ECONSTOR

Make Your Publications Visible.

A Service of

ZBW

Leibniz-Informationszentrum Wirtschaft Leibniz Information Centre for Economics

Ulrich-Müssig, Lisa-Rebekka et al.

Article

Cost-effectiveness analysis of case management for optimized antithrombotic treatment in German general practices compared to usual care: Results from the PICANT trial

Health Economics Review

Provided in Cooperation with: Springer Nature

Suggested Citation: Ulrich-Müssig, Lisa-Rebekka et al. (2019) : Cost-effectiveness analysis of case management for optimized antithrombotic treatment in German general practices compared to usual care: Results from the PICANT trial, Health Economics Review, ISSN 2191-1991, Springer, Heidelberg, Vol. 9, Iss. 4, pp. 1-10, https://doi.org/10.1186/s13561-019-0221-2

This Version is available at: https://hdl.handle.net/10419/197284

Standard-Nutzungsbedingungen:

Die Dokumente auf EconStor dürfen zu eigenen wissenschaftlichen Zwecken und zum Privatgebrauch gespeichert und kopiert werden.

Sie dürfen die Dokumente nicht für öffentliche oder kommerzielle Zwecke vervielfältigen, öffentlich ausstellen, öffentlich zugänglich machen, vertreiben oder anderweitig nutzen.

Sofern die Verfasser die Dokumente unter Open-Content-Lizenzen (insbesondere CC-Lizenzen) zur Verfügung gestellt haben sollten, gelten abweichend von diesen Nutzungsbedingungen die in der dort genannten Lizenz gewährten Nutzungsrechte.



WWW.ECONSTOR.EU

https://creativecommons.org/licenses/by/4.0/

Terms of use:

Documents in EconStor may be saved and copied for your personal and scholarly purposes.

You are not to copy documents for public or commercial purposes, to exhibit the documents publicly, to make them publicly available on the internet, or to distribute or otherwise use the documents in public.

If the documents have been made available under an Open Content Licence (especially Creative Commons Licences), you may exercise further usage rights as specified in the indicated licence.



RESEARCH

Open Access



Cost-effectiveness analysis of case management for optimized antithrombotic treatment in German general practices compared to usual care – results from the PICANT trial

Lisa R. Ulrich¹, Juliana J. Petersen¹, Karola Mergenthal¹, Andrea Berghold², Gudrun Pregartner², Rolf Holle^{3,4} and Andrea Siebenhofer^{1,5*}

Abstract

Background: By performing case management, general practitioners and health care assistants can provide additional benefits to their chronically ill patients. However, the economic effects of such case management interventions often remain unclear although how to manage the burden of chronic disease is a key question for policy-makers. This analysis aimed to compare the cost-effectiveness of 24 months of primary care case management for patients with a long-term indication for oral anticoagulation therapy with usual care.

Methods: This analysis is part of the cluster-randomized controlled Primary Care Management for Optimized Antithrombotic Treatment (PICANT) trial. A sample of 680 patients with German statutory health insurance was initially considered for the cost analysis (92% of all participants at baseline). Costs included all disease-related direct health care costs from the payer's perspective (German statutory health insurers) plus case management costs for the intervention group. A-Quality Adjusted Life Year (QALY) measurement (EQ-5D-3 L instrument) was used to evaluate utility, and incremental cost-effectiveness ratio (ICER) to assess cost-effectiveness. Mean differences were calculated and displayed with 95%-confidence intervals (CI) from non-parametric bootstrapping (1000 replicates).

Results: N = 505 patients (505/680, 74%) were included in the cost analysis (complete case analysis with a followup after 12 and 24 months as well as information on cost and QALY). After two years, the mean difference of direct health care costs per patient (€115, 95% CI [– 201; 406]) and QALYs (0.03, 95% CI [– 0.04; 0.11]) in the two groups was small and not significant. The costs of case management in the intervention group caused mean total costs per patient in this group to rise significantly (mean difference €503, 95% CI [188; 794]). The ICER was €16,767 per QALY. Regardless of the willingness of insurers to pay per QALY, the probability of the intervention being costeffective never rose above 70%.

(Continued on next page)

* Correspondence: andrea.siebenhofer@medunigraz.at

 $^{\rm 5}$ Institute of General Practice and Evidence-Based Health Services Research, Medical University of Graz, Graz, Austria

Full list of author information is available at the end of the article



© The Author(s). 2019 **Open Access** This article is distributed under the terms of the Creative Commons Attribution 4.0 International License (http://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made.

¹Institute of General Practice, Goethe-University Frankfurt am Main, Frankfurt, Germany

(Continued from previous page)

Conclusions: A primary care case management for patients with a long-term indication for oral anticoagulation therapy improved QALYs compared to usual care, but was more costly. However, the results may help professionals and policy-makers allocate scarce health care resources in such a way that the overall quality of care is improved at moderate costs, particularly for chronically ill patients.

Trial registration: Current Controlled Trials ISRCTN41847489.

Keywords: Anticoagulants [MeSH], Chronic disease [MeSH], Cost-effectiveness analysis, Primary health care [MeSH], Case management [MeSH], Health services research [MeSH]

Background

In Germany, general practitioners (GPs) are responsible for managing lifelong oral anticoagulation (OAC) therapy for the majority of patients [1]. Most such patients suffer from chronic conditions such as atrial fibrillation / flutter, recurrent venous and / or pulmonary thromboembolisms, or have mechanical heart prostheses [2]. They are generally treated with coumarins, or the direct oral anticoagulants (DOACs) dabigatran, rivaroxaban, apixaban, and edoxaban that have been shown to be effective in preventing thromboembolic complications [3] and reducing the risk of stroke [4]. Care for patients with (multiple) chronic conditions is quickly becoming a dominant health and economic burden for almost all health care systems [5] and effective interventions are necessary to meet their needs [6]. Patients with complex and chronic conditions can benefit considerably from the provision of care by team-based and inter-professional collaborative health care management [7, 8], in which different health care professions such as medical doctors, health care assistants (HCAs), nurse practitioners, and physician assistants cooperate [9] at modest incremental costs [10]. In Germany, general practices generally employ one or more HCAs. They receive 2 years of basic vocational training and usually perform administrative tasks and deliver basic medical care. Even though health care assistants do not have similar academic qualifications to physician assistants and nurse practitioners [11], they increasingly perform case management and other delegated tasks [12]. Tasks in primary care case management that are typically performed by HCAs are regular patient care planning and monitoring, as well as patient education to support self-management [13]. Several randomized controlled trials (RCT) in general practices have indicated that a complex intervention that includes components of primary care case management can improve patient-relevant outcomes compared to usual care, e.g. in patients with major depression [14], with chronic heart failure [15], and at high risk [16]. A systematic review by Panagioti et al. [17] showed that patient self-management support was associated with small but significant improvements in health outcomes and a reduction in health service utilization. However, the costs and cost-effectiveness of case management interventions alongside RCTs often remain unclear [18] although how to manage the burden of chronic disease is a key question for policy-makers. They are actively seeking interventions leading to better health outcomes but the evidence on cost-effectiveness of case management interventions is still scarce, perhaps as a result of methodological challenges [19, 20].

The objective of this analysis was to evaluate the cost-effectiveness of 24 months of primary care case management for patients with a long-term indication for oral anticoagulation therapy in general practices in the federal states of Hesse and Rhineland-Palatinate, Germany. The manuscript adheres to the Consolidated Health Economic Evaluation Reporting Standards (CHEERS) statement/ checklist [21].

Methods

The analysis is part of the cluster-randomized controlled PICANT trial (Primary Care Management for Optimized Antithrombotic Treatment) that was conducted by the Institute of General Practice, Goethe-University Frankfurt am Main, Germany, between June 2012 and March 2015. The aim of the PICANT study was to investigate whether a complex intervention can improve antithrombotic management in primary health care by reducing major thromboembolic and bleeding events compared to usual care. The study protocol reporting the primary and secondary outcomes of the PICANT trial has been published elsewhere [22], as are details of the practice and patient-recruiting process and the results of the screening [23]. In brief, 52 general practices and 736 patients of ≥ 18 years of age, with a long-term (lifelong) indication for oral anticoagulation, and who were receiving an OAC therapy (e.g. coumarin, dabigatran, rivaroxaban), participated in the PICANT trial. At baseline, 680 (92.4%) had German statutory health insurance (SHI), compared with approximately 90% in the German population as a whole. These patients were considered for the economic analysis because costs were assessed from the perspective of statutory health funds.

Intervention

The complex intervention in the PICANT trial consisted of a best practice model that included major elements of

case management, and patient education tools (e.g. information brochures and a video developed by Hua et al. [24]) for patients with a long-term indication for OAC [25]. We trained HCAs and GPs in case management and regularly monitored patients using the Coagulation-Monitoring-List to improve practice routines [26]. The main elements of the monitoring sessions were to inform patients about their disease and treatment conditions, to encourage patients to perform self-management of oral anticoagulation if they were taking coumarins, and to monitor symptoms and adherence to antithrombotic treatment. HCAs were also trained to detect complications early and to assess adverse events, such as major or minor thromboembolisms or bleeding complications, as well as drug-related side effects and interactions. The HCAs reported the monitoring results to the GP, who decided whether any further action was necessary.

Data collection and calculation

Cost data was collected using the case report form (CRF), the patient questionnaire, and an additional case management questionnaire for GPs and HCAs for the intervention group only (see Table 1). Data collection started at baseline (T0) and follow-up appraisals were carried out after 12 (T1) and 24 (T2) months. Utility was based on Quality-Adjusted Life Years (QALY) [27] assessed using the generic EuroQol five-dimensional questionnaire (EQ-5D-3L) [28] included in the patient questionnaire. QALYs were calculated by converting the EQ-5D-3L health states into utility scores using the German time trade-off scoring algorithm [29]. We used constant price weights to value medical services used and therefore neither cost nor effectiveness outcomes were discounted or adjusted for inflation [30]. Costs and utility were only calculated for non-dropouts with complete data (complete case analysis) [31].

Cost determinants by resource category

To perform the economic analysis from the perspective of statutory health funds, we assessed resource usage using cost determinants recommended by Krauth [32], as shown in Table 1.

Only disease-related costs associated with the patients' main indication for OAC therapy were evaluated and all costs were calculated in Euros (\in). Unit prices were taken from official lists and public sources (see Table 1). All unit prices included rebates and patient co-payments to determine the level of reimbursement relevant for the health funds [33]. For the intervention group, we assessed the resource usage based on the cost determinants applied by Baron et al. [34].

Statistical analyses

To take into account the skewed distribution of the cost data, 95% confidence intervals (CI) for the mean differences between intervention and control group costs were calculated using the 2.5% and 97.5% percentiles from the non-parametric bootstrapping with 1000 replicates [35]. To adjust for the clustered structure of the data, we drew 26 general practices with replacement per group and calculated unweighted means of costs and QALYs for all patients within those practices in each bootstrap sample. The incremental cost-effectiveness ratio (ICER) was calculated as the ratio of differences in mean total costs and mean number of OALYs between the intervention and the control group [31]. For the bootstrapped data, mean differences between groups were plotted on a cost-effectiveness plane. Furthermore, we calculated the cost-effectiveness acceptability curve (CEAC), which indicates the probability that the intervention was cost-effectiveness at different thresholds of "willingness-to-pay" for an additional QALY [31].

We conducted sensitivity analyses following the example of Hernández et al. [36], who explored the extent to which participants with very high costs influence the cost-effectiveness. We therefore excluded patients with total costs above the 95th and 90th percentile in each study group, respectively, and repeated the analyses. All statistical analyses were performed using IBM SPSS Statistics (version 20 or higher) and R (version 3.4.2).

Results

N = 505 patients (505/680, 74%) were included in the cost analysis because they had SHI, did not drop out of the trial, and could provide cost and QALY data. Their baseline characteristics are presented in Table 2. Participants in the intervention and control groups were comparable in terms of sex, age, indication for oral anticoagulation therapy, type of antithrombotic medication, and EQ-5D score.

Costs and effects

After 24 months, there was no statistically significant difference between the intervention and control, either in terms of mean direct health care costs (mean difference \in 115, 95% CI [– 201; 406]), or with regard to the various categories of direct health care costs. The mean difference in QALYs between the groups was small and not significant (0.03, 95% CI [– 0.04; 0.11]). The mean difference in total costs was statistically significant (€503, 95% CI [188; 794]) due to the costs of case management that only applied to the intervention group. These results are shown in Table 3.

Cost drivers in both groups were costs for hospital care (\geq 40%), for physician outpatient care (\geq 25%), and for oral anticoagulation medication (\geq 23%). The intervention

Table 1 Cost determinants and unit prices

Resource category	Unit prices in \in (year)	Units	Measurement
Direct health care costs			
Outpatient services ^a		Consultations per quarter	Patient questionnaire
general practitioner	19.07 (2012), 29.07 (2013), 29.48 (2014/ 2015)		
cardiologist	90.46 (2012/2013), 91.73 (2014/2015)		
neurologist	23.30 (2012/2013), 23.63 (2014/2015)		
Outpatient laboratory tests ^a	0.60 (2012–2015)	Consultations per quarter	Case report form
Outpatient prescription of antithrombotic medications ^b		per quarter	Case report form
phenprocoumon	16.06 (2012–2015)		
warfarin	16.10 (2012–2015)		
dabigatran	267.90 (2012–2015)		
rivaroxaban	291.79 (2012–2015)		
apixaban (2.5 mg)	81.48 (2012–2015)		
apixaban (5 mg)	271.00 (2012–2015)		
acetylsalicylic acid	0.00 (2012–2015)		
Medical devices ^b		per unit	Case report form/patient questionnaire
compression therapy	41.42 (2012–2015)		
patient self-testing INR	203.50 (2012–2015)		
Hospital care ^c	depending on diagnosis and length of stay	per stay	Case report form/attachment of anonymized copies of hospital discharge letters including diagnosis and length of stay
Inpatient rehabilitation ^{d,e}	121.85 (2012–2015)	per day	Case report form/attachment of anonymized copies of discharge letters
Outpatient rehabilitation ^d	46.68 (2012–2015)	per day	Case report form/patient questionnaire
Sick-pay (transfer payments) ^f	depending on insuree's monthly gross earnings	per day	Patient questionnaire
(Loss of) contributions to statutory social securities $^{\mathrm{f}}$	depending on insuree's monthly gross earnings	per day	Patient questionnaire
Intervention only			
Costs of training and conducting of case management			Case Management questionnaire
GP^{g}	54.62 (2012–2015)	per working hour	
HCA ^g	15.25 (2012–2015)	per working hour	

^aCalculations based on the physicians' fee scale (Uniform Value Scale – EBM) [55, 56]

^bPrices were taken from Lauer-Taxe[®] pharmaceutical price information [57]

^cCalculated on the basis of the official German Diagnoses Related Groups (DRG) reimbursement (2013) [58]

^dPrices were taken from Bock et al. [59]

^eAccording to the German Social Code Book VI, the statutory pension insurance covers rehabilitation services of insured employees. Amongst others, this regulation does not apply to retirees

^fAccording to the German Social Code Book V, the SHI covers 70% of the insuree's monthly gross earnings after 6 weeks of incapacity for work. For the first 6 weeks, the employer continues to pay the salary. During the period of incapacity for work, the health fund also covers 50% of the insuree's contributions to other statutory insurance programs (pension, long-term care, unemployment and occupational accident). The insuree does not have to pay contributions to SHI during this period. There are several exceptions to these regulations, especially for retirees

⁹Prices were taken from the income survey of the German Federal Statistical Office [60]

costs per patient were approximately $\notin 388$ after 24 months, comprising higher costs in the first year ($\notin 215$) and lower costs in the second year ($\notin 175$). Although relevant for statutory health insurers, costs for rehabilitation

services (outpatient and inpatient), sick pay (transfer payments) for employees, and loss of patients' contributions to SHI and other statutory insurance programs were not assessed in the economic analysis because the amounts

Table	2 Patient	characteristics	at baseline	(complete	case anal	ysis)
-------	-----------	-----------------	-------------	-----------	-----------	-------

Patient characteristics	Intervention ($n = 258$)	Control (<i>n</i> = 247)
Mean age (year (SD))	73 (9.7)	72 (9.1)
Sex		
Male	142 (55%)	129 (52%)
Female	116 (45%)	118 (48%)
Retired	225 (87%)	201 (81%)
Long-term indication for oral anticoagulation therapy		
atrial fibrillation / flutter	211 (82%)	187 (76%)
recurrent venous thromboembolism	17 (6%)	23 (9%)
recurrent pulmonary embolism	3 (1%)	6 (2%)
mechanical heart prosthesis	20 (8%)	20 (8%)
intracardiac thrombus	2 (1%)	1 (1%)
other indication	5 (2%)	10 (4%)
Antithrombotic medication ^a		
Coumarin	242 (94%)	232 (94%)
Dabigatran	8 (3%)	1 (0.5%)
Rivaroxaban	6 (2%)	11 (4%)
acetylsalicylic acid	2 (1%)	2 (1%)
no antithrombotic medication	0 (0%)	1 (0.5%)
INR self-measuring and dose adjustment (for coumarins only)	27 (11%)	35 (14%)
Patients with migration background	27 (11%)	14 (6%)
EQ-5D score (SD)	0.83 (0.21)	0.80 (0.25)

^aApixaban had not been approved at baseline

concerned were negligible in this study population, $\ge 81\%$ of whom were retirees.

Cost-effectiveness

The ICER was €16,767 per QALY. Figure 1 presents the bootstrapped results in the intervention and control groups displayed in a cost-effectiveness plane.

It shows that the intervention was more effective regarding QALYs than usual care, but was also more costly. Of the bootstrapped ICERs, the majority (more than 75%) indicated an increase in QALYs at an incremental cost, whereas only around 25% indicated a decrease in QALYs at an incremental cost. The resulting CEAC (see Fig. 2) shows that the probability of the intervention being cost-effective never rose above 70%,

Table 3 Mean (SD) dir	rect health care and	intervention cost	ts as well as QALY	's per patient after	2 years

Category	Mean cost in € (SD)	Mean difference [95% CI] ^a	
	Intervention ($n = 258$)	Control(n = 247)	
Physician outpatient care	318 (81)	327 (83)	-9 [-23; 5]
Laboratory tests	5 (1)	5 (1)	-0.1 [-0.2; 0.1]
Antithrombotic medication	255 (470)	274 (473)	-19 [-105; 62]
Medical devices	42 (108)	38 (96)	4 [-12; 22]
Hospital care	455 (1799)	317 (1317)	138 [- 151; 400]
Sum of direct health care costs	1075 (1974)	960 (1408)	115 [-201; 406]
Case management program (intervention only)	388 (106)	-	-
Sum of total costs	1463 (1979)	960 (1408)	503 [188; 794]
QALY ^b	1.63 (0.40)	1.59 (0.43)	0.03 [- 0.04; 0.11]

^a Calculated from bootstrapped dataset

 $^{\rm b}$ Calculation based on the EQ-5D $^{\rm Index}$ for Germany [29]





regardless of health insurer's willingness to pay per QALY. If the health insurer was willing to pay \in 15,000 per additional QALY, the probability of cost-effectiveness was 50%.

Sensitivity analyses

The results of the sensitivity analyses are presented in Table 4.

When the 5% of participants that generated the highest costs were excluded, no statistically significant differences existed between the groups in terms of either direct health care costs, or QALYs. The results remained similar when the 10% of participants that were responsible for the highest cost were excluded. In terms of total costs, the results were only statistically significant because of the additional case management costs relating to the intervention group. However, the sensitivity analyses had only minimal effects on the incremental cost-effectiveness ratio.

Discussion

In this analysis, we compared cost-effectiveness after 24 months of primary care case management in German general practices for patients with a lifelong indication for OAC therapy with usual care. The mean difference in direct health care costs and QALYs between the two groups was small and not significant. The difference in mean total costs per patient was statistically significant as the costs of case management were only relevant in the intervention group. The ICER was €16,767 per QALY, and the probability of the intervention being cost-effective never rose above 70%, regardless of payer willingness to pay for each QALY.

Several studies have indicated that case management interventions can improve patient-relevant outcomes [7, 9]. This holds also true for the PICANT trial. As a secondary objective, we investigated whether the complex intervention leads to an increase in patient knowledge about anticoagulation therapy compared to usual care [22]. After 12



Category	5% excluded	10% excluded Mean difference ^a [95% CI] ^b	
	Mean difference ^a [95% CI] ^b		
Direct health care costs (€)	4 [- 120; 100]	-15 [- 90; 59]	
Total costs (€)	392 [267; 485]	372 [299; 445]	
QALY	0.03 [-0.04; 0.11]	0.04 [-0.04; 0.11]	
ICER	13,067	9300	

Table 4 Results of the sensitivity analyses

^a Mean differences are intervention - control group

^b Calculated from bootstrapped dataset

months, the improvement in patients' knowledge (compared to baseline) was significantly greater in the intervention than in the control group, and the difference between both groups remained statistically significant after 24 months [37]. However, little is known about the economic effects of such complex interventions tested alongside studies with an adequate study design like RCTs [18]. In the SPRING trial, Tiessen et al. [38] assessed the costs and cost-effectiveness of cardiovascular prevention when conducted in patients with an elevated cardiovascular risk by practice nurses in general practice. The results are similar to those of the PICANT trial, as the total costs were higher in the intervention group (mean difference €175 [SPRING trial] vs. €503 [PICANT trial]), and 65% vs. 75% of the bootstrapped ICERs were located in the northeast quadrant. Regardless of a decision maker's willingness to pay, the probability that the SPRING intervention would be cost effective compared to usual care never rose above 60% (vs. 70% in the PICANT trial). A cost-effectiveness analysis of a HCA-based case management for patients with depression showed no significant differences in QALYs and total costs between intervention and control groups after 24 months [39]. Oksman et al. [40] performed a cost-effectiveness analysis of a tele-based health-coaching program for patients with chronic diseases (type 2 diabetes, coronary artery diseases, and congestive heart failure). Similar to the results of the PICANT trial, the intervention was more effective regarding QALYs than usual care but also more costly. Compared to a HCA-based case management for high-risk patients [16], the cost of training and performing case management in the intervention group was slightly higher in the PICANT trial (€388 vs. US\$247, or €211.80 based on the exchange of $\in 1 = US \$1.16622$ rate on November 13, 2017). However, in both RCTs the costs of case management decreased in the second year because training costs were only relevant at the beginning of the intervention. Kaier et al. [41] performed a budget impact analysis of a telemedically supported case management (intervention) for patients with donor kidney transplantation and the intervention group showed a lower utilization of medical services as well as better medical outcomes. Other economic assessments failed to show that a nurse-based case management was either effective or cost-effective compared to usual care, e.g. for patients recently discharged from intensive care units [36], and for elderly patients with myocardial infarction after 1 year [42]. However, the latter results was revised after 3 years [43].

Several methodological challenges must be confronted when conducting economic evaluations in parallel to RCTs:

- the study duration may be too short to capture relevant economic outcomes [19];
- resources can be consumed for trial purposes only and therefore costs can be overestimated ("protocol driven care") [19];
- the limited follow-up may alter estimated clinical effectiveness [44];
- when calculated to detect differences in clinical outcomes, the sample size may be too small ("underpowered") to detect differences in economic indicators [45];
- the generalizability of cost-effectiveness analysis can be threated "[...] when the comparison therapy is not the most relevant for the policy question being addressed." [31, p., 248];
- the additional cost data collection in RCTs can increase both the costs of clinical trials and the burden on study participants [30].

Based on an analysis of registry data, Reinhold et al. [46] calculated that the direct health care costs covered by SHI of patients with atrial fibrillation in Germany amounted to at least €3274 annually. Although we chose the same perspective, the direct health care costs in the PICANT trial were much lower, possibly, because we only took the costs of oral anticoagulation and not antiplatelet therapies into account. Similar to the PICANT trial, direct health care costs were mainly driven by hospital care [46]. Other studies from Finland [47], USA [48], and Canada [49], reported direct health care costs for patients with atrial fibrillation of between €500 and €600 annually (at the current Euro exchange rates). Nevertheless, these studies only included patients who were taking warfarin. In the PICANT trial, we also included patients who were taking DOACs such as dabigatran, rivaroxaban or apixaban, which are more costly. In

Germany, the mean net cost of coumarins is €0.18 per daily defined dose (DDD), compared to €3.75 for dabigatran, and €3.45 for factor Xa antagonists (e.g. rivaroxaban, apixaban) [50]. A recently published health technology assessment from UK [51] aimed to identify the most effective, safe and cost-effective anticoagulant for stroke prevention in patients with atrial fibrillation, and for primary prevention, treatment and secondary prevention of venous thromboembolisms. The results suggested that DOACs have efficacy and safety advantages over warfarin in patients with atrial fibrillation, but no more efficacious when used to treat acute venous thromboembolisms [51]. Of the available DOACs, apixaban had the highest probability of being cost-effective compared to warfarin, with a willingness-to-pay threshold of > £5000 (which corresponds to €5575.50 based on the exchange rate of €1 = £0.8970 on November 15, 2017) [51].

Strengths and limitations

Although economic evaluations are mostly performed from a societal perspective, this analysis chose the perspective of statutory health insurers. As these sickness funds cover most of the cost of health care in Germany, the results may help health care professionals decide how best to allocate resources, especially for chronically ill patients. Unrelated health care costs did not bias the results of our economic analysis as only disease related health care costs were included. One limitation of our analysis is that utilization and costs are more likely to have been underestimated than overestimated because we used unit prices from official lists and public sources. Furthermore, costs were partly calculated based on patient's self-reported data on service use. A recall bias may therefore have led to an underestimation of costs. Although the study included a 24-month follow-up, we never used conservative methods to deal with missing data (e.g., data imputation using the last observation carried forward method). Instead of this, we used a complete case analysis. This is a more naive and simple approach to deal with missing data. However, when complete case analyses are used, (mean) cost estimates are always less precise than would be desirable. No adjustment besides the sampling strategy for the bootstrap was made to take the effects of clustering into account. With respect to the calculation of QALYs, alternative utility measurements could also have been considered, such as the 36-item short-form health survey (SF-36) [52] or the Health Utilities Index Mark 2 (HUI2) [53] or Mark 3 (HUI3) [54] but no German-specific utility weights for these measurements yet exist. However, our study is one of very few cost-effectiveness analyses of primary care case management programs for chronically ill patients that have been carried out in a real-life primary care setting as part of an RCT.

Conclusions

The PICANT trial indicated that primary care case management for patients with a long-term indication for oral anticoagulation therapy improved QALYs compared to usual care, but was also more costly. However, case management did not result in a statistically significant improvement in QALYs or direct health care costs compared to usual care over a period of 24 months. This RCT was conducted under real-life conditions in primary care and may help professionals and policy-makers allocate scarce health care resources in such a way that the overall quality of care is improved at moderate costs, particularly for chronically ill patients, such as those with a long-term indication for OAC therapy. Our study could help to inform the policy debate about whether an effective therapy provides sufficient value for its cost to be adopted for use and to facilitate judgments about health care interventions.

Abbreviations

CEAC: Cost-effectiveness acceptability curve; CI: Confidence interval; CRF: Case report form; DDD: Daily defined dose; DOAC: Direct oral anticoagulant; DRG: Diagnosis related groups; EQ-5D: EuroQol five-dimensional questionnaire; GP: General practitioner; HCA: Health care assistant; HUI: Health Utilities Index Mark; ICER: Incremental cost-effectiveness ratio; OAC: Oral anticoagulant; PICANT: Primary Care Management for Optimized Antithrombotic Treatment; QALY: Quality adjusted life year; RCT: Randomized controlled trial; SHI: Statutory health insurance

Acknowledgments

The authors would like to thank Phillip Elliott for the final editing of the manuscript, as well as the practice teams and patients of the 52 general practices that participated in the PICANT trial.

Funding

The PICANT trial was funded by the German Federal Ministry of Education and Research (grant number 01GY1145). The funding body was not involved in the design of the study, the collection, analysis, and interpretation of data, and writing the manuscript.

Availability of data and materials

All data generated or analyzed for the economic part during the PICANT trial are included in this published article.

Authors' contributions

LRU was the lead author responsible for the initial draft of the manuscript that was critically revised by all authors. RH was involved in the study design for the economic analysis. AB, GP and LRU performed the statistical analyses. JJP, KM and AS participated in the whole of the study design and applied for funding. All authors read and approved the final manuscript.

Ethics approval and consent to participate

The ethical review committee of the University Hospital, Goethe-University Frankfurt, Germany, approved the study June 26, 2012. All participants had to give written informed consent.

Consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

Publisher's Note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Author details

¹Institute of General Practice, Goethe-University Frankfurt am Main, Frankfurt, Germany. ²Institute for Medical Informatics, Statistics and Documentation, Medical University of Graz, Graz, Austria. ³Helmholtz Zentrum München -German Research Center for Environmental Health, Institute of Health Economics and Health Care Management, Neuherberg, Germany. ⁴German Center for Diabetes Research, Neuherberg, Germany. ⁵Institute of General Practice and Evidence-Based Health Services Research, Medical University of Graz, Graz, Austria.

Received: 27 July 2018 Accepted: 27 January 2019 Published online: 07 February 2019

References

- Chenot J-F, Hua TD, Abu Abed M, Schneider-Rudt H, Friede T, Schneider S, Vormfelde SV. Safety relevant knowledge of orally anticoagulated patients without self-monitoring: a baseline survey in primary care. BMC Fam Pract. 2014;15:104. https://doi.org/10.1186/1471-2296-15-104.
- Hua TD, Vormfelde SV, Abded MA, Schneider-Rudt H, Sobotta P, Chenot J-F. Oral anticoagulation therapy in family medicine. Z Allg Med. 2010;86:382–9.
- Guyatt GH. Methodology for the development of antithrombotic therapy and prevention of thrombosis guidelines: antithrombotic therapy and prevention of thrombosis, 9th ed: American College of Chest Physicians Evidence-Based Clinical Practice Guidelines. Chest. 2012;141(53). https://doi. org/10.1378/chest.11-2288.
- 4. Camm AJ, Lip GYH. Caterina R de, Savelieva I, Atar D, Hohnloser SH, et al. 2012 focused update of the ESC guidelines for the management of atrial fibrillation: an update of the 2010 ESC guidelines for the management of atrial fibrillation * developed with the special contribution of the European heart rhythm association. Eur Heart J. 2012;33:2719–47. https://doi.org/10. 1093/eurheartj/ehs253.
- Lehnert T, Heider D, Leicht H, Heinrich S, Corrieri S, Luppa M, et al. Review: health care utilization and costs of elderly persons with multiple chronic conditions. Med Care Res Rev. 2011;68:387–420. https://doi.org/10.1177/ 1077558711399580.
- Wagner EH, Austin BT, von Korff M. Organizing care for patients with chronic illness. Milbank Q. 1996;74:511–44.
- Wagner EH. The role of patient care teams in chronic disease management. BMJ. 2000;320:569–72.
- Hudon C, Chouinard M-C, Lambert M, Diadiou F, Bouliane D, Beaudin J. Key factors of case management interventions for frequent users of healthcare services: a thematic analysis review. BMJ Open. 2017;7:e017762.https://doi. org/10.1136/bmjopen-2017-017762.
- Morgan S, Pullon S, McKinlay E. Observation of interprofessional collaborative practice in primary care teams: an integrative literature review. Int J Nurs Stud. 2015;52:1217–30. https://doi.org/10.1016/j.ijnurstu.2015.03.008.
- Litaker D, Mion L, Planavsky L, Kippes C, Mehta N, Frolkis J. Physician nurse practitioner teams in chronic disease management: the impact on costs, clinical effectiveness, and patients' perception of care. J Interprof Care. 2003; 17:223–37. https://doi.org/10.1080/1356182031000122852.
- Lovink MH, Persoon A, van Vught AJAH, Koopmans RTCM, Schoonhoven L, Laurant MGH. Physician substitution by mid-level providers in primary healthcare for older people and long-term care facilities: protocol for a systematic literature review. J Adv Nurs. 2015;71:2998–3005. https://doi.org/ 10.1111/jan.12759.
- 12. Bosley S, Dale J. Healthcare assistants in general practice: Practical and conceptual issues of skill-mix change. Br J Gen Pract. 2008;58:118–24. https://doi.org/10.3399/bjgp08X277032.
- von Korff M, Glasgow RE, Sharpe M. Organising care for chronic illness. BMJ. 2002;325:92–4.
- Gensichen J, von Korff M, Peitz M, Muth C, Beyer M, Güthlin C, et al. Case management for depression by health care assistants in small primary care practices: a cluster randomized trial. Ann Intern Med. 2009;151:369–78.
- Peters-Klimm F, Campbell S, Hermann K, Kunz CU, Muller-Tasch T, Szecsenyi J, Failure CNH. Case management for patients with chronic systolic heart failure in primary care: the HICMan exploratory randomised controlled trial. Trials. 2010;11:56. https://doi.org/10.1186/1745-6215-11-56.
- Freund T, Peters-Klimm F, Boyd CM, Mahler C, Gensichen J, Erler A, et al. Medical assistant-based Care Management for High-Risk Patients in Small primary care practices: a cluster randomized clinical trial. Ann Intern Med. 2016;164:323–30. https://doi.org/10.7326/M14-2403.

- Panagioti M, Richardson G, Small N, Murray E, Rogers A, Kennedy A, et al. Self-management support interventions to reduce health care utilisation without compromising outcomes: a systematic review and meta-analysis. BMC Health Serv Res. 2014;14:356. https://doi.org/10.1186/1472-6963-14-356.
- Hudon C, Chouinard M-C, Lambert M, Dufour I, Krieg C. Effectiveness of case management interventions for frequent users of healthcare services: a scoping review. BMJ Open. 2016;6:e012353. https://doi.org/10.1136/ bmjopen-2016-012353.
- O'Sullivan AK, Thompson D, Drummond MF. Collection of health-economic data alongside clinical trials: is there a future for piggyback evaluations? Value Health. 2005;8:67–79. https://doi.org/10.1111/j.1524-4733.2005.03065.x.
- Seidl H, Meisinger C, Wende R, Holle R. Empirical analysis shows reduced cost data collection may be an efficient method in economic clinical trials. BMC Health Serv Res. 2012;12:318. https://doi.org/10.1186/1472-6963-12-318.
- Husereau D, Drummond M, Petrou S, Carswell C, Moher D, Greenberg D, et al. Consolidated health economic evaluation reporting standards (CHEERS)-explanation and elaboration: a report of the ISPOR health economic evaluation publication guidelines good reporting practices task force. Value Health. 2013;16:231–50. https://doi.org/10.1016/j.jval.2013.02.002.
- Siebenhofer A, Ulrich LR, Mergenthal K, Roehl I, Rauck S, Berghold A, et al. Primary care management for optimized antithrombotic treatment [PICANT]: study protocol for a cluster-randomized controlled trial. Implement Sci. 2012;7:79. https://doi.org/10.1186/1748-5908-7-79.
- Ulrich L-R, Mergenthal K, Petersen JJ, Roehl I, Rauck S, Kemperdick B, et al. Anticoagulant treatment in German family practices ¿ screening results from a cluster randomized controlled trial. BMC Fam Pract. 2014;15:170. https:// doi.org/10.1186/s12875-014-0170-0.
- Hua TD, Vormfelde SV, Abu AM, Schneider-Rudt H, Sobotta P, Friede T, Chenot J-F. Practice nursed-based, individual and video-assisted patient education in oral anticoagulation--protocol of a cluster-randomized controlled trial. BMC Fam Pract. 2011;12:17. https://doi.org/10.1186/1471-2296-12-17.
- Siebenhofer A, Hemkens LG, Rakovac I, Spat S, Didjurgeit U. Selfmanagement of oral anticoagulation in elderly patients - effects on treatment-related quality of life. Thromb Res. 2012;130(6). https://doi.org/10. 1016/j.thromres.2012.06.012.
- Ulrich L-R, Petersen JJ, Mergenthal K, Roehl I, Rauck S, Erler A, et al. A monitoring list of Oral anticoagulation case management in primary care. Z Allg Med. 2013;89:165–71.
- Testa MA, Simonson DC. Assessment of quality-of-life outcomes. N Engl J Med. 1996;334:835–40. https://doi.org/10.1056/NEJM199603283341306.
- The EuroQol Group. EuroQol--a new facility for the measurement of healthrelated quality of life. The EuroQol Group Health Policy. 1990;16:199–208.
- Greiner W, Claes C, Busschbach JJV. Schulenburg J-MG von der. Validating the EQ-5D with time trade off for the German population. Eur J Health Econ. 2005;6:124–30.
- Glick HA, Doshi JA, Sonnad SS, Polsky D. Economic evaluation in clinical trials. Oxford [u.a.]: Oxford University Press; 2010.
- Drummond MF, Sculpher MJ, Torrance GW, O'Brien BJ, Stoddart GL. Methods for the economic evaluation of health care programmes. 3rd ed. Oxford. New York: Oxford University Press; 2005.
- 32. Krauth C. Estimation methods in health economic evaluation. Gesundh ökon Qual manag. 2010;15:251–9.
- Braun S, Prenzler A, Mittendorf T, JMvd S. Appraisal of resource use in the German health-care system from perspective oft he statutory health insurance. Gesundheitswesen. 2009;71:19–23. https://doi.org/10.1055/s-0028-1102930.
- Baron S, Heider D, Gensichen J, Petersen JJ, Gerlach FM, Krauth C, et al. Cost Structure of a Telephone-Based Case Management in Primary Care Depression Therapy. Psychiatr Prax 2011;38:342–344. doi:https://doi.org/10. 1055/s-0030-1266091.
- Barber JA, Thompson SG. Analysis of cost data in randomized trials: an application of the non-parametric bootstrap. Stat Med. 2000;19:3219–36.
- Hernández RA, Jenkinson D, Vale L, Cuthbertson BH. Economic evaluation of nurse-led intensive care follow-up programmes compared with standard care: the PRaCTICaL trial. Eur J Health Econ. 2014;15:243–52. https://doi.org/ 10.1007/s10198-013-0470-7.
- Maikranz V, Siebenhofer A, Ulrich L-R, Mergenthal K, Schulz-Rothe S, Kemperdick B, et al. Does a complex intervention increase patient knowledge about oral anticoagulation? - a cluster-randomised controlled trial. BMC Fam Pract. 2017;18:15. https://doi.org/10.1186/s12875-017-0588-2.
- 38. Tiessen AH, Vermeulen KM, Broer J, Smit AJ, van der Meer, Klaas. Costeffectiveness of cardiovascular risk management by practice nurses in

primary care. BMC Public Health 2013;13:148. https://doi.org/10.1186/1471-2458-13-148.

- Gensichen J, Petersen JJ, von Korff M, Heider D, Baron S, König J, et al. Costeffectiveness of depression case management in small practices. Br J Psychiatry. 2013. https://doi.org/10.1192/bjp.bp.112.118257.
- Oksman E, Linna M, Hörhammer I, Lammintakanen J, Talja M. Costeffectiveness analysis for a tele-based health coaching program for chronic disease in primary care. BMC Health Serv Res. 2017;17:138. https://doi.org/ 10.1186/s12913-017-2088-4.
- Kaier K, Hils S, Fetzer S, Hehn P, Schmid A, Hauschke D, et al. Results of a randomized controlled trial analyzing telemedically supported case management in the first year after living donor kidney transplantation - a budget impact analysis from the healthcare perspective. Health Econ Rev. 2017;7(1). https://doi.org/10.1186/s13561-016-0141-3.
- Seidl H, Hunger M, Leidl R, Meisinger C, Wende R, Kuch B, Holle R. Costeffectiveness of nurse-based case management versus usual care for elderly patients with myocardial infarction: results from the KORINNA study. Eur J Health Econ. 2014. https://doi.org/10.1007/s10198-014-0623-3.
- 43. Seidl H, Hunger M, Meisinger C, Kirchberger I, Kuch B, Leidl R, Holle R. The 3-year cost-effectiveness of a nurse-based case management versus usual Care for Elderly Patients with myocardial infarction: results from the KORINNA follow-up study. Value Health. 2017;20:441–50. https://doi.org/10. 1016/j.jval.2016.10.001.
- Hlatky MA, Owens DK, Sanders GD. Cost-effectiveness as an outcome in randomized clinical trials. Clin Trials. 2006;3:543–51. https://doi.org/10.1177/ 1740774506073105.
- Briggs A. Economic evaluation and clinical trials: size matters. BMJ. 2000;321: 1362–3.
- Reinhold T, Rosenfeld S, Müller-Riemenschneider F, Willich SN, Meinertz T, Kirchhof P, Brüggenjürgen B. Patients suffering from atrial fibrillation in Germany. Characteristics, resource consumption and costs Herz. 2012;37: 534–42. https://doi.org/10.1007/s00059-011-3575-8.
- Hallinen T, Martikainen JA, Soini EJO, Suominen L, Aronkytö T. Direct costs of warfarin treatment among patients with atrial fibrillation in a Finnish health care setting. Curr Med Res Opin. 2006;22:683–92. https://doi.org/10. 1185/030079906X100014.
- Anderson RJ. Cost analysis of a managed care decentralized outpatient pharmacy anticoagulation service. J Manag Care Pharm. 2004;10:159–65.
- SCHULMAN S, ANDERSON DR, Bungard TJ, Jaeger T, Kahn SR, Wells P, Wilson SJ. Direct and indirect costs of management of long-term warfarin therapy in Canada. J Thromb Haemost. 2010;8:2192–200. https://doi.org/10. 1111/j.1538-7836.2010.03989.x.
- Schwabe U, Arzneiverordnungs-Report PD. Berlin. Heidelberg: Springer Berlin Heidelberg; 2016. p. 2016.
- Sterne JA, Bodalia PN, Bryden PA, Davies PA, López-López JA, Okoli GN, et al. Oral anticoagulants for primary prevention, treatment and secondary prevention of venous thromboembolic disease, and for prevention of stroke in atrial fibrillation: systematic review, network meta-analysis and costeffectiveness analysis. Health Technol Assess. 2017;21:1–386. https://doi.org/ 10.3310/hta21090.
- Brazier J, Roberts J, Deverill M. The estimation of a preference-based measure of health from the SF-36. J Health Econ. 2002;21:271–92.
- Torrance GW, Feeny DH, Furlong WJ, Barr RD, Zhang Y, Wang Q. Multiattribute utility function for a comprehensive health status classification system. Health utilities index mark 2. Med Care. 1996;34:702–22.
- Feeny D, Furlong W, Torrance GW, Goldsmith CH, Zhu Z, DePauw S, et al. Multiattribute and single-attribute utility functions for the health utilities index mark 3 system. Med Care. 2002;40:113–28.
- Kassenärztliche Bundesvereinigung (KBV). Einheitlicher Bewertungsmaßstab (EBM): Arztgruppen-EBM, Hausarzt; 1. Quartal 2014. https://www.kbv.de/ media/EBM-2009-Archiv_2.zip. Accessed 27 Feb 2014.
- Kassenärztliche Bundesvereinigung (KBV). Arztgruppen-EBM. https://www. kbv.de/html/arztgruppen_ebm.php. Accessed 11 Aug 2016.
- Lauer-Fischer. WEBAPO®InfoSystem. https://www.cgm.com/lauer-fischer/ loesungen_lf/lauer_taxe_lf/webapo_infosystem_lf/webapo_infosystem.de. jsp. Accessed 10 June 2014.
- Institut für das Entgeltsystem im Krankenhaus (InEK). Fallpauschalenkatalog 2013; 2013. https://www.g-drg.de/Aktuelles/Abrechnungsbestimmungen_ und_Fallpauschalen-Katalog_2013/(language)/ger-DE. Accessed 20 May 2014.

- Bock J-O, Brettschneider C, Seidl H, Bowles D, Holle R, Greiner W, König HH. Calculation of standardised unit costs from societal perspective for health economic evaluation. Gesundheitswesen. 2014. https://doi.org/10.1055/s-0034-1374621.
- Statistisches Bundesamt. Verdienste und Arbeitskosten: Verdienststrukturerhebung 2010. Wiesbaden; 2010. https://www.destatis.de/ DE/Publikationen/Thematisch/VerdiensteArbeitskosten/VerdiensteBerufe/ VerdienststrukturerhebungHeft1_2162001109004.pdf?__blob= publicationFile. Accessed 2 Apr 2014.

Ready to submit your research? Choose BMC and benefit from:

- fast, convenient online submission
- thorough peer review by experienced researchers in your field
- rapid publication on acceptance
- support for research data, including large and complex data types
- gold Open Access which fosters wider collaboration and increased citations
- maximum visibility for your research: over 100M website views per year

At BMC, research is always in progress.

Learn more biomedcentral.com/submissions

