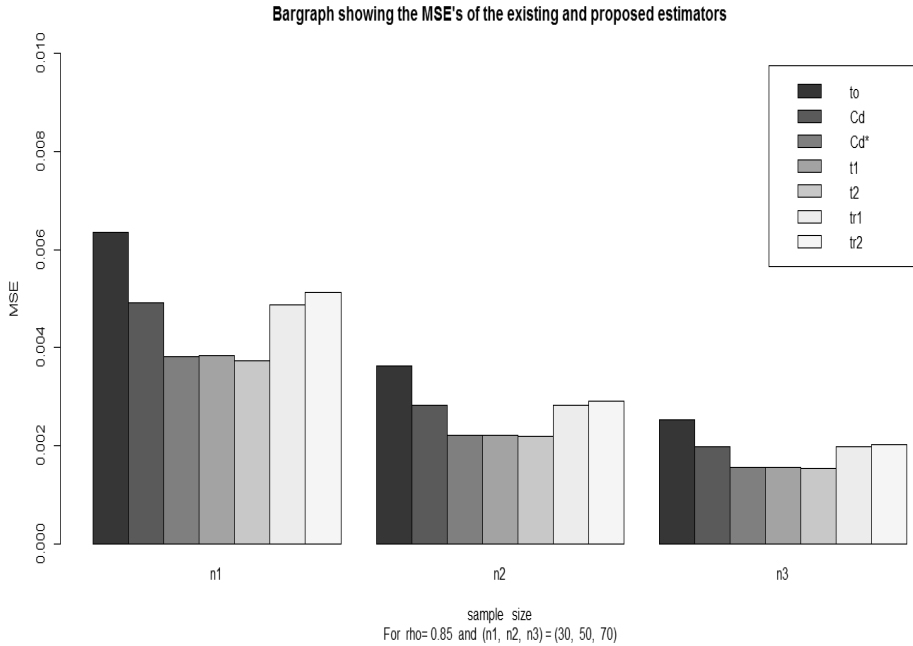
From the table, we can observe that for a particular value of  $\rho$  the value of MSE of the estimators decreases as the sample size increases. Also, we can see that in each of the cases among the proposed estimators  $t_1$  and  $t_2$ ,  $t_2$  is more efficient amongst all the existing estimators  $t_o$ ,  $Cd$ ,  $Cd^*$ ,  $t_{r1}$ ,  $t_{r2}$  and the proposed estimator  $t_1$  while the estimator  $t_1$  turns out to be more efficient than the existing estimators  $t_o$ ,  $Cd$ ,  $t_{r1}$ ,  $t_{r2}$  and nearly as efficient as the estimator  $Cd^*$ . Hence, it turns out that the proposed estimator performs better than the existing estimators, therefore it is desirable to use the estimator in practice.

We have also shown the results through a bar diagram as below:



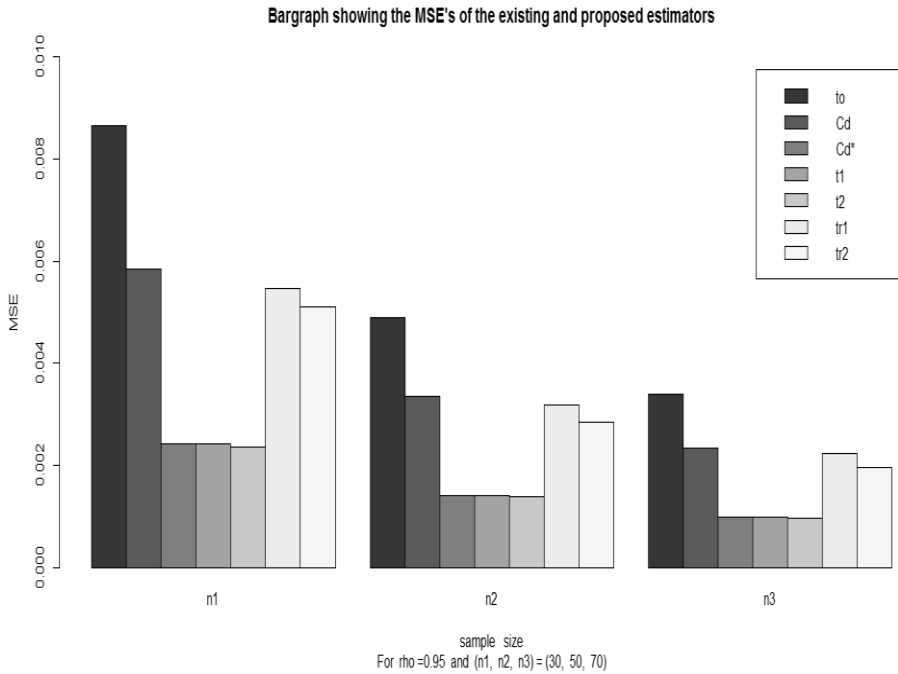
Bar graph showing MSEs of the existing and proposed estimators for  $\rho = 0.75$  and  $(n_1, n_2, n_3) = (30, 50, 70)$

Explanation: It can be seen from the bar graph that for  $\rho = 0.75$ , MSE of all the estimators decreases as the value of the sample size ( $n$ ) increases. And for a particular value of  $n$ , estimator  $t_2$  has the least MSE among all the other estimators.



Bar graph showing MSEs of the existing and proposed estimators  $\rho=0.85$  and  $(n_1, n_2, n_3) = (30, 50, 70)$

Explanation: It can be seen from the bar graph that for  $\rho=0.85$ , MSE of all the estimators decreases as the value of the sample size ( $n$ ) increases. And for a particular value of  $n$ , estimator  $t_2$  has the least MSE among all the other estimators.



Bar graph showing MSE of the existing and proposed estimators  $\rho=0.85$  and  $(n_1, n_2, n_3)=(30, 50, 70)$

Explanation: It can be seen from the bar graph that for  $\rho = 0.95$ , MSE of all the estimators decreases as the value of the sample size ( $n$ ) increases. And for a particular value of  $n$ , estimator  $t_2$  has the least MSE among all the other estimators.

Combined Explanation: From the above three bar graphs it can be summarized that for every value of  $\rho=(0.75,0.85,0.95)$ , the increase in the sample size causes a decrease in the mean square error of all the estimators. It is also evident that for a particular value of  $n$ ,  $t_2$  has the minimum MSE as compared to the other estimators.

### 6. Conclusion

In this paper we have proposed estimators for the population coefficient of variation and compared them with some existing estimators and saw from the empirical and simulation studies that the proposed estimator  $t_2$  performs better

than all the existing estimators  $t_O, Cd, C_d^*, t_{r1}, t_{r2}$  and the proposed estimator  $t_1$ . As regards  $t_1$ , it performs better than the estimators  $t_O, Cd, t_{r1}, t_{r2}$  but is no more better than the estimator  $C_d^*$ . For a better understanding of our results we have also considered a graphical approach and considered bar graphs to depict our results.

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