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DISCUSSION PAPER SERIES

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ABSTRACT

Facing Yourself: A Note on Self-Image*

Numerous signaling models in economics assume image concerns. These take two forms, as relating either to social image or self-image. While empirical work has identified the behavioral importance of the former, little is known about the role of self-image concerns. We exogenously vary self-image concerns in manipulating self-directed attention and study the impact on moral behavior. The choice context in the experiment is whether subjects inflict a painful electric shock on another subject to receive a monetary payment. Three between-subjects conditions are studied. In the main treatment, subjects see their own face on the decision screen in a real-time video feed. In the two control conditions, subjects see either no video at all or a neutral video. We find that the exogenous increase in self-image concerns significantly reduces the fraction of subjects inflicting pain.

JEL Classification: D64, C91

Keywords: self-image, moral behavior

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1 Introduction

A concern for a positive self- or social image is *the* central assumption of a large class of signaling models. The latter explain a broad variety of phenomena and behaviors such as prosociality, crowding out of motivation, will-power, norm-based behavior, taboos or notions of identity and the dual self (e.g., Bénabou and Tirole, 2006, 2011, 2013; Bodner and Prelec, 2003; Seabright, 2009; Tirole, Falk and Bénabou, 2016).¹ It is assumed that individuals either like to think positively of themselves or have a preference for being liked and well regarded by others. Several experimental studies have demonstrated the behavioral importance of social image,² but little is known about whether an exogenous variation in self-image affects behavior. In this paper we therefore study self-image concerns and provide evidence of their behavioral importance.

The human capacity of “reflexive thinking”, i.e., taking oneself as the object of attention (Leary and Tangney, 2012), is essential to the concept of self-image. In contrast to social image, self-image concerns are self-directed and refer to the awareness of congruency between individual standards and the self. To examine the effect of an exogenous variation in self-awareness, we ran an experiment with three between-subjects conditions. In our main condition, subjects see a real-time webcam video showing their face, i.e., they are confronted with their “self-image”. We compare behavior in the main condition with outcomes in two control conditions: one where subjects see no video at all and one where they see a neutral video of an unrelated other. The choice context is moral decision-making: in the experiment, subjects face the binary decision between receiving money for inflicting a painful electric shock on another subject versus not inflicting pain and foregoing the money. This paradigm captures a widespread conception of morality according to which harming others in an intentional and unjustified way is considered immoral

¹Relatedly, Akerlof and Kranton (2000, 2005) account for identity by positing that utility depends on the degree to which actions accord with one’s own identity. In Mazar et al.’s (2008) theory of self-concept maintenance, people strike a balance between the preservation of a self-image of honesty and higher profits from dishonest behavior. Other related signaling models that assume image concerns include Besley et al. (2015), Prendergast and Stole (2001), and Pesendorfer (1995).

²An example is Ariely et al. (2009). In their “Click for Charity” experiment, subjects donated to a charitable organization by repeatedly clicking two keys on a computer keyboard. They find that participants exert significantly greater effort in the presence of an audience than in private. Likewise, Ewers and Zimmermann (2015) show that when exposed to an audience, subjects state significantly higher quiz outcomes relative to a treatment without audience.

(Gert and Gert, 2016). Our hypothesis is derived from the signaling model of Tirole, Falk and Bénabou (2016), which predicts a lower likelihood of shocking in the main condition relative to both control conditions. Our data confirm this prediction, whereby an increased salience of self-image significantly reduces the likelihood of inflicting pain, i.e., the incidence of immoral behavior. This finding sheds light on self-image concerns in moral decision-making, although we suggest that it holds relevance in support of self-signaling models more generally.

Our paper is related to work on image concerns, in both economics and psychology. For example, Grossmann and van der Weele (2013) argue that “willful ignorance” can lead to socially harmful decisions because individuals who care about self-image tend to avoid information. Santos-Pinto and Sobel (2005) model positive self-image emerging from egocentrically distorted subjective comparisons in the assessment of their ability relative to others. Johansson-Stenman and Svedsäter (2012) study ill-stated preferences arising from image concerns. Our findings also complement recent work on the general – intrinsic and extrinsic – sources of prosocial motivation (Cappelen, Halvorsen, Sørensen and Tungodden, 2016). Research in psychology has stressed the importance of self-awareness (Duval and Wicklund, 1972). Related evidence shows that self-awareness fosters fairness and honesty if moral standards are salient (Batson, Thompson, Seufferling, Whitney, and Strongman, 1999), reduces aggressive behavior (Froming et al., 1982) and inhibits cheating in a performance test (Diener and Wallbom, 1976; Vallacher and Solodky, 1979).

The remainder of the paper is organized as follows. The next section derives our predictions and describes the design of the experiment. Section 3 presents our results and section 4 concludes.

2 Hypotheses and Design

Theoretical background. To illustrate the role of self-image for moral behavior, we refer to Tirole, Falk and Bénabou (2016).³ In their framework, an individual chooses whether to engage in moral behavior ($a = 1$) or not ($a = 0$). A moral decision generates an expected positive externality e , and yields a self-image benefit. The individual has deep value v (moral type) or 0 (immoral type), with probabilities ρ and $1 - \rho$. $\bar{v} = \rho v$ denotes the expected

³We derive a prediction for this model because it explicitly deals with moral decision-making for binary choice tasks, exactly as in the experiment. However, other self-signaling models deliver similar intuition and predictions.

value. Thus, the high type has an intrinsic motivation for the moral action equal to ve . c denotes the private cost of engaging in moral behavior and $\beta < 1$ is a hyperbolicity parameter, measuring an individual’s potential lack of self-control. The perceived cost, $\frac{c}{\beta}$, is sufficiently large that the immoral type does not behave prosocially, i.e., he always chooses $a = 0$. The key parameter to be studied here is μ , which measures the strength of image concerns.

In equilibrium⁴, the moral type chooses $a = 1$ if and only if

$$ve - \left(\frac{c}{\beta}\right) + \mu(v - \bar{v}) > 0.$$

It immediately follows that the likelihood of moral behavior increases in μ . Conditional on choosing $a = 1$, the value of self-image is given by $\mu(v - \bar{v})$, i.e., the value of signaling one’s morality, weighted by μ . We interpret the weighting parameter μ as the salience of self-image concerns. Hence, μ is an awareness parameter, indicating a person’s attentiveness about his identity. A low μ characterizes a decision-maker who is not attentive to learning his type, i.e., who does not pay much attention to his self-image. Indeed, it is exactly this notion of μ that is examined in our experiment: we exogenously increase the salience of self-image and study the implications for moral behavior.

Design of Experiment. Studying the causal impact of self-image concerns on an individual’s moral behavior requires (i) exposing subjects to a morally-relevant decision context and (ii) randomly varying self-image concerns.

With respect to (i), note that according to a universal conception of morality, harming others in an intentional and unjustified way – especially for personal gain – is considered immoral (Gert and Gert, 2016). Informed by this notion, the decision context used in the experiment is about inflicting pain on another subject. Subjects made a binary choice between two options, labeled option A and option B, respectively. Option A implied that the subject did not receive additional money and that no other person would receive a shock. Option B implied that the subject was paid 8 euros and that another participant received a painful, yet harmless, electric shock. The instructions were specific about procedural details and informed subjects that the electric shock would be delivered with two electrodes attached

⁴The following formula assumes that if there are two equilibria, the Pareto dominating one is selected. However, the result that an increase in image concerns increases moral behavior also holds for the alternative equilibrium selection.

to the other subject’s forearm, illustrated by a picture (see Figure 2 in the Appendix). Subjects were further informed that the shocks are medically harmless, but painful.⁵ They also knew that the other participants would take part in another experimental session and receive a fixed payment irrespective of how many shocks they received. The instructions provided little room for interpretation regarding the choice situation. Subjects were told that their decision concerned whether a subject was “willing to inflict pain on another participant in return for money”.

To address (ii) – namely the causal effect of self-awareness – we ran three between-subjects conditions. In all conditions, participants took their decision in private, i.e., in their lab cubicle with closed curtains (see Figure 3 in the Appendix). In the main condition – “Self-image” (*SI*) – self-awareness was exogenously increased by exposing participants to seeing their face: throughout the decision process (instructions and decision screens), a webcam placed on the top of the monitor recorded their face, which was displayed through a video on the computer screen in real time (see Figures 4 and 7 in the Appendix). The device was angled and subsequently fixed in such a way that a seated subject of arbitrary height could not evade the visual field of their camera. We also made use of the face-following mode of the camera software, which detects and zooms in on a face. The high-resolution camera generates a clear image that captures even subtle details of facial expressions. The video screen was prominently placed in the middle-upper part of the screen and was already running when subjects entered their cubicle. At the very beginning of the session, the instructions explicitly informed subjects that the video would not be recorded or stored and that no other person aside from the subject him-/herself could view the video. To give some meaning to the setup with a camera, subjects were also told that at the end of the experiment, they would be asked to answer a few short questions on the camera technology and the camera settings.

We compare decisions in *SI* to outcomes in two control treatments. In treatment “No Image” (*NoI*), everything was kept identical aside from the fact that no video was shown: the top center space used for the webcam

⁵Note that electric shocks are commonly used in a wide range of academic fields, in particular psychology and neurosciences (Crosbie, 1998; Mechias et al., 2010). These studies – as well as ours – are run in accordance with ethical principles in academia and are authorized by the respective ethics committees. Examples of studies using electric stimuli comprise empathy (Singer et al., 2004), anxiety-related behavior (Butler et al., 2007; Kalisch et al., 2005), neural responses to aversive stimuli (Jensen et al., 2003), operant conditioning (Crosbie, 1998; Mechias et al., 2010; Phelps, et al. , 2004), and anticipatory beliefs (Falk and Zimmermann, 2016).

stream in *SI* was left empty, while keeping the structure and formatting of information presented on the screens exactly identical (see Figure 6). Hence, this condition is akin to a typical lab experiment. Of course, self-image concerns may also play a role in this treatment. However, in comparison to *SI*, subjects’ attention is not explicitly drawn to themselves, arguably yielding a lower salience of self-image.

One may argue that the comparison between *SI* and *NoI* is potentially confounded for two reasons. First, subjects may simply feel distracted when seeing a video. If this distraction absorbs cognitive resources, subjects may not be able to exert the self-control necessary to inhibit selfish impulses (Gino et al., 2011; Achtziger et al., 2015).⁶ In this sense, distraction itself could potentially reduce the propensity to act morally. Second, seeing yourself inevitably implies seeing a human being. Previous evidence suggests that the mere fact of seeing a pair of eyes may be sufficient to induce notions of “being observed” (Burnham and Hare, 2007; Ernest-Jones et al., 2011). In other words, seeing yourself may (mistakenly) trigger “social reputational” concerns rather than enhanced self-attentiveness. To address both possible concerns, we ran a second control condition. In treatment “Neutral Image” (*NeuI*), subjects saw the video of a news presenter presenting news reports on German national television. In this condition, subjects may be equally distracted and see a pair of eyes, but not their own image. We chose a well-known news presenter (rather than some unknown unrelated person) to ensure that subjects immediately understood that the person in the video could not see them. Furthermore, the person shown is a non-controversial public person working for a mainstream public-service television broadcaster. As such, he does not evoke tendentious associations or is indicative of the experiment’s objectives. The video was mute and occupied the exact same place on the screen as the video in *SI* (see Figure 5).

After the subjects had taken their decision, we elicited socio-demographic background characteristics together with personality-related items, in particular the Big-5 (NEO FFI 60-item version) as well as IQ (10 Raven matrices).⁷ For participation, subjects received a show-up fee of 4 euros and an additional 8 euros if they delivered a shock to the other participant. A total

⁶Note that if the fundamental impulse is to act prosocially rather than selfishly – as argued, e.g., in Rand et al. (2012) – distraction effects would actually bias the findings against our hypothesis.

⁷For this part of the experiment, the webcam in *SI* as well as the video in *NeuI* were switched off. Hence, in all three treatment conditions subjects were in an identical situation when answering the surveys.

of 287 subjects participated in the role of active decision-makers (48 percent male). The subjects were students from the University of Bonn, studying in various fields. We used z-tree as experimental software (Fischbacher, 2007). The “other participants” received a show-up fee of 20 euros for participation, irrespective of the number of shocks that they received. They were not informed about why they received the shocks. The electric shock paradigm was approved by the Ethics Committee of the University of Bonn (reference no. 156/13).⁸

3 Results

As discussed above, the model assumes that the likelihood of moral behavior increases with image concern (μ). Since μ is exogenously raised in *SI* in comparison with both *NoI* and *NeuI*, we hypothesized that the likelihood of shocking should be higher in the latter two conditions relative to the former. Indeed, this is what we find: the fraction of subjects willing to inflict pain is 0.54 (n=95) in *SI*, 0.72 (n=94) in *NoI* and 0.68 (n=98) in *NeuI*, respectively (see Figure 1). The observed effects are sizable and statistically significant (*SI* vs. *NoI*: $\chi^2(1) = 7.05, p = 0.008$ and *SI* vs. *NeuI*: $\chi^2(1) = 4.38, p = 0.036$; two-sided tests). Note that although shocking rates are slightly lower in *NeuI* than in *NoI*, the difference is not statistically significant ($\chi^2(1) = 0.36, p = 0.547$). Thus, simply seeing the face of an unrelated third person is insufficient to effectively lower immoral behavior relative to not seeing anyone.

Table 1 reports OLS regressions⁹ where we regress the decision to inflict pain on the other subject on two treatment dummies (*NoI* and *NeuI*) with *SI* as the omitted category. Column (1) shows the raw treatment effects. Subjects are significantly less willing to inflict pain when facing themselves compared to not seeing themselves or seeing a neutral video, respectively. Shocking frequencies are not significantly different between treatments *NoI* and *NeuI*, as shown in the footer of Table 1. In column (2), we include age and gender. Column (3) additionally controls for other socio-demographic (income) and personality-related (Big-5 and IQ) information and shows that our treatment effects are robust to adding these control variables. Female participants as well as those scoring high on IQ and agreeableness (one of the five facets of the Big-5 inventory) are significantly less likely to inflict pain, which is consistent with findings of related work on moral behavior

⁸For instructions of the experiment and further details, see the Appendix.

⁹Probit estimates yield the same results.

Figure 1: Fraction of subjects inflicting pain



(Deckers et al., 2015; Falk and Szech, 2013, 2015).

As part of the post-experimental questionnaire, we asked subjects about their belief regarding how painful it is to receive an electric shock, i.e., about the perceived externality. This belief was elicited in an incentive-compatible way: we used a sample of 24 subjects who had previously received a series of shocks in an unrelated study and who were asked to state how painful they actually had experienced receiving these shocks on a seven-point Likert scale. In the present experiment, we explained this to subjects and asked them to indicate on the same seven-point scale which number is closest to the mean rating of these other subjects. A correct answer was remunerated with 2 euros. Including these estimates does not change our main result (see column (4)). Moreover, the belief about the painfulness of the shock is not correlated with the decision to inflict pain (the raw correlation across all treatments is ($\rho = 0.051, p = 0.387, n = 287$)). One possible explanation is that despite having a material incentive to tell the truth, subjects who inflicted pain may have shifted beliefs in a self-serving manner.

Table 1: OLS Regressions on Full Sample

	Dependent variable: Shocking choice			
	(1)	(2)	(3)	(4)
No image	0.187*** (0.0693)	0.182*** (0.0671)	0.167** (0.0661)	0.169** (0.0664)
Neutral image	0.147** (0.0698)	0.155** (0.0666)	0.156** (0.0643)	0.152** (0.0638)
Gender (1=male)		0.249*** (0.0541)	0.196*** (0.0590)	0.200*** (0.0586)
Age		-0.00381 (0.00653)	-0.00790 (0.00658)	-0.00661 (0.00681)
Cognitive intelligence (Raven)			-0.0373** (0.0164)	-0.0367** (0.0165)
Available income			0.000252** (0.000112)	0.000254** (0.000113)
Big5: Neuroticism			0.00625 (0.0313)	0.00649 (0.0309)
Big5: Extraversion			0.0256 (0.0309)	0.0234 (0.0305)
Big5: Agreeableness			-0.0906*** (0.0264)	-0.0897*** (0.0260)
Big5: Openness			-0.0446* (0.0269)	-0.0480* (0.0270)
Big5: Conscientiousness			0.0129 (0.0314)	0.0109 (0.0309)
Estimated pain from shock				0.0359 (0.0250)
Constant	0.537*** (0.0514)	0.508*** (0.165)	0.759*** (0.193)	0.541** (0.263)
No=Neutral (F)	0.360	0.176	0.0287	0.0767
No=Neutral (p)	0.549	0.675	0.866	0.782
F	3.911	7.827	7.480	7.052
N	287	287	287	287

Robust standard errors in parantheses. 8

* $p < 0.1$, ** $p < 0.05$, *** $p < 0.01$

4 Concluding remarks

We have shown that an exogenous increase in the salience of self-image reduces immoral behavior. This finding has several implications. Most importantly, it lends empirical support to the assumption of self-image concerns, which is central for a large class of self-signaling models. Individuals not only care about social image, but also about a positive image vis-à-vis themselves. On a practical level, our findings suggest new mechanisms and instruments to promote morally desirable outcomes. Firms, organizations or tax authorities seeking to promote socially responsible behaviors may want to create environments that draw individuals' attention to themselves when taking decisions. For example, forms and contracts could be designed to include pictures, require personal signatures or ask the decision-maker to reflect on who he/she is or would like to be.

In our experiment, we have primarily been interested in moral decision-making. However, we believe that the video paradigm used to manipulate the level of self-image concerns could also prove useful in assessing the role of self-image in many other important choice contexts. This essentially holds for all applications where people like to think positively about themselves. Two such examples are self-control problems and lying tasks (Fischbacher and Föllmi-Heusi, 2013; Abeler et al., 2016). We speculate that when facing themselves, individuals will display higher levels of self-control and less lying, suggesting further practical applications to reduce the incidence of unwanted behaviors. A further extension that can easily be implemented using the video paradigm involves studying the endogeneity of self-awareness, whereby subjects could be allowed to choose whether or not they want to be exposed to seeing themselves. We would expect that the likelihood of actively avoiding self-awareness is higher if decisions are image-relevant and costly (like costly moral decision-making, as in our study) in comparison to decision contexts that are not costly or relevant for self-image. If the salience of self-image can in fact be managed, this would suggest further interesting behavioral implications such as the active avoidance of contexts and situations that remind individuals of themselves or are associated with important personal experiences or memories.

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A Instructions (Computer-Based)

Instructions are translated from German into English.

Welcome screen. Instructions: Thank you for your participation. For your participation, you will receive 4 euros. The money will be paid to you in cash at the end. Please note: During the entire experiment, communication between participants is forbidden. Please only use the designated functions on your computer. If you have questions, please make a hand signal. Your question will be answered at your seat.

All statements in these instructions are true. This holds generally for all experiments at the Bonn Laboratory for Experimental Economics Research, as well as for this experiment. In particular, all consequences of actions that are described in the instructions will be executed exactly as described.

You can earn additional money depending on how you decide. In addition, your decisions have consequences for another participant in a different experiment.

Camera instructions screen (*treatment SI only*). Information on camera video: As you can see, a camera has been attached to the monitor.

Please note: No recordings are saved, and only you and no other person sees the camera video. At the end of the experiment, we will ask you a few short questions on the camera technology and the camera settings.

Mood screen On a scale from 0 to 10, how is your current mood? Please indicate your answer on the scale, where 0 means “very bad” and 10 “very good”.

Shocking choice instructions, main screen. Your choice: In the following, you have the choice between Option A and Option B.

If you choose Option A, you will receive no additional money.

If you choose Option B, you will receive an additional amount of 8 euros.

Your decision has a further consequence. If you choose Option B, another participant in a different experiment will receive a painful electric shock. The impulse is administered using two electrodes, which are attached to the forearm of the participant (see picture to the right [see Figure 2]). The electric impulses are harmless to health, but very painful.

Your decision in this experiment is therefore whether you are willing to in-

flict pain on someone in return for money.

Figure 2: Picture of shocking device shown to participants



Shocking choice instructions, details screen. As already mentioned, you can choose one of two options. Once you have decided for one option, this option will be executed. If you chose Option A, you will not receive additional money and the other participant will receive no electric shock. If you chose Option B, you will receive an additional amount of 8 euros at the end of the experiment and the other participant will receive a painful electric shock.

Please note: The other participant takes part in a different experiment at a different date. The other participant will be informed that he/she will potentially receive a painful electric shock in this experiment, and he/she gives his written consent to participation in the experiment according to the guidelines of the Ethics Committee. The other participant receives money for his/her participation in the experiment, and this is independent of whether

he/she receives an electric shock or not.

Anonymity: You will not know the identity of the other participant at any point in time, and your identity is also completely anonymous.

Shocking choice instructions, summary screen. Summary: In Option A, you will not receive an additional payment, and the other participant will receive no painful electric shock. In Option B, you will receive an additional amount of money, and the other participant will receive a painful electric shock. The decision is yours.

You will make your choice on a decision screen, which will appear soon.

Pre decision screen. On the next screen, you can now choose between Options A and B.

Decision screen. Please decide now.

I choose

Option A

Option B

Confirm

Figure 3: Lab with closed curtains, ensuring full privacy



Figure 4: Decision screen in treatment *SI* with camera video (this figure is blurred for privacy reasons, but subjects saw their face in high resolution)

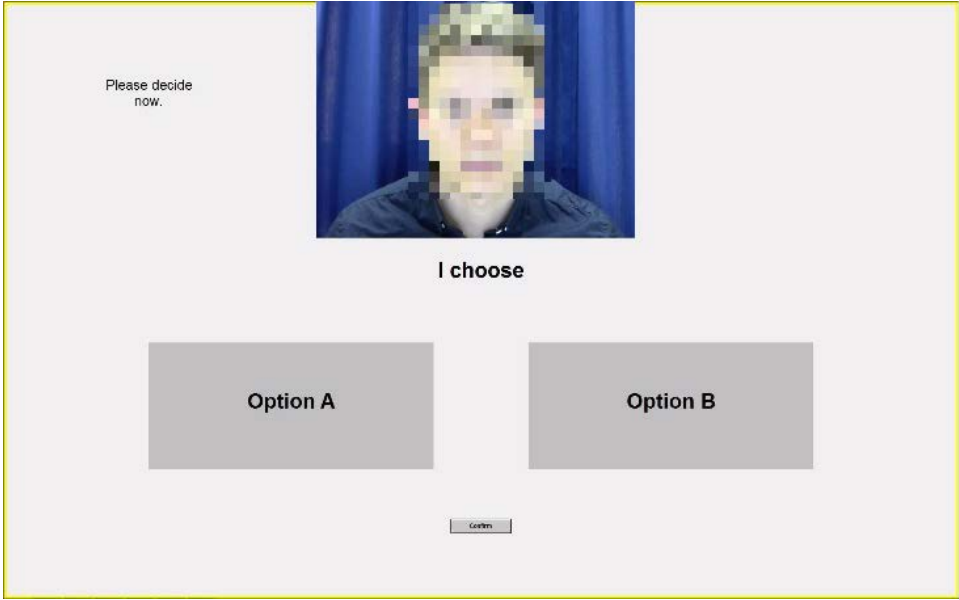


Figure 5: Decision screen in treatment *NeuI* with neutral video

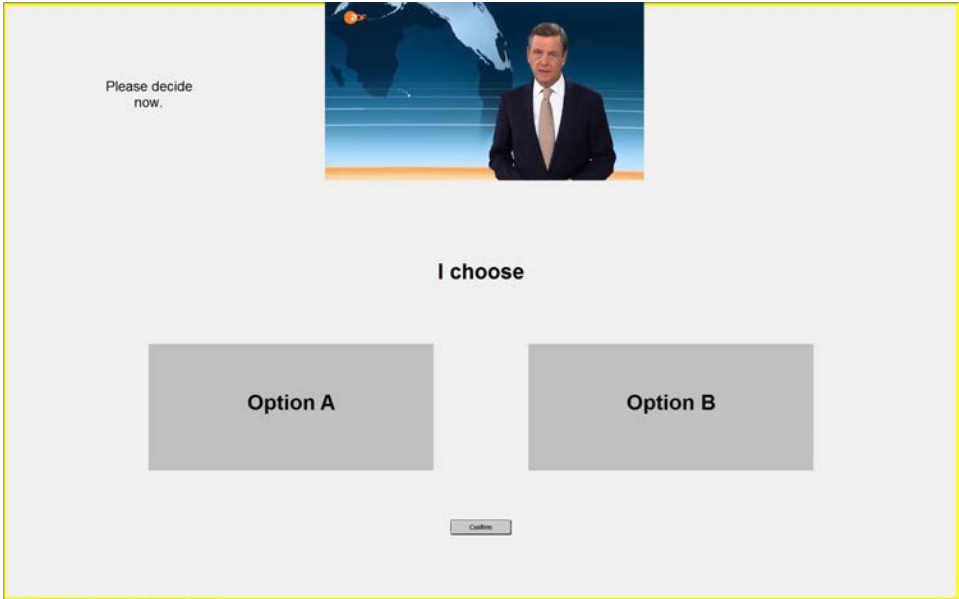


Figure 6: Decision screen in treatment *NoI* without any video

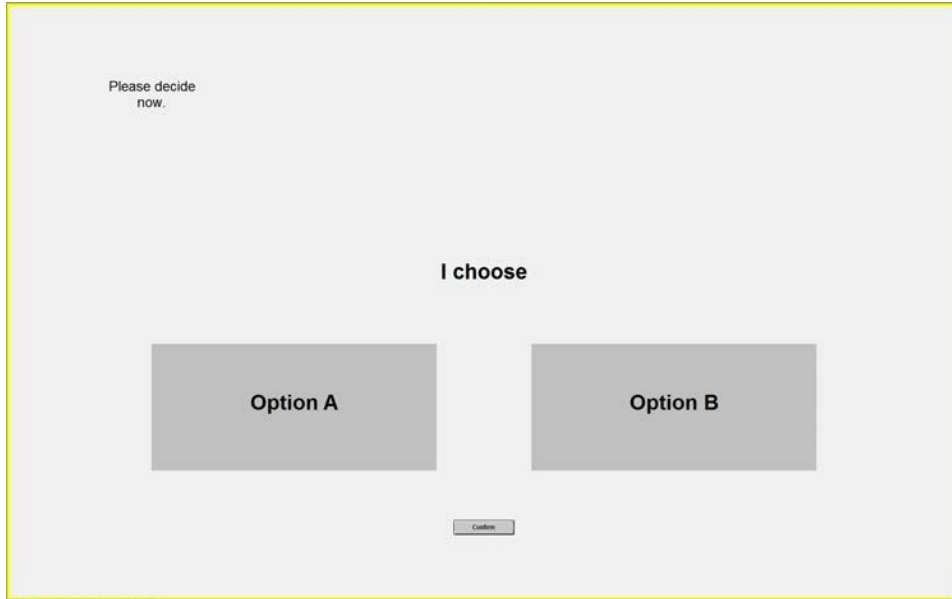


Figure 7: Laboratory cubicle with camera attached to monitor in treatment *SI*

